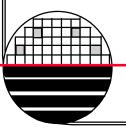
ROCHESTER INSTITUTE OF TECHNOLOGY MICROELECTRONIC ENGINEEERING

Defect Reduction and Yield Enhancement, Part 1

Dr. Lynn Fuller

Webpage: <u>http://people.rit.edu/lffeee</u> Microelectronic Engineering Rochester Institute of Technology 82 Lomb Memorial Drive Rochester, NY 14623-5604 Tel (585) 475-2035 Fax (585) 475-5041 Email: <u>Lynn.Fuller@rit.edu</u> Department Webpage: <u>http://www.microe.rit.edu</u>



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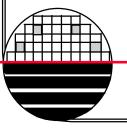
OUTLINE

Particulates

Particle Definition Killer Defects Cost of a Killer Defect Yield Models Sources of Microcontamination Particle Counters and Scanners Particle Transport Mechanisms Defect Test Structures

Material Defects Wafer Defects Gettering

Oxygen Precipitation



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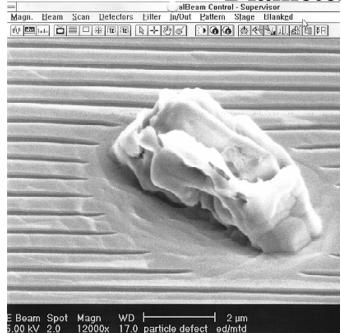
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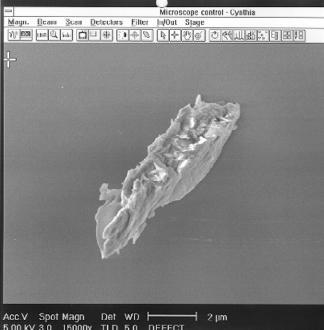
PARTICLE DEFINITION

Stable (Non-Volatile) Conglomeration of Molecules

Diameter ~2 nm to 2 mm



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KILLER DEFECTS

ANY PARTICULATE THAT CAUSES A DISRUPTION IN THE INTENDED MICROCIRCUIT PATTERN.

Size is about the minimum feature size and needs to be in a critical spot on the wafer at a critical time in the manufacturing process

ANY CRYSTAL DEFECT THAT CAUSES A DISRUPTION IN THE INTENDED MICROCIRCUIT PATTERN.

Defect needs to be near the surface (Top 5 to 30 micrometers) Defect needs to be in a critical device area

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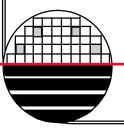
KILLER DEFECTS (CONTINUED)

ANY CHEMICAL CONTAMINATE THAT CAUSES A DISRUPTION IN THE INTENDED ELECTRONIC DEVICE OPERATION.

Metals such as gold, copper, platinum etc causes decrease in lifetime of minority carriers causing devices such as memory and CCD's to fail (less than 10 parts per trillion)

Metals such as sodium and potassium causes shifts in threshold voltage of MOS FET's (less than one part per billion)

Metals such as boron, phosphorous, arsenic, aluminum, indium, antimony are semiconductor dopants (less than one part per million)



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COST OF KILLER DEFECT

THE COST OF ONE KILLER DEFECT PER WAFER

assume 5000 6 inch wafer starts per week assume 1 cm x 1cm size chip assume \$10 selling price

AREA = PI R^2 $(3.14)(7.5 \text{ cm})^2 = 176 \text{ cm}^2$

NUMBER OF DIE/WAFER = AREA/DIE AREA = 176 die

NUMBER OF DIE PER YEAR = = (50000wfr/wk)(52 wk/yr)(176 die/wfr) = 45,760,000 die/year

DOLLARS/YEAR = \$457,600,000/year

COST OF ONE ADDITIONAL KILLER DEFECT / WFR = \$457,600,000/176 = \$2,600,000 / year

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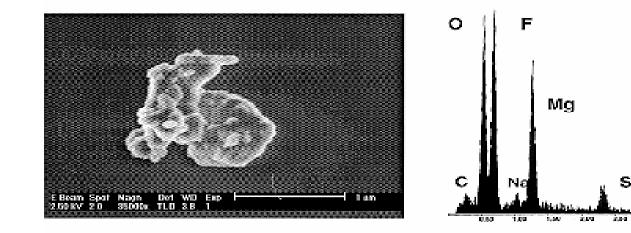
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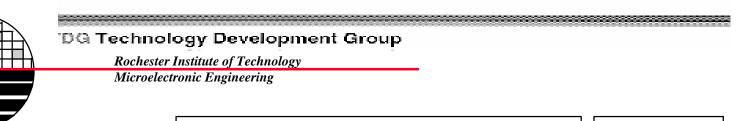
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IDENTIFY SOURCE OF CONTAMINATION

Root Cause Analysis by Blank Wafer DRT - "O" Ring Contamination





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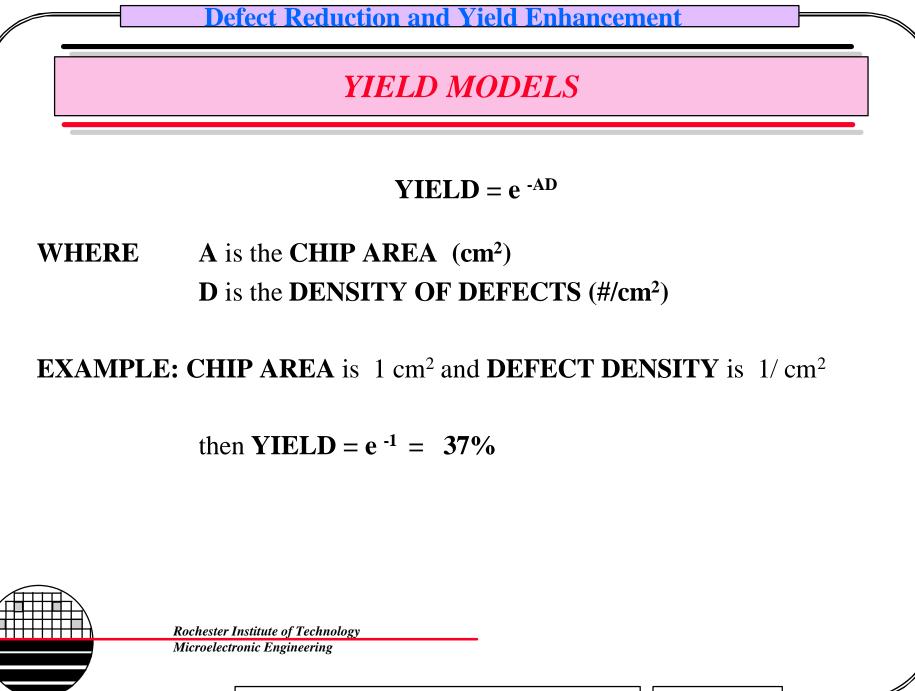
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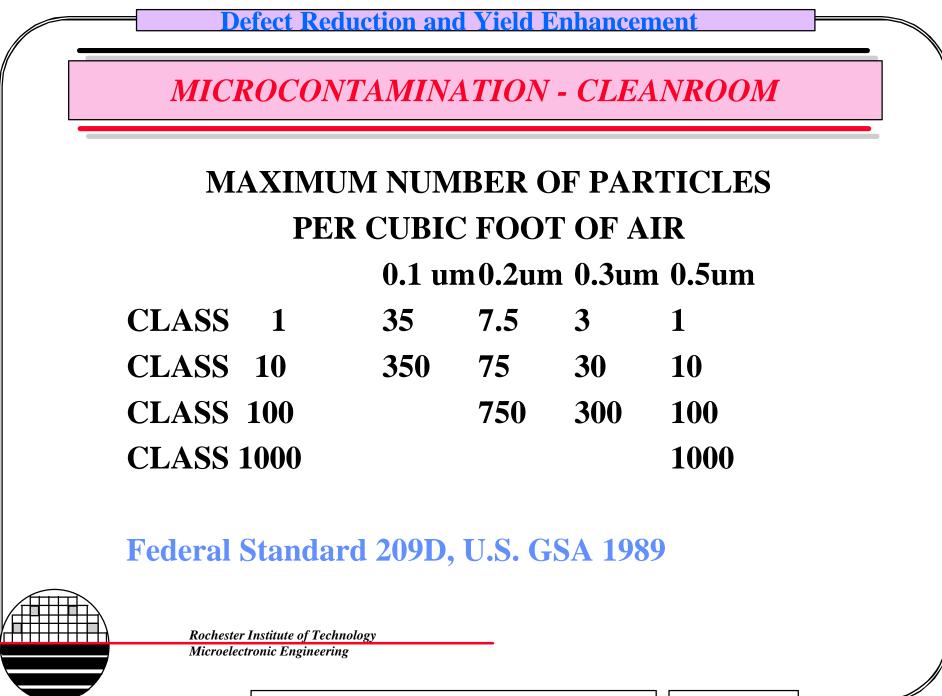
COST OPPORTUNITY

SEMATECH COST RESOURCE MODEL SENSITIVITY

Probe Yield 12 **Fab Yield** 11 Throughput 10 **Downtime %** 9 **Tool Capital** 8 **Consumables** 7 **Materials** 6 Maintenance 5 space 4 **Salaries** 3 Clean Room Layout 2 **Operators** 1 0.2 1.2 1.8 0 0.4 0.6 0.8 1.4 1 1.6 2 % Cost Chang per 1 % Change in Variable **Rochester Institute of Technology Microelectronic Engineering** © January 28, 2008 Dr. Lynn Fuller, Professor Page 8



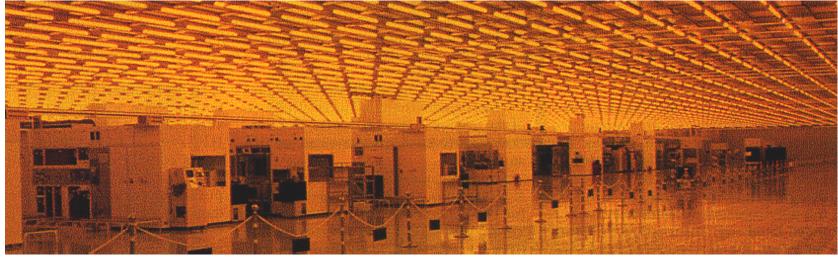
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CLEANROOM DESIGN

BALLROOM DESIGN TUNNEL AND CHASE DESIGN MINI-ENVIRONMENT

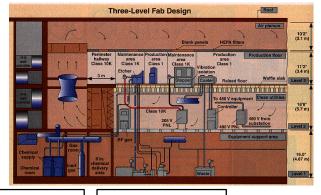


Ballroom Design



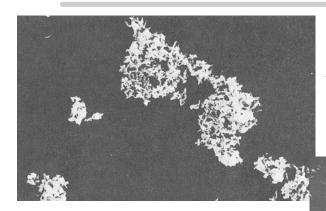
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PARTICLES AND THEIR SOURCE



Iron oxide from welding process

Fly ash from coal burning power generation

Asbestos

um

Ref: William Hinds, "Aerosol Technology"

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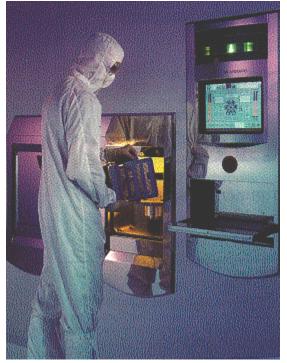
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MINI ENVIRONMENT

SMIF Cassette Loading Tools





Open Cassette Loading



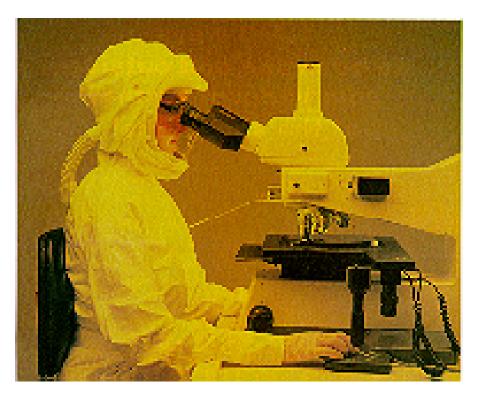
SMIF Cassette

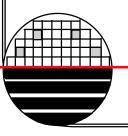
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MICROCONTAMINATION - PEOPLE

People can generate up to 2,000,000 particles per minute. By using white suits and other clean room protocols this number can be reduced to less than 10,000 particles per minute.



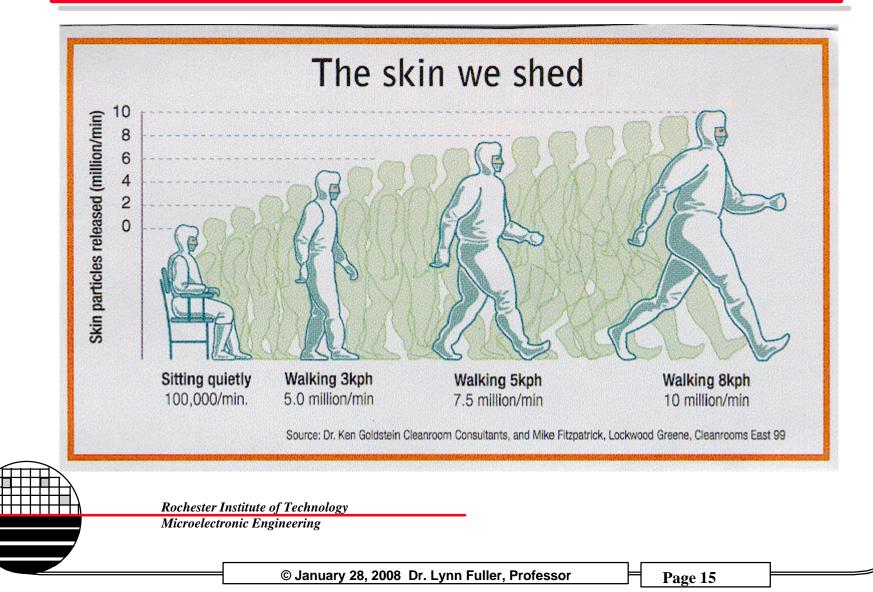


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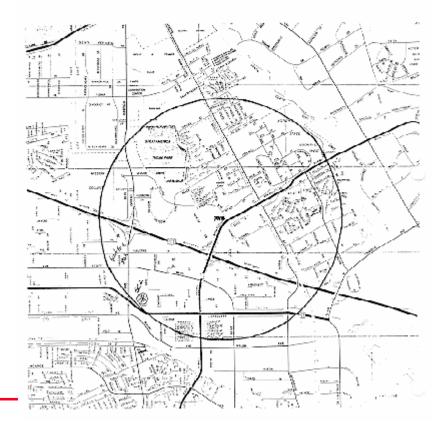
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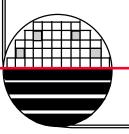
PEOPLE AND MOVEMENT



RELATIVE SIZE

Finding a 1µm particle on an 8" wafer is equivalent to finding a penny in the city of Rochester, NY Finding a 1 μm particle on an 8" wafer is equivalent to finding a penny in the area shown below.





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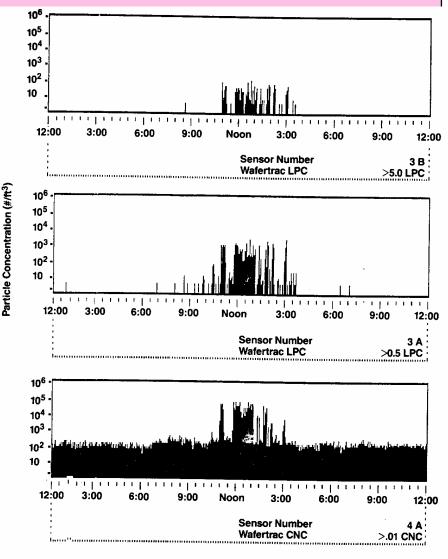
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PARTICLE COUNTS IN FAB OVER A WORKDAY

PARTICLE COUNTS OVER A WORKDAY

Laser Particle Counter (LPC) 3A and 3B and Condensation Nucleation Counter (CNC) 4A all at the same location in the fab.

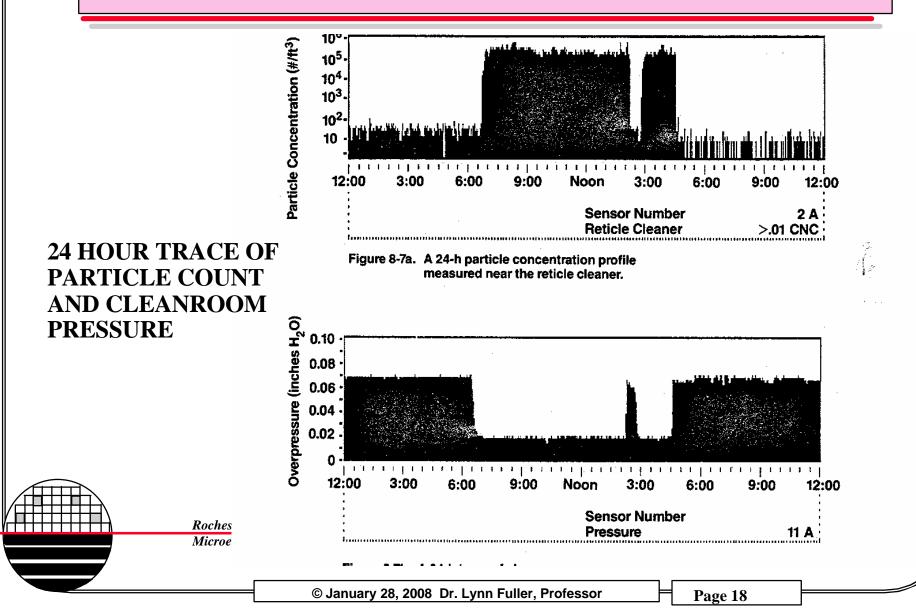


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Figure 8-4. Particle size and concentration profiles for

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POSITIVE PRESSURE IN A CLEANROOM



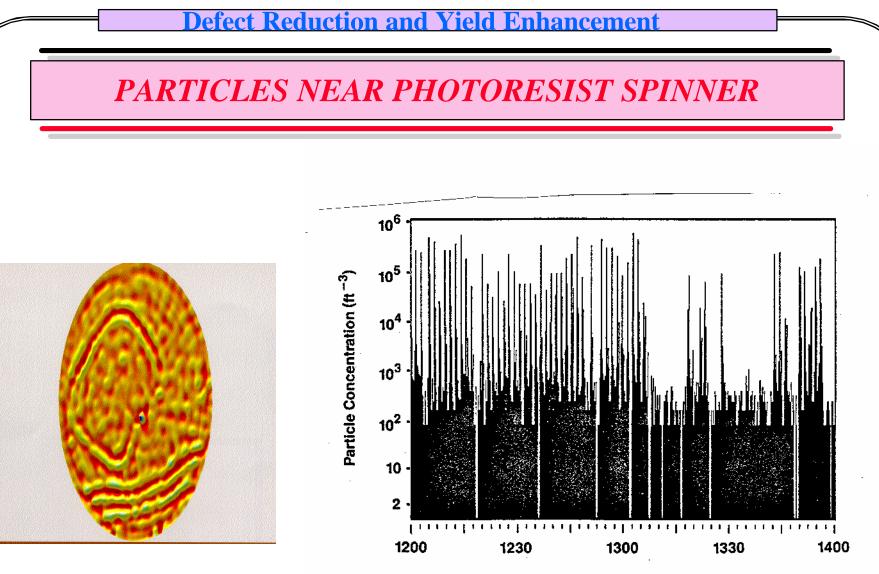


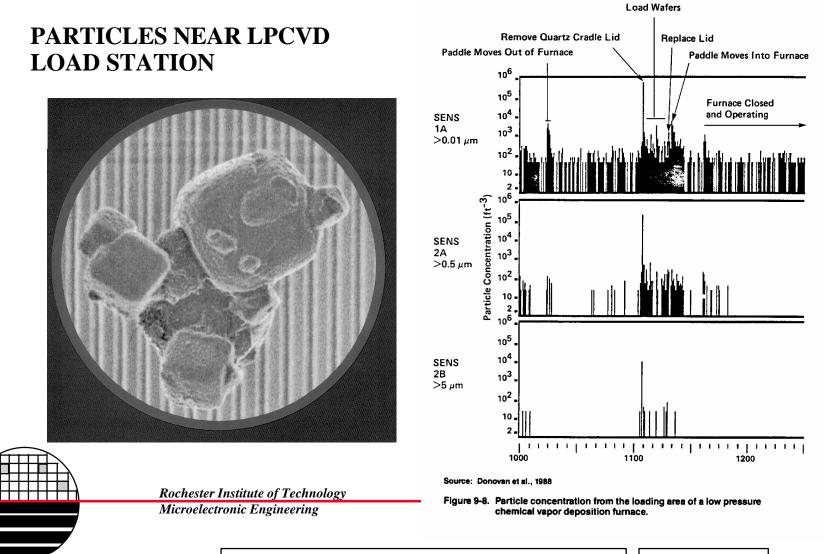


Figure 9-7. Periodic bursts of particles larger than 10 nm in the vicinity of a photoresist spinner.

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PARTICLE COUNTS NEAR LPCVD SYSTEM



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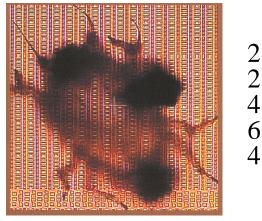
Defect Reduction and Yi	eld Enhancement			
MICRO CONTAMINATION - 1	EQUIPMENT & PROCESS			
MICRO CONTAMINATION	IN IC MANUFACTURING			
RCA CLEAN AND WET ETCH	SCALE (0-10) IMPORTANCE			
particles in liquids particles on surface of baths (langmire film deposition)	2 4			
process design	× 8			
PLASMA ETCH				
particles formed by the process	10			
particles from the gas source	2			
DIFFUSION				
particles generated in diffusion furnace 4				
due to mechanical movement				
particles from the gas source	2			
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MICRO CONTAMINATION - EQUIPMENT & PROCESS

PHOTOLITHOGRAPHY

drips airborne dust solids in the resist particles from dried developer particles from spinners

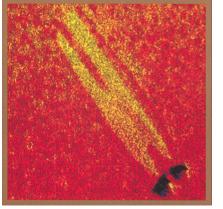


METALIZATION

flakes from previous depositions

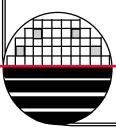
HANDELING

marks and scratches edge marks from boats and positioning fixtures particles from storage boxes



4

10



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MICRO CONTAMINATION - EQUIPMENT & PROCESS

FACILITIES PROBLEMS

loss of positive pressure	5
dirty equipment	8
dirty areas	8
people	8
ĥEPA filter failure	2
air flow problems	8
procedures	10

LPCVD

flakes from previous depositions	10
particles formed by the process	10
particles from the gas source	2

ION IMPLANT

basically clean	
mechanical moven	nent

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0 2

REVIEW

TODAY REDUCTION IN PARTICULATE CONTAMINATION IS CENTERED AROUND IMPROVEMENTS IN EQUIPMENT AND PROCESSES.

THE CLEANROOM CAN SHOW PARTICLE BURSTS MANY ORDERS OF MAGNITUDE ABOVE THE NOMINAL BACKGROUND PARTICULATE LEVEL.

MINI-ENVIRONMENTS OFFER FURTHER IMPROVEMENTS IN CLEANROOM ENVIRONMENTS.

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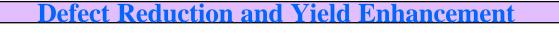
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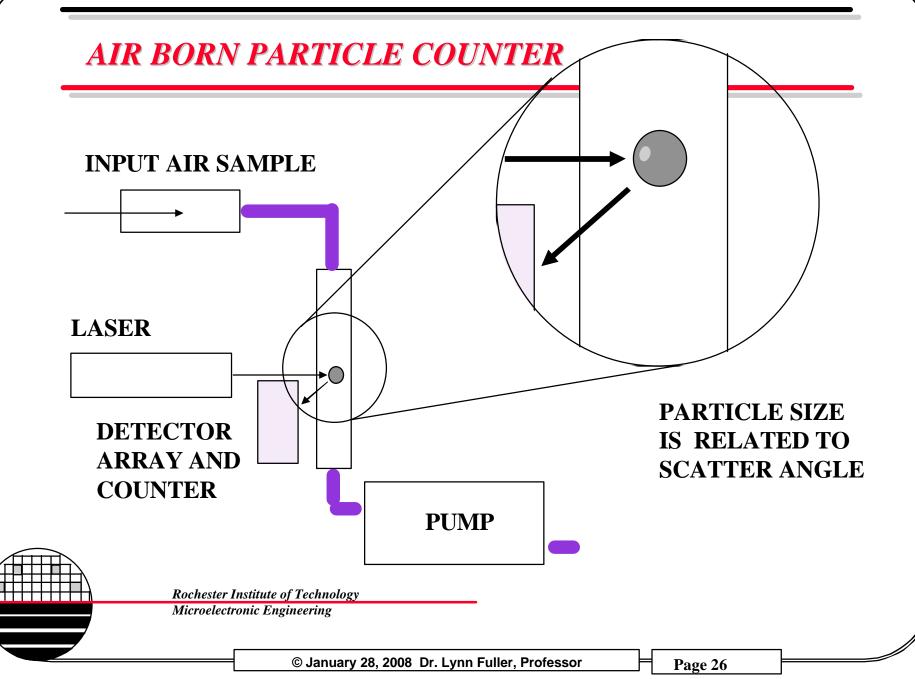
PARTICLE COUNTERS

- Scattered Light Counters Give Size and Count and Are Accurate down to 0.3 um
- Particle Size is related to scatter angle
- Condensation Nucleus Counter can be used for particles smaller than 0.3 um
 - particles are drawn through a saturated vapor (often alcohol) making the particles large enough to be counted
 - particle size is not easy to determine once nucleation is used

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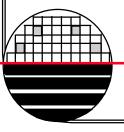




METONE PARTICLE COUNTER

(LPC) Laser Particle Counter counts air born particles <0.3, 0.5, 1.0 2, 5, >10 µm sizes. System also measures temperature, humidity and air flow.





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METONE PARTICLE COUNTER







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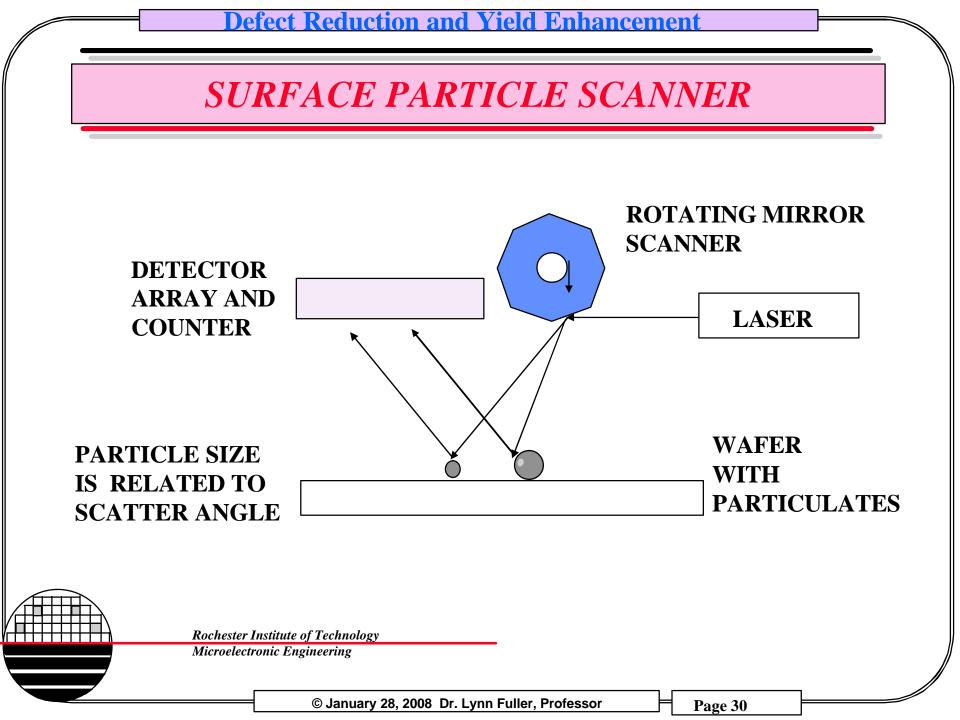
EXAMPLE PARTICLE COUNT DATA

Date	Time	Temp	Hmd	10µm	5µm	2µm	1µm	0.5µm	0.3µm
1-17-96	1.18	66.4	37.4	1	1	41	84	278	348
1-23-96	1.42	65.8	46.6	3	6	40	69	325	467
1-25-96	1.18	66.6	47.3	0	0	101	205	1111	1592
1-26-96	9.28	66.4	47.3	6	10	71	129	460	572
1-29-96	12.28	66.1	50.5	2	3	32	47	137	156
1-30-96	1.53	67.2	47.3	2	3	20	47	209	280
2-1-96	1.03	68.9	45.5	3	4	30	66	240	289
2-5-96	12.28	67.6	47	11	11	47	86	267	343
2-6-96	12.26	67.4	46.7	0	1	30	52	190	259
2-7-96	1.05	66.8	53.4	1	1	26	44	177	248
2-8-96	12.23	66.4	52.1	5	7	119	227	870	1199
2-9-96	12.23	66.3	51.1	3	6	64	111	467	653
2-12-96	11.14	65.2	49.1	3	3	55	106	506	700
2-13-96	10.33	64.1	52	2	7	45	122	717	1181

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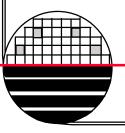
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TENCORE SURF SCAN

Gives total surface particle count and count in 4 bins <0.5, 0.5 to 2.0, 2.0-10, >10. Bin boundary can be selected. Edge exclusion eliminated count from near the edge of the wafer.



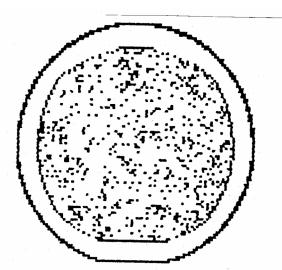


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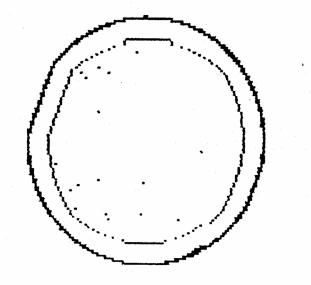
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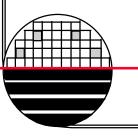
EXAMPLE SURFACE PARTICLE COUNT DATA



Before Cleaning (75 mm)				
Size Range (µm) Count				
0.2 - 0.5	104			
0.5 - 2.0	562			
2.0 - 10	19			
>10	2			



After Cleaning (75 mm)Size Range (μm) Count0.2 - 0.5100.5 - 2.042.0 - 103>100



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PARTICLE TRANSPORT MECHANISMS

RAINDROP MODEL (NOT ACCURATE) F = C V F = DEPOSITION FLUX (# / sec / unit area) C = PARTICLE CONCENTRATION (# / ft³) V = AIR VELOCITY (ft / sec)

EXAMPLE: Class 100 clean room with air velocity of 100 ft/min $F = (100)(100) / 60 ((2.54)(12))^2 = 0.02 \text{ particles/cm}^2 / \text{sec}$

this is close but overestimates the number of particles because some do not adhere to the surface. the relationship can be useful if v is replaced by an effective velocity Veff called the aerosol particle deposition velocity

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PARTICLE DEPOSITION MODELS

Veff = Aerosol Particle Deposition Velocity (number of particles / time) F = C Veff or Veff = F / C

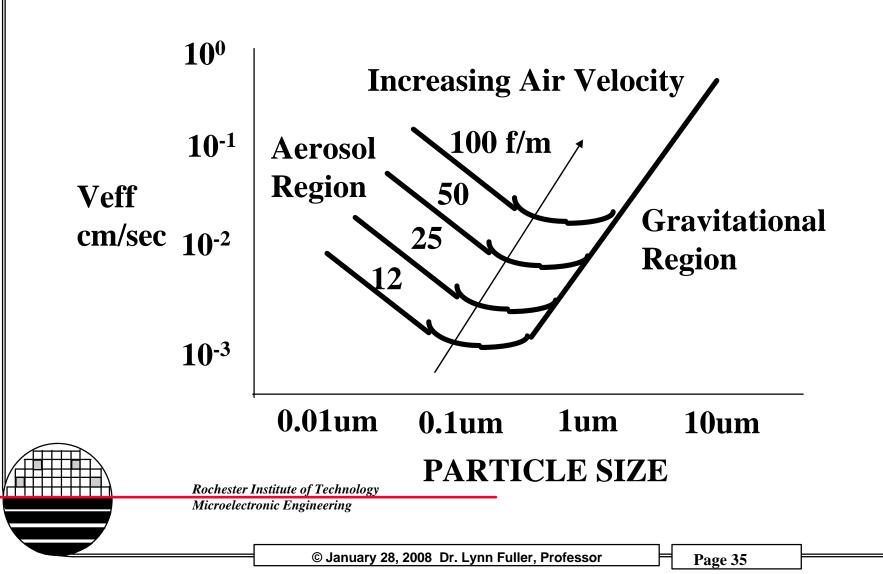
Where we find F from monitor wafers using a surface particle counter and C is measured using an Airborne Particle Counter

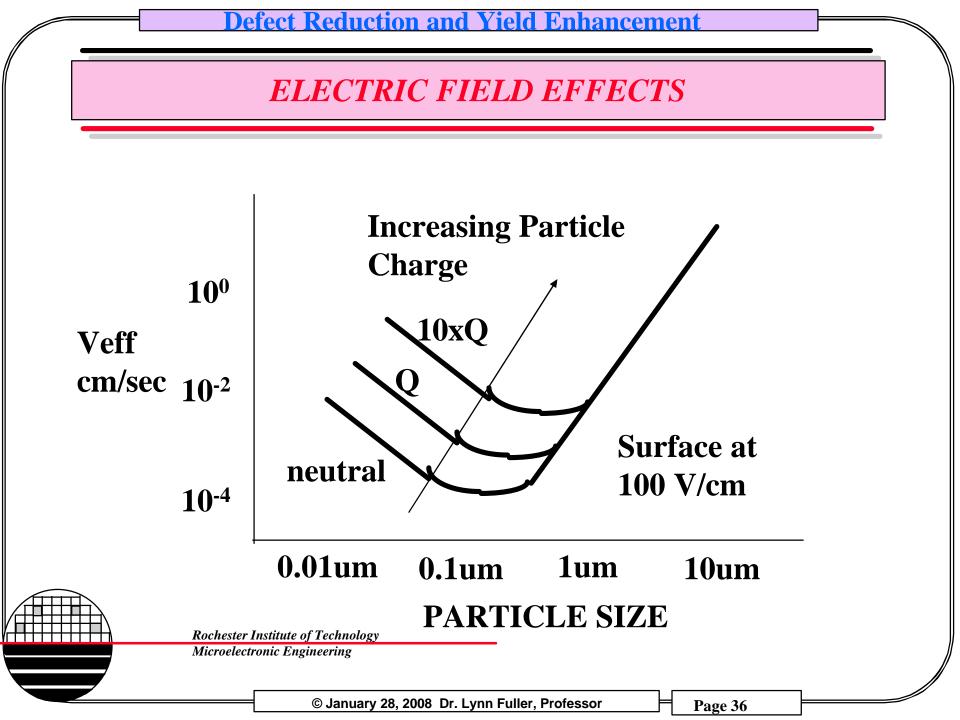
Example: After 8 hours in a class 100 clean room a 4 inch monitor wafer shows an increase in surface particle count from 50 to 550 particles.

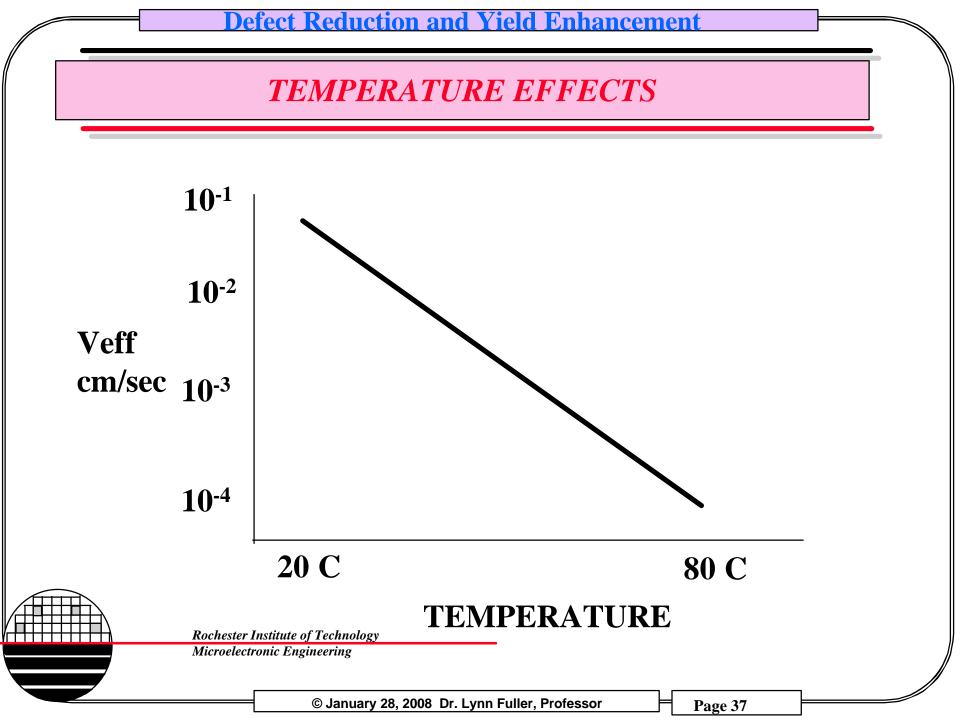
 $Veff = (550-50) / (8x60x60)((Pi (2/12)^2) \text{ particles} / \text{ft}^2 \text{sec} / 100 \text{ particles} / \text{ft}^3$ = 0.12 ft/min or 0.61 cm/sec $\frac{Rochester Institute of Technology}{\text{Microelectronic Engineering}}$

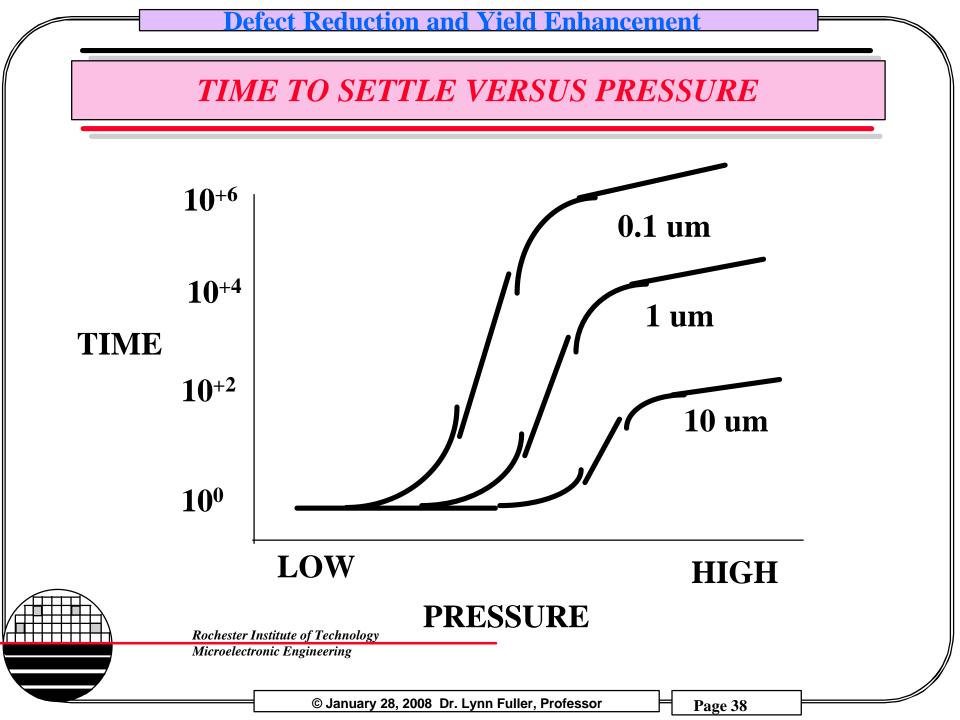
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Veff VERSUS SIZE AND PRESSURE









REVIEW

ELECTRICAL EFFECTS CAN INCREASE THE DEPOSITION VELOCITY BY 2-3 ORDERS OF MAGNITUDE, EVEN FOR LOW FIELDS OF 100 V/M

HEATING THE WAFER CAN HELP PROTECT IT FROM PARTICULATES, 50 C CAN HELP BY ONE ORDER OF MAGNITUDE

WAFERS SHOULD BE FACE DOWN IN VACUUM SYSTEMS. WHERE GRAVITY IS THE IMPORTANT PARAMETER. (CAUTION: IN PLASMA SYSTEMS ELECTRIC FIELD IS MORE IMPORTANT THAN GRAVITY)

AEROSOL PARTICLE DEPOSITION RATE IS A FUNCTION OF PARTICLE SIZE

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STATIC CHARGE

Static Charge causes a surface to be positive or negatively charged. This surface will attract oppositely charged particles and neutral particles from the air.

Sources of Static Charge:

Triboelectric or Friction Charging Charging through Induction Ion implant, SEMs or plasma processes

Triboelectric Series: (Positive) Air, Human Hair, Glass, Mica, Human Hair, Nylon, Wood, Lead, Aluminum, Paper, Cotton, Steel, Wood, Hard Rubber, Nickel and Copper, Brass and Silver, Gold and Platinum, Acetate, Rayon, Polyester, Polyurethane, Polyethylene, Polypropylene, PVC, Silicon, Teflon (Negative)

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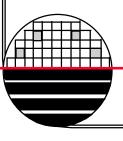
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AIR IONIZATION

All air ionization systems work by flooding the atmosphere with positive and negative ions. When ionized air comes in contact with a charged surface, the charged surface attracts ions of the opposite polarity. As a result, the static electricity that has built up on products, equipment and surfaces is neutralized.

Ions are created by high electric fields (a combination of high voltages and sharp emitter tips) The voltage can be AC (60 Hz), DC, or Pulsed. In the case of AC the air near the tips must be moving fast enough to not recombine with oppositely charged ions generated 1/60 sec later from the same tip. In DC systems a continuous high voltage is applied generating equal numbers of + and - ions. Pulsed systems apply pulsed DC high voltages. Each pulse can be negative or positive and can have separately adjusted duty cycle. Pulsed systems allow more flexibility.

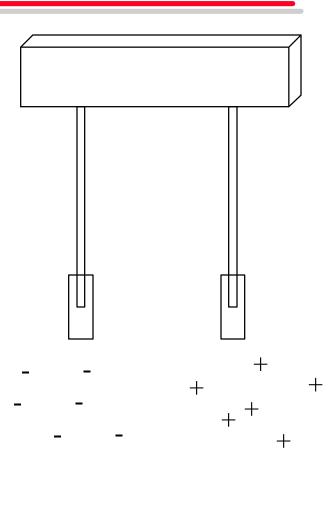


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AIR IONIZATION SYSTEMS

Pulsed DC systems use positive and negative emitter points that are turned on and off alternately to create clouds of positive and negative ions. Cycle timing and polarity can be adjusted to provide the required balance and level of static control needed in a specific environment



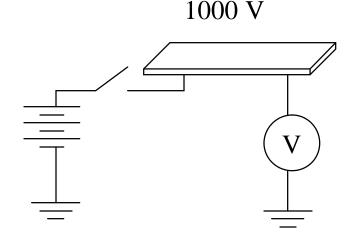
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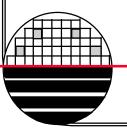
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CHARGED PLATE MONITOR

A charged plate monitor is an instrument that has an isolated conductive plate (~ 4 inches square) that can be charged to 1000 volts and the time it takes to discharge to 10% (100 volts) is determined. In normal air at 60% humidity the decay may take 12 hours. An ionization system can reduce the decay time to less than one minute.



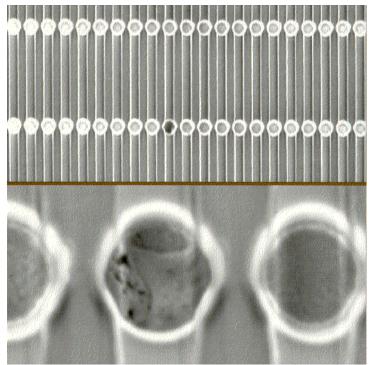


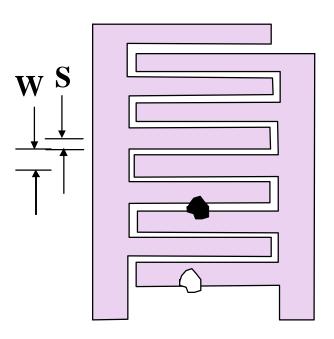
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DEFECT TEST STRUCTURES

Via chain is sensitive to contact cut problems.

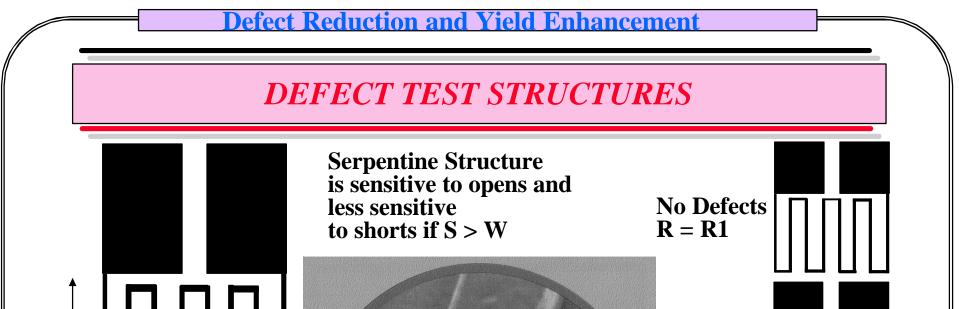




Comb Structure is sensitive to Shorts and less sensitive to opens if W > S

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L/8

W S

R=(Rhos)X(L/W)

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Open R >> R1

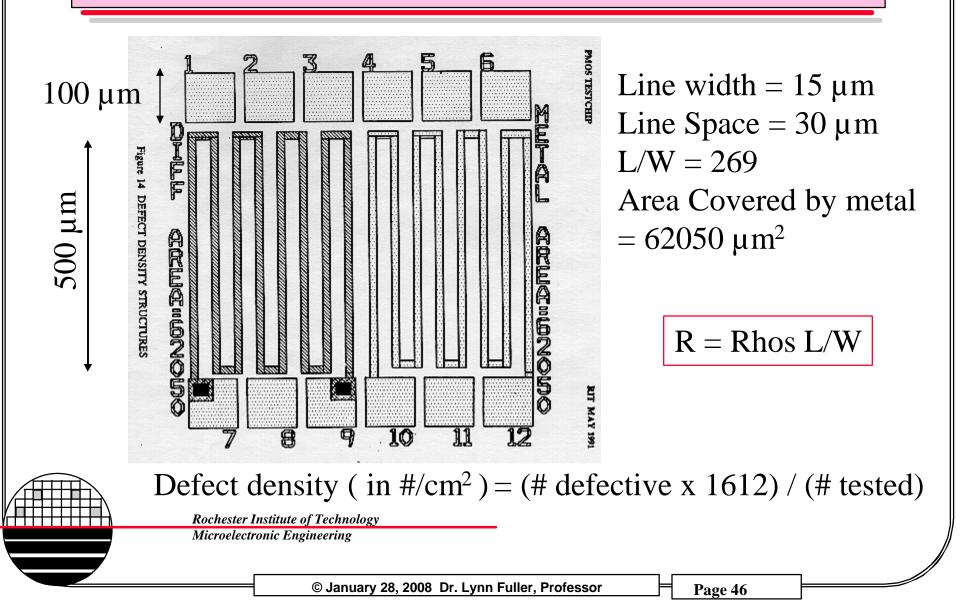
Short

Short

R <= **R**1

R << **R**1

METAL AND DIFFUSION SERPENTINE



DEFECT TEST DATA FROM 1994 AT RIT

SERPENTINE	METAL	1		POLY			
LINE WIDTH (µm)	3	6	9	2	4	6	
SPACE (µm)	9	18	27	4	12	18	
AREA (µm ²)	27117	23820	24147	31088	27920	23820	
DEFECTS FOUND	34	2	1	ALL	32	2	
DEVICES MEASURED	53	53	53	53	53	53	
YIELD	36%	96%	98%	0%	40%	96%	
DEFECTS/cm ²	2366	158	78	INFINITE	2162	158	
СОМВ	METAL	I		POLY			
LINE WIDTH (µm)	9	18	27	6	12	18	
SPACE (µm)	3	6	9	2	4	6	
AREA (µm ²)	25389	24396	22788	26160	25056	26448	
DEFECTS FOUND	1	0	1	3	3	3	
DEVICES MEASURED	53	53	53	53	53	53	
YIELD	98%	100%	98%	94%	94%	94%	
	. 70	0	83	216	207	219	

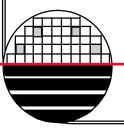
CRYSTAL DEFECTS

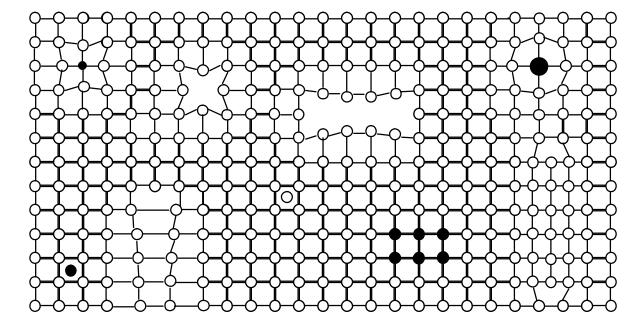
interstitial impurity
 edge dislocation
 self-interstitial
 precipitate of

 substitutional atoms
 small dislocation loop
 formed by agglomeration
 of self-interstitials
 substitutional impurity,
 widening lattice
 vacancy
 dislocation loop formed
 by agglomeration

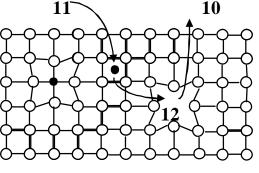
by agglomeration of vacancies

9. substitutional impurity, compressing lattice





10. Schottky defect11. interstitial arrivingfrom surface12. Frenkel defect



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INFLUENCE OF CRYSTAL DEFECTS ON DEVICES

Leakage Currents in PN Junctions **Precipitates, Dislocations Collector-Emitter Leakage in BJTs Precipitates, Dislocations Minority Carrier Lifetime Point Defects, Point-Defect Clusters** Gate Oxide Quality, Oxide leakage, Oxide Breakdown Voltage metallic contamination, defect density on surface, oxygen precipitates at the surface **Threshold Voltage Control** surface state density

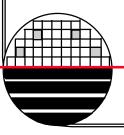


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BASIC GETTERING PRINCIPALS

- **1. Suppress Nucleation of More Crystal Defects by Controlling Thermal Stresses and resultant dislocations.**
- 2. Remove Existing Crystal Defects by High Temperature Annealing or Creation of Oxygen Denuded Zones at the wafer surface, removing Oxygen precipitates near the surface.
- **3. Remove Point Defects by Gettering (capturing contaminates at locations away from device locations) Yield Improvements Can Be Made**



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REDUCTION OF MOBILE METAL CONTAMINATES

Cu, Ni, Au, Fe are highly mobile and diffuse long distances at moderate temperatures, find defects and are captured

Cu can diffuse 600 um in 1 min at 900 C

Fe can diffuse 100 um in 1 min at 1000 C

Low Temperature Processes introduce fewer impurities from the furnace.

HCl Cleaning of Furnace Tubes

Double Wall Furnace Tubes

Eliminate Metal Tweezers

Replace Stainless Steel with Silicon, Carbon and Aluminum Parts

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EXTRINSIC GETTERING

HEAVY PHOSPHOROUS DIFFUSION OF THE BACKSIDE OF THE WAFER WILL CAUSE DEFECTS THAT CAN CAPTURE METAL CONTAMINATES

MECHANICAL DAMAGE TO BACKSIDE OF THE WAFER, ABRAISON, SANDBLASTING

LASER DAMAGE

ION IMPLANT DAMAGE

DEPOSITION OF POLYSILICON ON BACK OF WAFER

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INTRINSIC GETTERING

STARTING WAFERS WITH 15-19 PPMA OXYGEN CONCENTRATION

1. DENUDED ZONE FORMATION - HIGH TEMPERATURE STEP TO REDUCE OXYGEN CONCENTRATION NEAR WAFER SURFACE

2. NUCLEATION OF SiO2 CLUSTERS - LOWER TEMPERATURE STEP

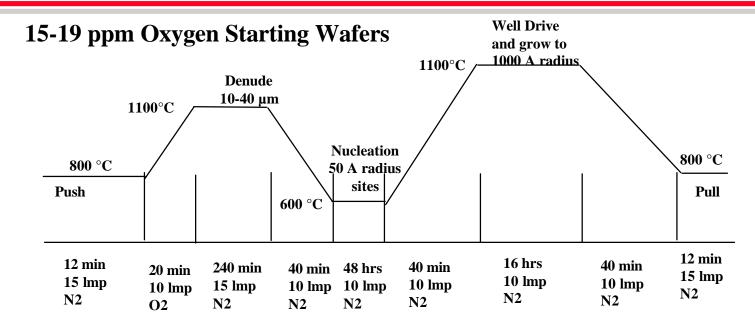
3. PRECIPITATE GROWTH AND GETTERING - HIGH TEMPERATURE STEP FOR GROWING SiO2 CLUSTERS AND FORMING DISLOCATION LOOPS, GETTERING SITES

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INTRINSIC GETTERING



15-20 ppm Oxygen Starting Wafers

T1 = 1100 C, t1 = 240 min., give 10-40 um Denuded Zones T2 = 600 C, 4 to 64 hours, nucleate 50 A radius sites T3 = 1100 C, 4 to 16 hours, Grow 1000 A radius sites

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FURNACE CONTAMINATION

Contamination from high temperature furnace operation

Furnace tube used for p-type or n-type diffusion will dope bare wafers simply by transfer of dopant molecules from the furnace wall to the wafers.

In the case of Boron, B2O3, Boric Oxide, from spin on glass and glass transferred from solid sources

In the case of Phosphorus, P2O5, melts at 360 C and is a gas at high temperatures. This glass is a vapor at 900 C and can move from surface to surface and dope a bare silicon substrate.

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REMEDIES FURNACE CONTAMINATION

Ceramic Liners Chlorine Procedures to Prevent Contamination

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CONTAMINATION IN VACUUM SYSTEMS

When solids are heated they will go to the liquid state (Hg is already liquid at room T) at the melting point. Solids will also go to the gas state at the correct combination of temperature and pressure. This is the vapor pressure and is often given as a function of temperature. As pressure is decreased the temperature at which solids will vaporize lowers and if this temperature is below the melting point then the material will sublime (go to gas state without going through the liquid state) In our LPCVD systems and sputtering systems temperatures around 400 C and pressures below 1x10-5 Torr are common. At these temperatures and pressures many metals sublime and can be a source of contamination for the next users of the system. For example Zinc is a component of brass, cadmium is used to plate steel screws, sulfur and selenium are used in stainless steel ver-#303. These materials all sublime at 400 C and $1 \times 10-5$ Torr and will contaminate the equipment as a result.

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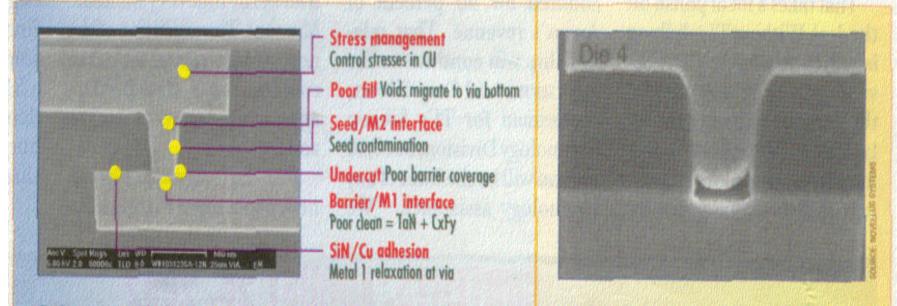
MELTING POINT & VAPORIZATION PRESSURE DATA FOR VARIOUS MATERIALS

Temp@					Temp@					
Material Me	lt Point	Vapo		-	Mate	rial Melt	Point	Vapor	Press	ure
		10	-8 10-	·6 10 -4				10 -8	10-6	10-4
Al	660	677	812	1010	Ge		660	677	812	1010
Arsenic	814	107	152	210	Gold		1062	807	947	1132
Barium	725	545	627	735	Hafn	ium Oxide	2812	-	-	2500
Beryllium	1278	710	878	1000	Iron Iron	Oxide	1425			
Bismuth	271	330	410	520	Nicke	el	1453	927	987	1262
Boron	2100	1278	1548	1797	Platir	num	1769	1292	1492	1747
Boron Nitride	2300	-	-	1300	Selen	ium	217	89	125	170
Cadmium	321	64	120	180	Silver	r	961	574	617	684
CdS	1750			550	Tanta	alum	2996	1960	2240	2590
CdTe	1098			450	Titan	ium	1668	1067	1235	1453
Chromium	1890	837	977	1177	Tung	sten	3410	2117	2407	2757
Gallium	30	619	742	907		Oxide	1975			
GaAs	1238				Zicon	nium	1852	1477	1702	1987

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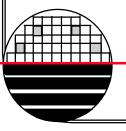
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Via Failure



A slew of detailed process problems can lead to via failures.

Vias can pull away from the metal layer.



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REFERENCES

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2. "Silicon Processing for the VLSI Era", Wolf and Tauber, Ch.2. Crystalline Defects, Thermal Processing and Gettering, Lattice Press, CA.

- 3. SEMATECH Cost of Ownership Presentation, July 1993.
- VLSI Technology, Ch. 14 "Yield and Reliability", Sze.
 "Yield Analysis Software Solutions", Semiconductor International, January 1996, Pg 79.
- 6. "Equipment Generated Particles: Ion Implantation", Semiconductor International, September 1991.

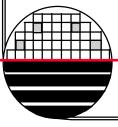
7. Controlling Process Equipment Contamination in the 90's", Semiconductor International, October 1993.

8. "Designing Particles Out of the Deposition Process - Titanium Nitride Films", 1991 IEEE/SEMI International Semiconductor Manufacturing Science Symposium.

9. Self-Analysis of CCD Image Sensors Using Dark Current Spectroscopy, W.C.McColgin, J.P.Lavine, C.V.Stancampiano, J.Kyan, D.N.Nichols, Eastman Kodak Research Laboratories, Rochester, New York.

10. Resist Implant Problems: Some Solved, Others Not", Semiconductor International, June 1992.

11. Ion Systems, 1005 Parker Street, Berkeley A 94710, ionsys@ion.com.



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HOMEWORK - YIELD

1. Calculate the defect density for the following examples:

(a) 256K DRAM with die size 0.4 cm by 0.4 cm and Yield of 75%(b) 1M DRAM with die size of 1.4 cm by 0,4 cm and Yield of 55%,(c) 4 M DRAM with die size of 1.4 cm by 1.4 cm and Yield of 20%.

2. What defect density will be needed to give a 85% Yield on 16M devices with area four times the 4 M DRAM in problem 1.

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HW - READING QUESTIONS - DEFECTS

This homework refers to the following articles and abstracts: 1. "Self-Analysis of CCD Image Sensors using Dark Current Spectroscopy", Eastman Kodak Company.

- 2. "Controlling Process Equipment Contamination in the 90's", Semiconductor International" October, 1993.
- 3. "Equipment Generated Particles: Ion Implantation", Semiconductor International, September 1991.
- 4. "Designing Particles Out of the Deposition Process Titanium Nitride Films", IEEE/SEMI International Semiconductor Manufacturing Science Symposium, July 1991.
- 5. "Yield Analysis Software Solutions", Semiconductor International, January 1996.

Generate a set of two questions and answers that illustrate the main points of each article. (total of 10 questions with answers)

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