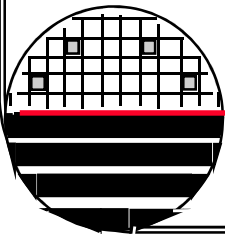


**ROCHESTER INSTITUTE OF TECHNOLOGY
MICROELECTRONIC ENGINEERING**

Modeling of Microlithographic Processes

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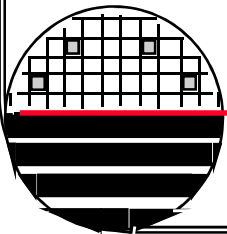


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Revision Date: 5-6-2002 LEC_MODL.PPT

OUTLINE

Modeling
Numerical Aperture, Magnification
Diffraction, Single Slit, Double Slit, Grating
Rayleigh's Criterion
Fourier Optics
Modulation Transfer Function
Coherency
Reflections
Exposure, Bleaching, A,B,C Parameters
Development
Prolith



MODELING

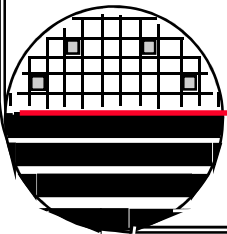
Modeling means to obtain mathematical expressions
For the following:

AERIAL IMAGE - which depends on the parameters of the optical system, numerical aperture, coherency, wavelength

REFLECTIONS - local irradiance depends on reflections from the multilayer substrate

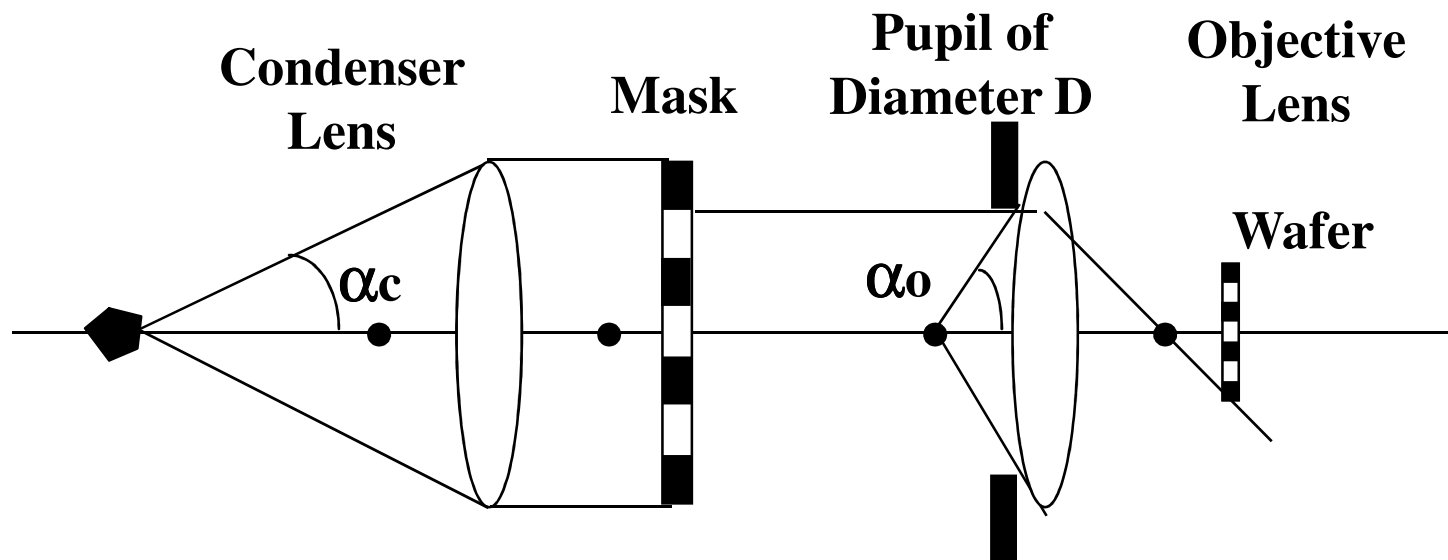
RESIST BLEACHING- resist bleaches during exposure which in turn changes parameters used in the reflection of the degree.

RESIST DEVELOPMENT- is a function of inhibitor concentration remaining after exposure.



NUMERICAL APERTURE

The numerical aperture is a quantitative measure of the acceptance angle of a lens, and is important in predicting resolution and depth of focus.

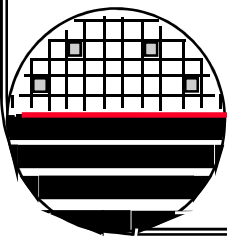


$$NA = n \sin (\alpha)$$

where n = index of refraction of medium

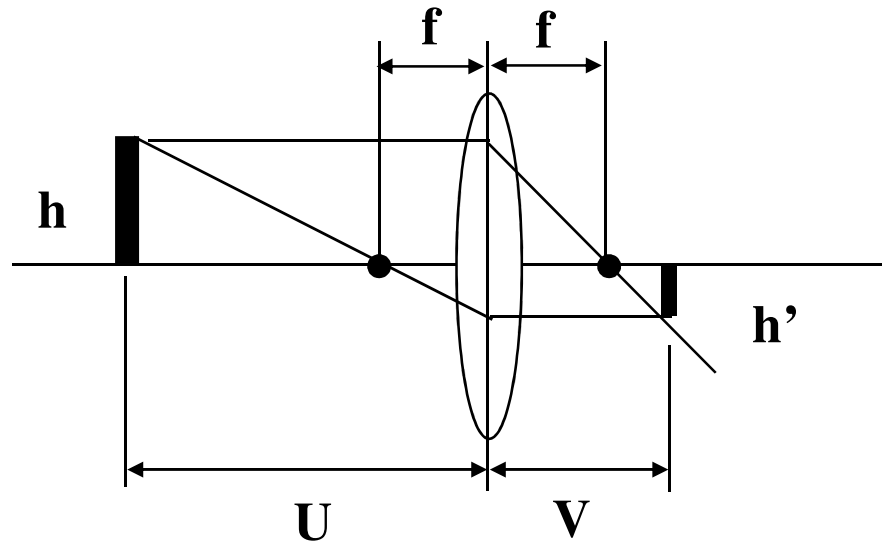
$$NA = n \sin (\tan^{-1} (D/2f))$$

$$NA = D/2f$$



MAGNIFICATION

Simple Lens:

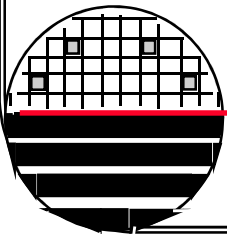


M = Magnification

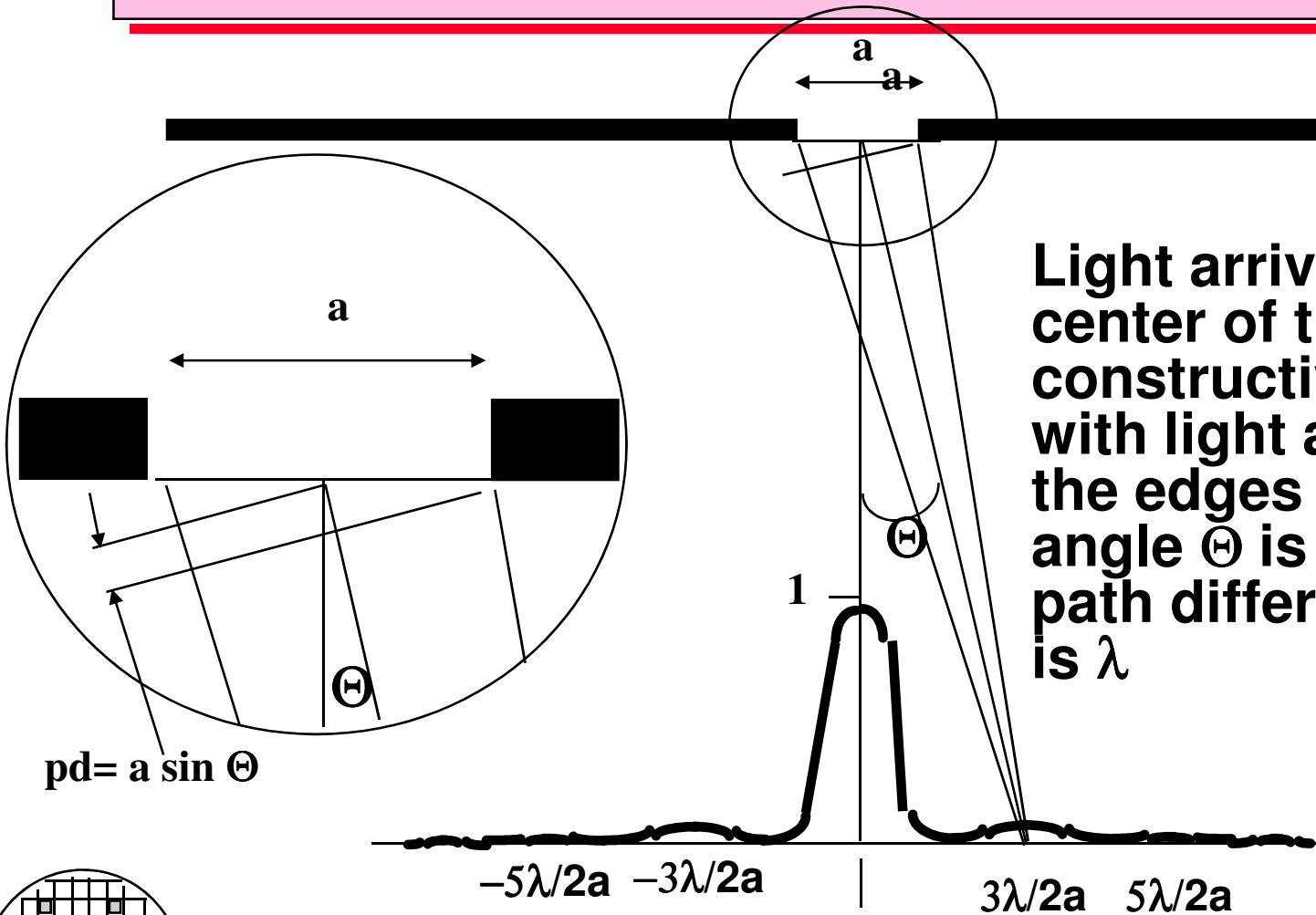
$$U = f (1 + 1/M)$$

$$V = f (1 + M)$$

$$h' = M h$$



SINGLE SLIT DIFFRACTION

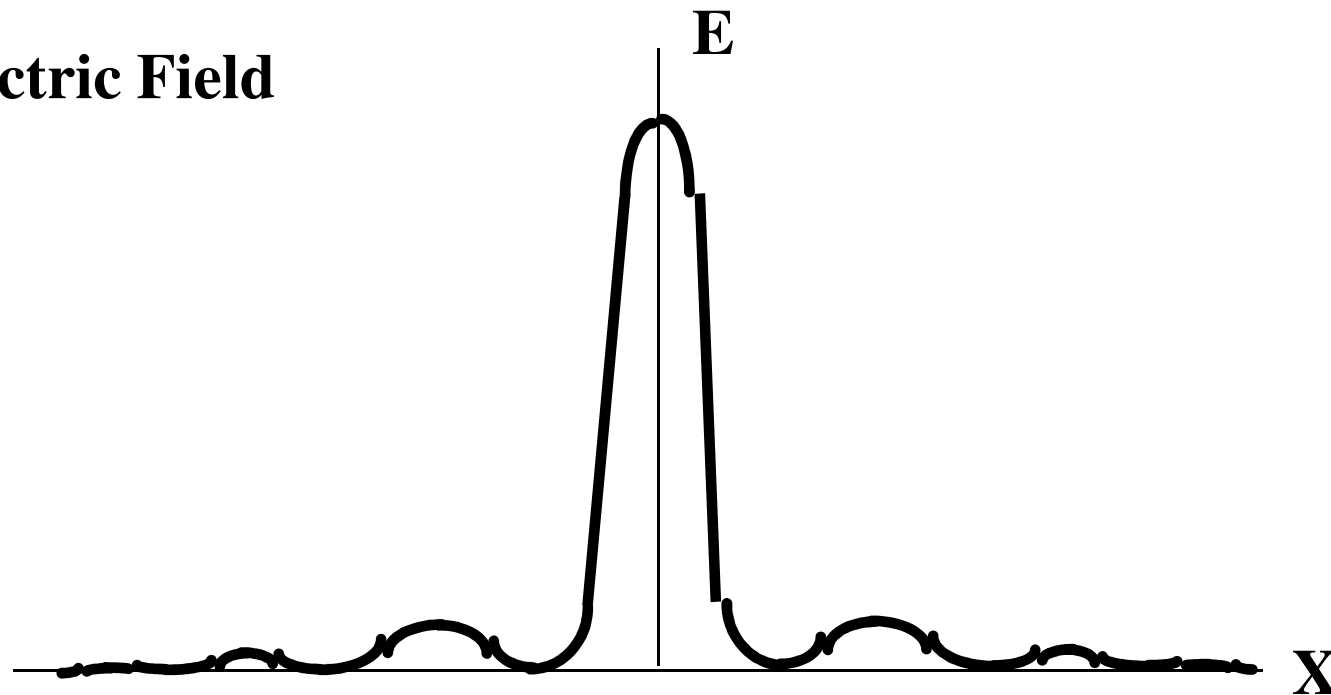


Light arriving from the center of the slit constructively interferes with light arriving from the edges when the angle Θ is λ/a and the path difference (pd) is λ

AIRY DISK

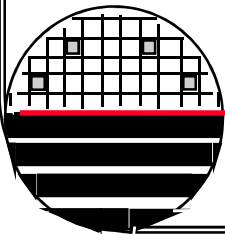
Image of a point source of wavelength λ formed by a system with numerical aperture NA

Electric Field

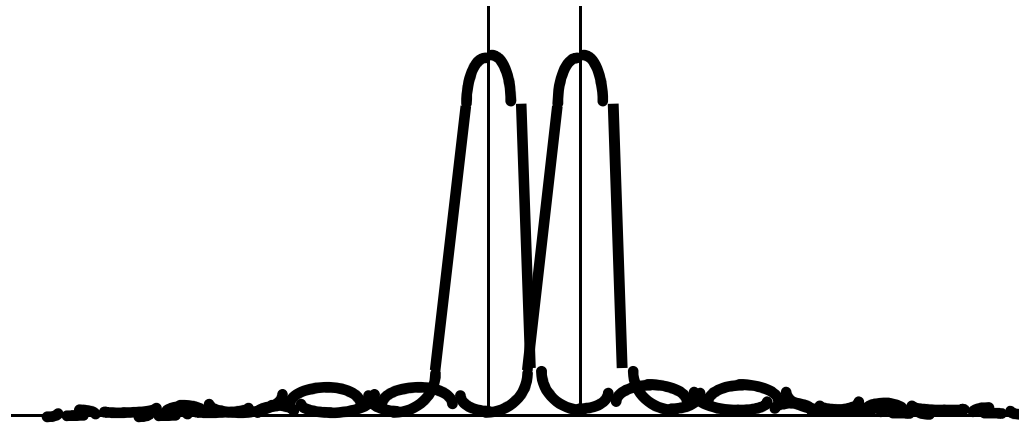


$$X1 = 0.61 \lambda / NA$$

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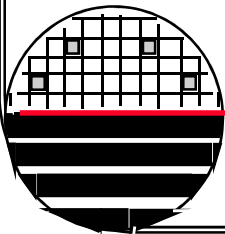


LORD RAYLEIGH'S CRITERION



When looking at two stars (point sources): two stars are just resolved when the peak of one Airy disk falls on the minimum of the second airy disk

$$L_{\min} = 0.61 \lambda / NA$$

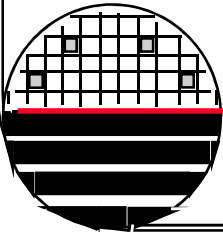


RESOLUTION AND DOF ESTIMATE FROM RAYLEIGH CRITERION

$$L_{min} = 0.61 \lambda / NA$$

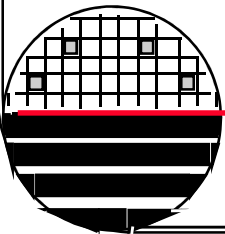
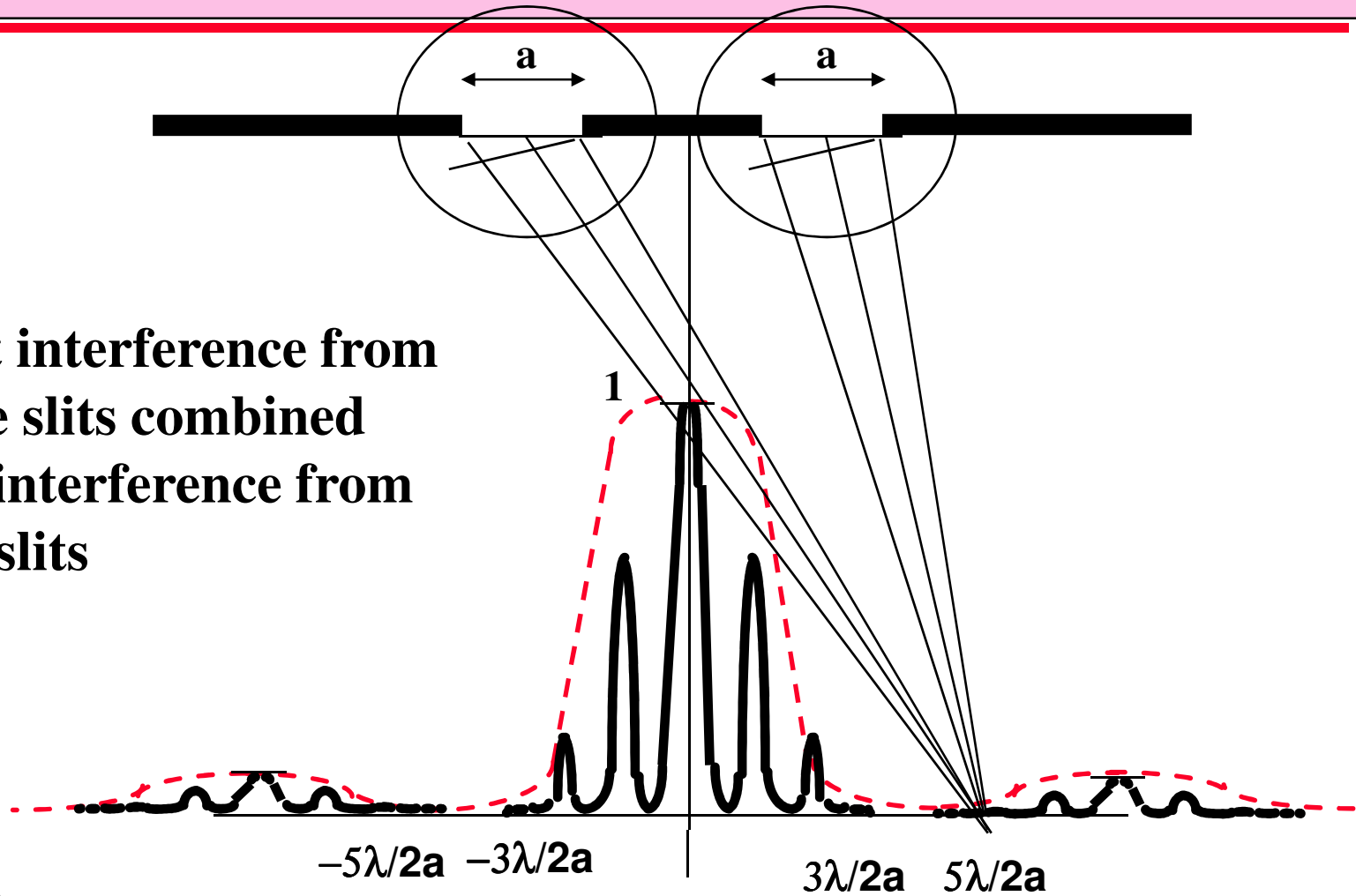
$$DOF = \lambda / (2(NA)^2)$$

	g-line	i-line	i-line	KrF	ArF
λ	436 nm	365nm	365nm	248nm	193nm
NA	0.28	0.28	0.52	0.52	0.60
Lmin	0.95 μ m	0.80 μ m	0.50 μ m	0.35 μ m	0.22 μ m
DOF	2.78 μ m	2.33 μ m	0.8 μ m	0.64 μ m	0.268 μ m



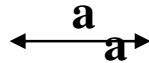
DOUBLE SLIT DIFFRACTION

Light interference from single slits combined with interference from both slits

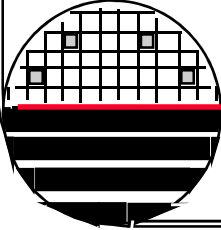
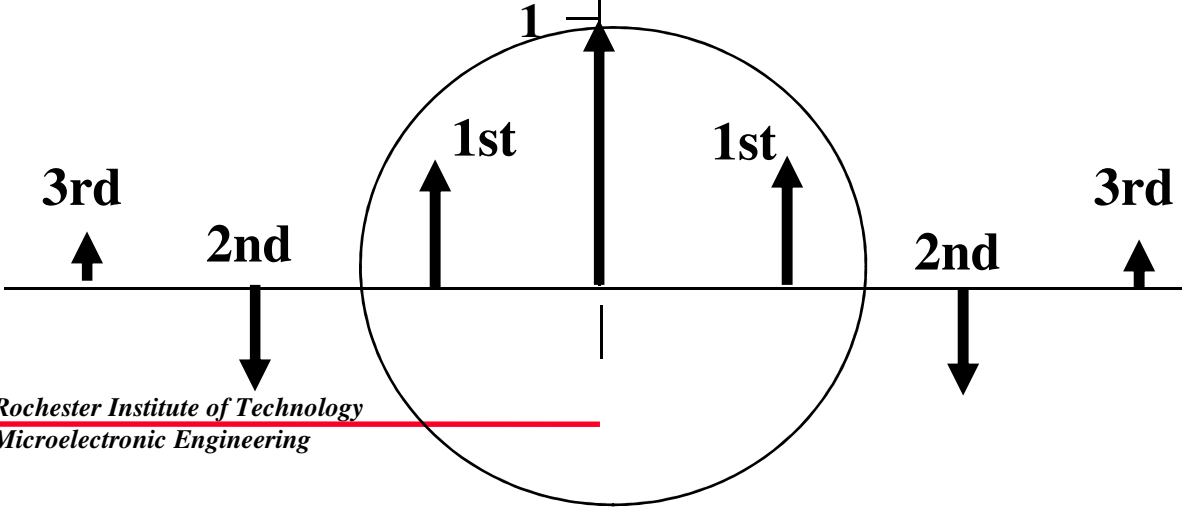


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DIFFRACTION GRATING



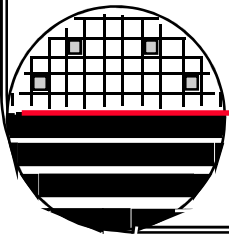
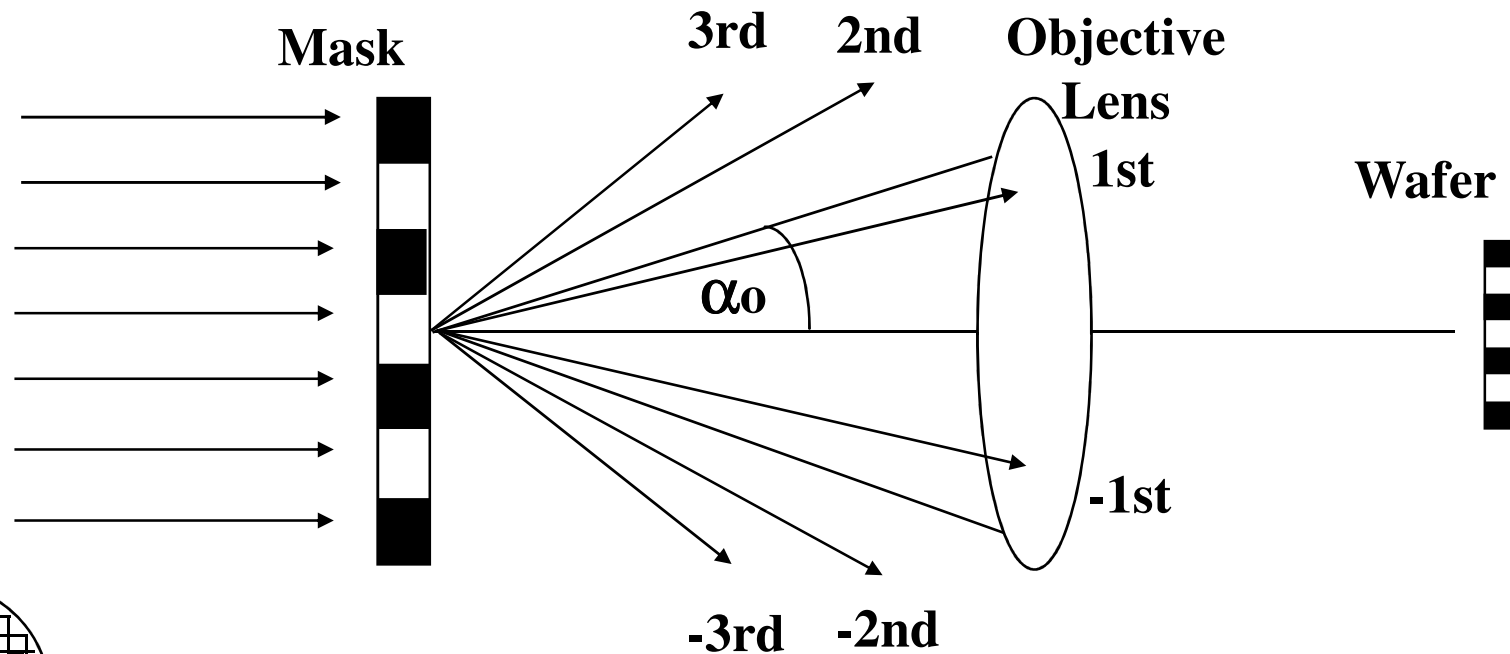
Light is diffracted into a series of intensity spots called diffraction orders



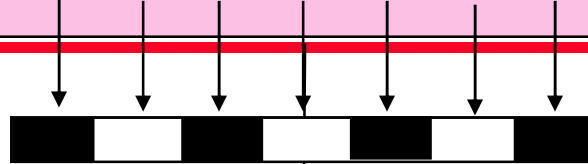
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LENS COLLECTION OF DIFFRACTED IMAGE

If we think of a mask as a diffraction grating, we see that the lens is able to collect only the 1st diffraction order, thus the aerial image on the wafer is sinusoidal with amplitude determined by the system modulation transfer function at that spatial frequency



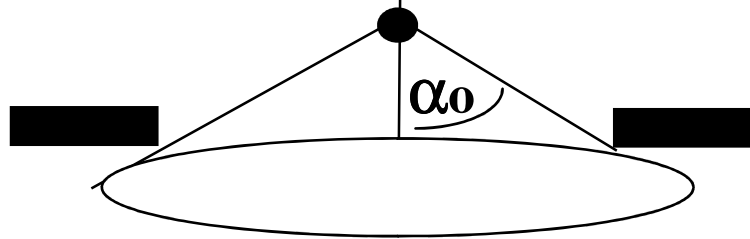
MODULATION OF AERIAL IMAGE



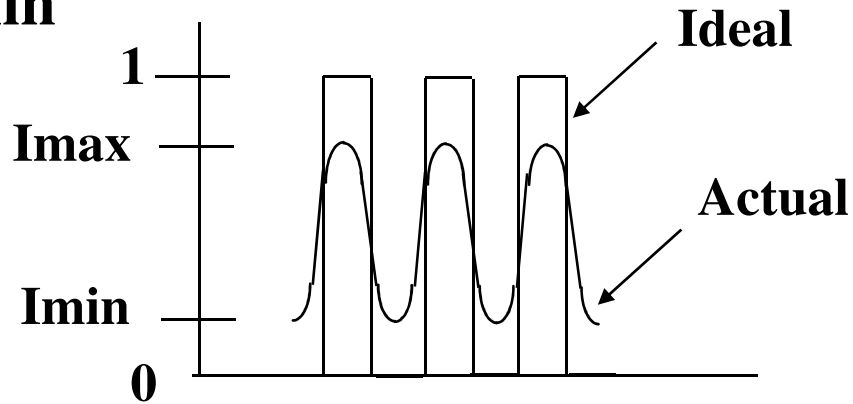
Mask

Modulation:

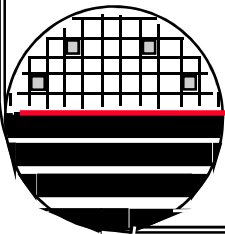
$$M = \frac{I_{max} - I_{min}}{I_{max} + I_{min}}$$



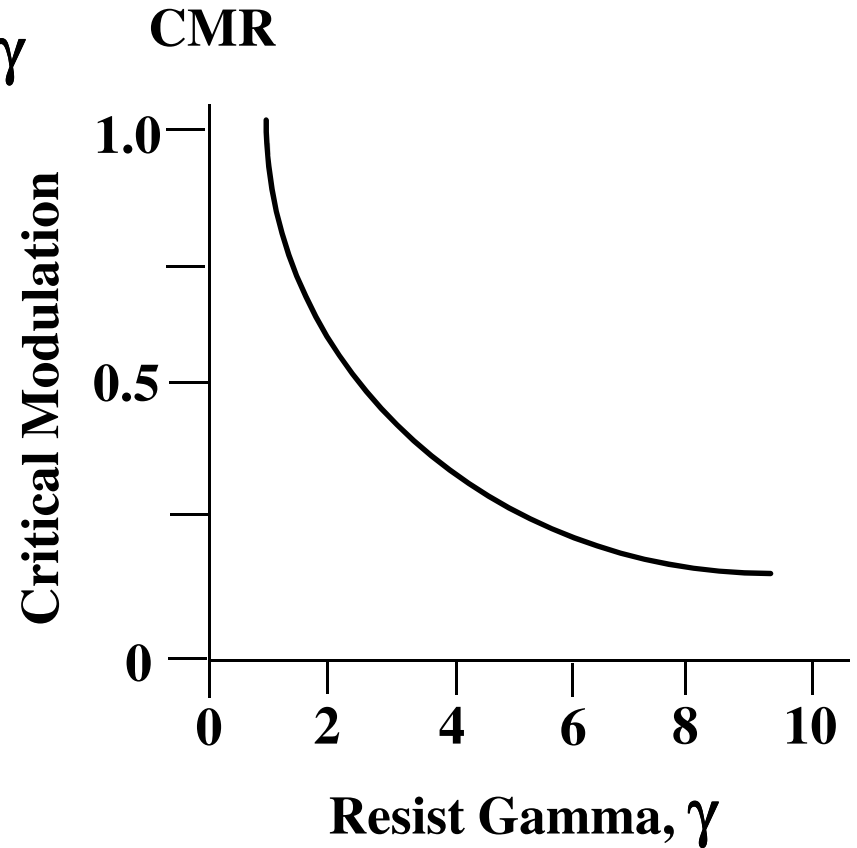
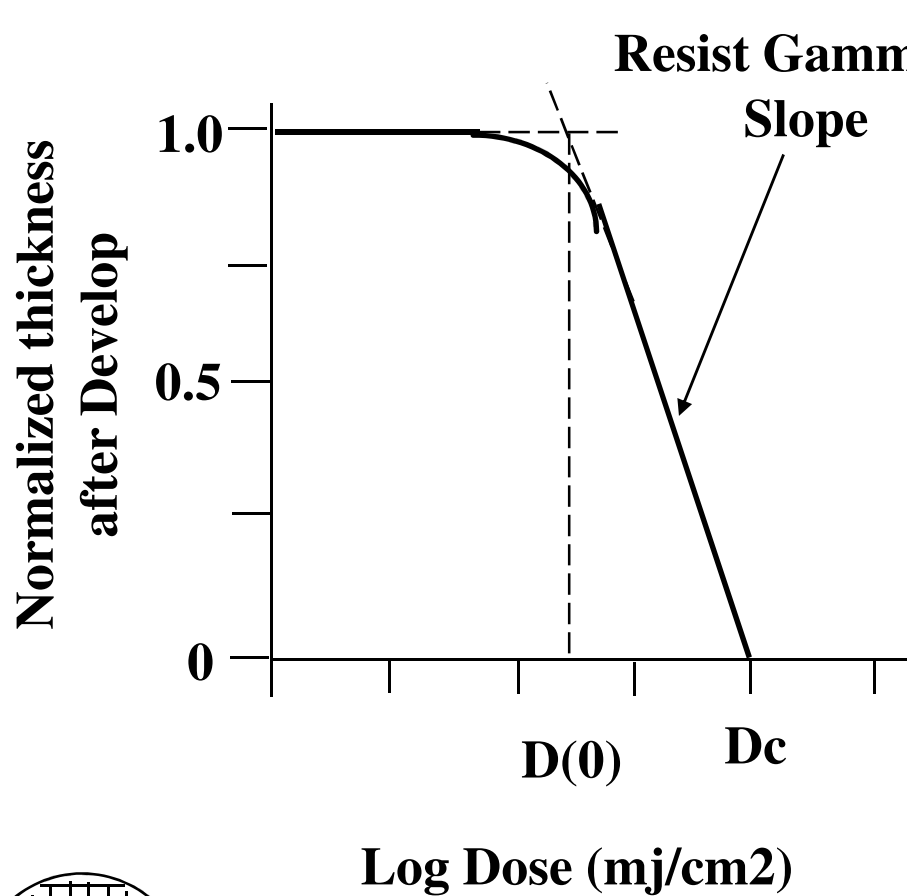
Objective Lens



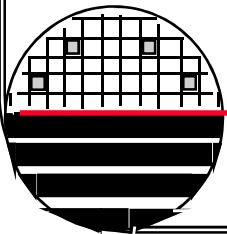
Wafer



CRITICAL MODULATION FOR RESIST (CMR)



$$CMR = (10^{1/\gamma} - 1) / (10^{1/\gamma} + 1)$$



FOURIER SERIES REPRESENTATION OF A PERIODIC FUNCTION

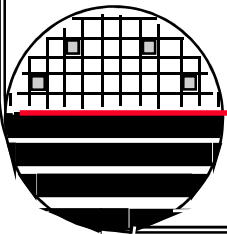
$$\text{Mask Pattern (x)} = A_0/2 + \sum_{m=1}^{\infty} B_m \sin (mkx)$$

where $k = 2\pi / \lambda$

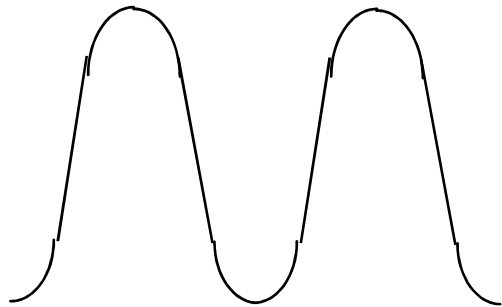
and $\lambda =$ spatial wavelength

$$\text{and } B_m = 2/\lambda \int_0^{\infty} f(x) \sin (mkx) dx$$

Any periodic function can be created from an infinite summation of harmonically related sinusoids

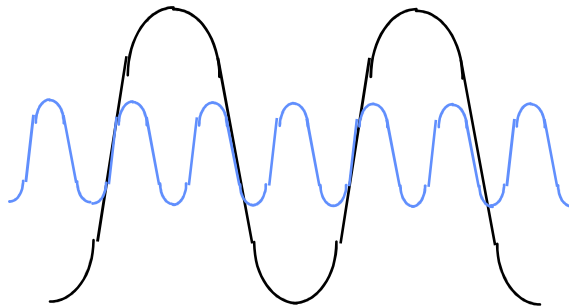
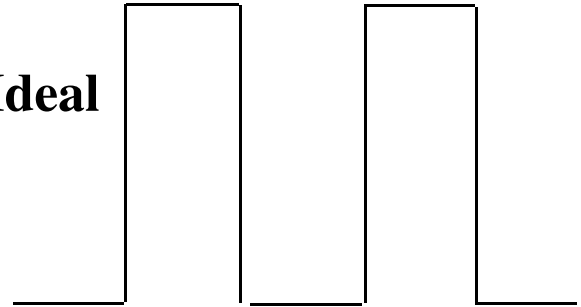


FOURIER SERIES

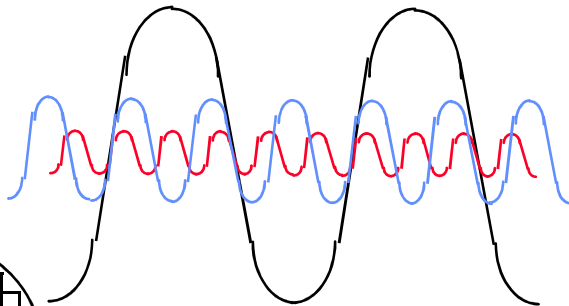
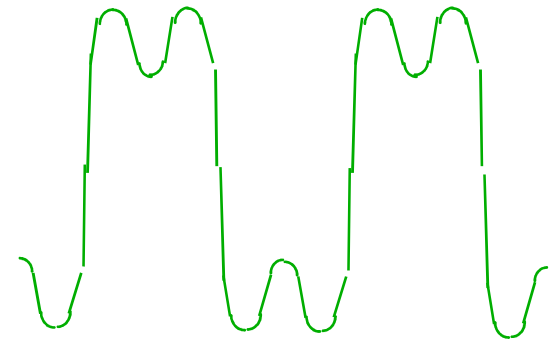


First

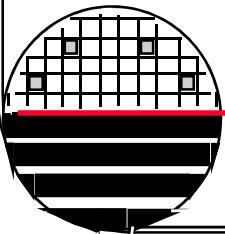
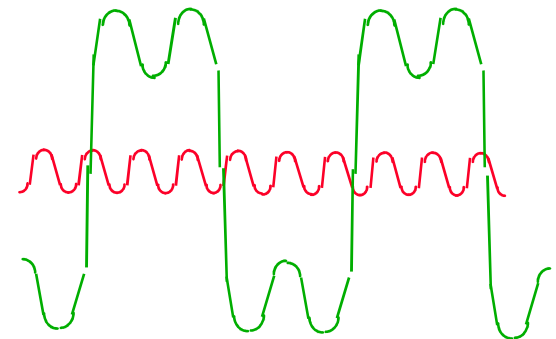
Ideal



**First
+
third**



**First
+
third
+
fifth**

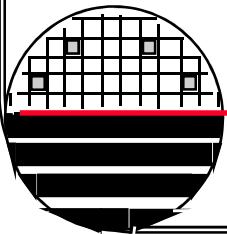
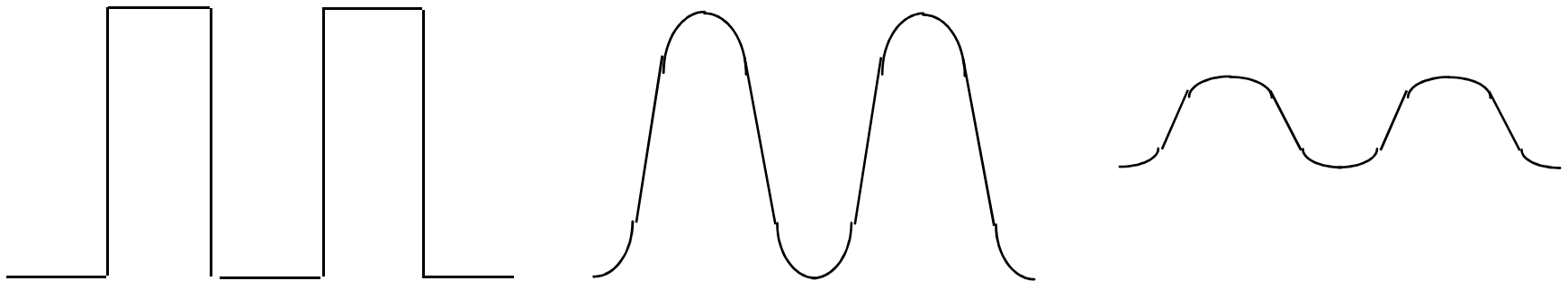


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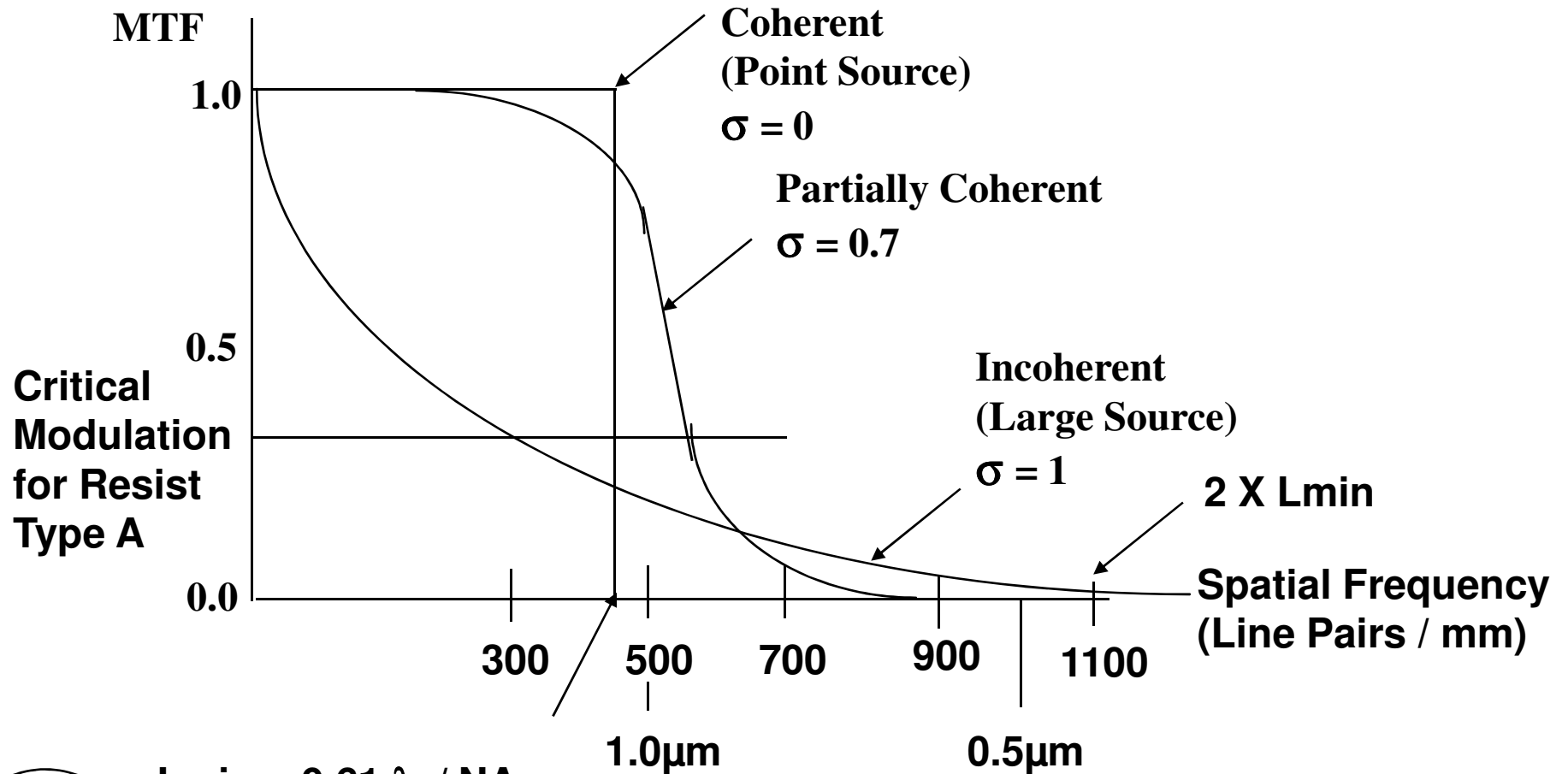
CALCULATION OF AERIAL IMAGE

$$\text{Wafer Pattern}(x) = A_0/2 + \sum_{m=1}^{\infty} \text{MTF}(mk/2\pi)A_0/2 \text{Sin}(mkx)$$

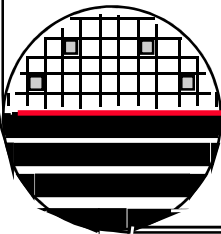
where $\text{MTF}(mk/2\pi)$ is the value of the modulation transfer function at spatial frequency $\nu = mk/2\pi$



MODULATION TRANSFER FUNCTION (MTF)



$L_{min} = 0.61 \lambda / NA$



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COHERENCY

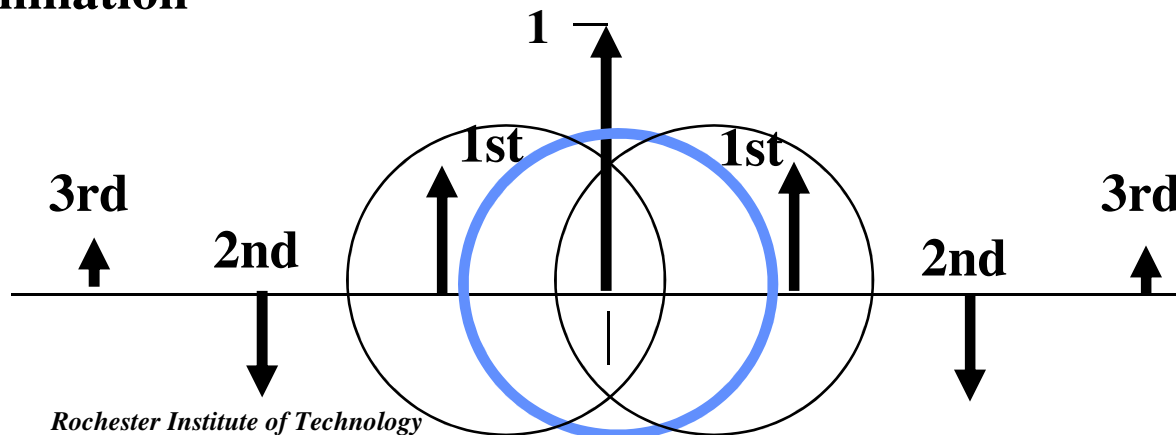
Coherent - Normally incident plane wave illumination (point source)

Incoherent - a continuous spectrum of plane waves with incident angles ranging from +/- 90 degrees (infinite size source)

Partially Coherent - A finite range of incident Angles of the plane waves

$\sigma = NAc/NAo$ Numerical Aperture of Condenser Lens divided by Numerical Aperture of the Objective Lens.

Off Axis illumination allows images to be formed from the + or - 1st diffraction order techniques include **ring illumination**, **quadrapole illumination**, **variable pupil**, and **gaussian illumination**

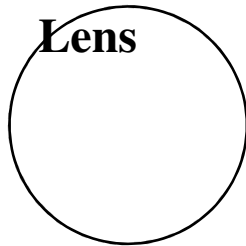


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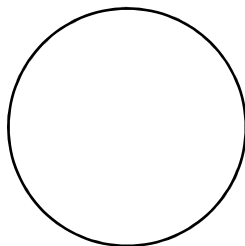
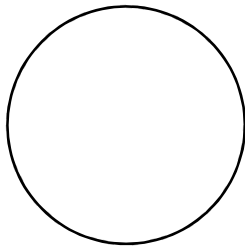
MTF AND COHERENCY

Illumination Source

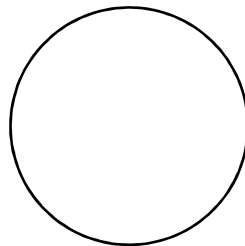
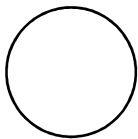
Objective Lens



**Coherent
(Point Source)
 $\sigma = 0$**

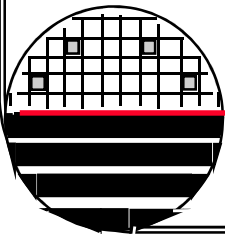
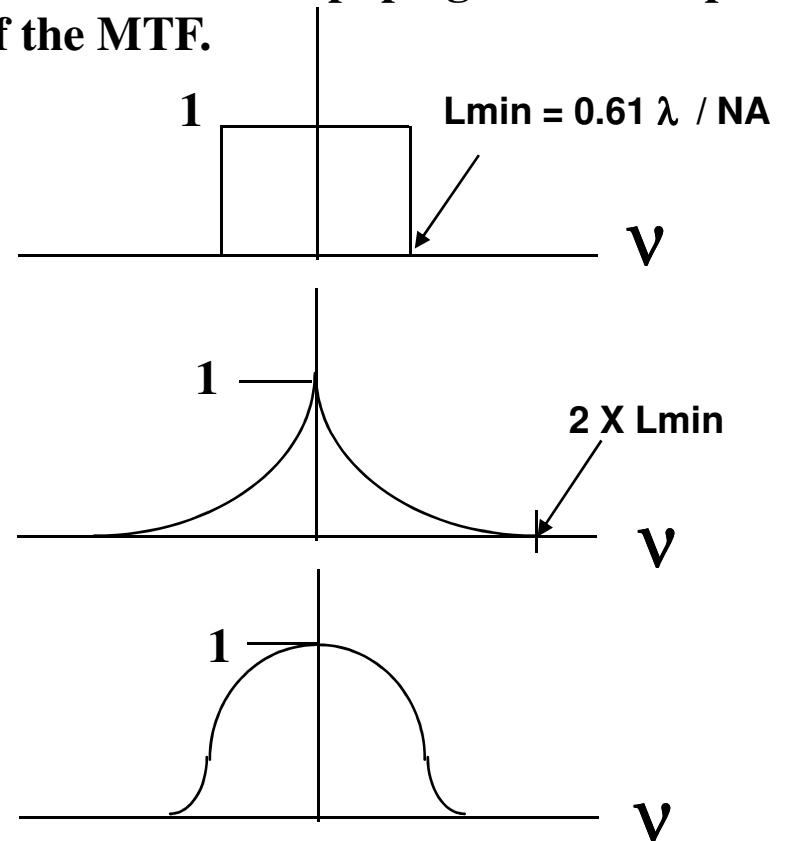


**Incoherent
(Large Source)
 $\sigma = 1$**

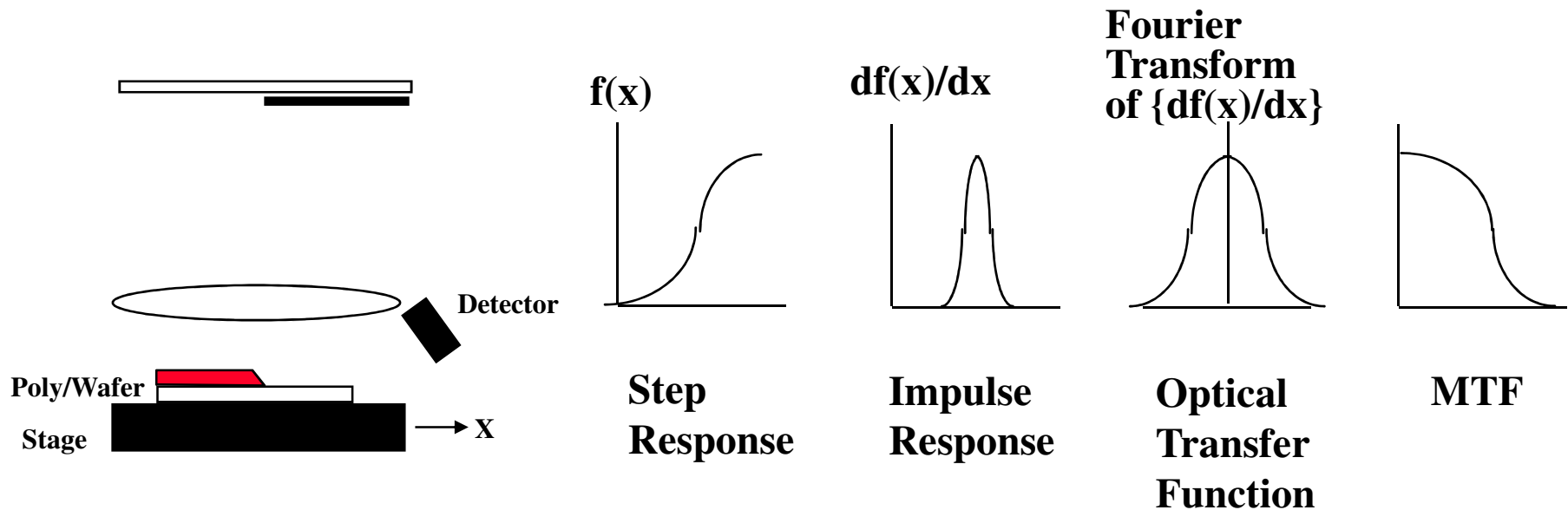


**Partially Coherent
 $\sigma = 0.7$**

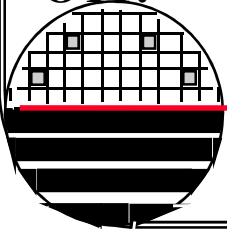
Convolution of source pupil with the lens entrance pupil gives the shape of the MTF.



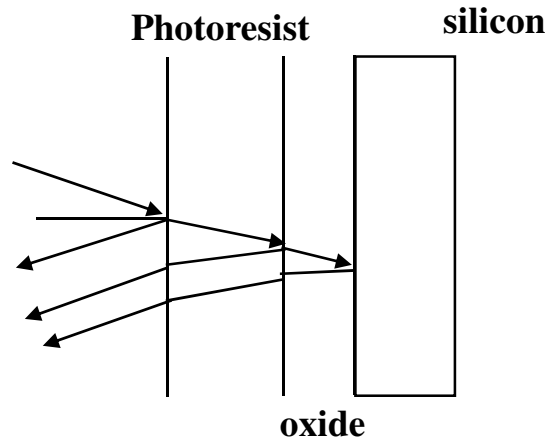
EXPERIMENTAL DETERMINATION OF MTF



The edge of the poly line acts like a mirror to reflect light toward the detector. The stage is programmed to move in small increments and the x-y plotter records the reflected intensity versus stage position. The recorded signal is the step response. The differentiated step response is the impulse response and the Fourier Transform of the impulse response is the optical transfer function (OTF). MTF is the magnitude part of the OTF.



CALCULATION OF IRRADIANCE IN A SYSTEM WHERE THERE ARE MULTIPLE REFLECTING LAYERS



Light is an electromagnetic wave. The electric field is calculated from the irradiance value at the surface of the photoresist. Using the reflection and transmission coefficients for the boundary of two dielectrics a system of equations is built for a multi-layer substrate. The dielectric materials are described by their complex index of refraction.

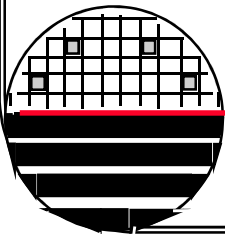
The relationship between Irradiance and electric or magnetic field is:

Irradiance = ave Power / unit area

$$I = c\epsilon_0 E^2 / 2 \quad \text{or} \quad I = (c / 2 \mu_0) B^2$$

where c is speed of light $3e8\text{m/s}$

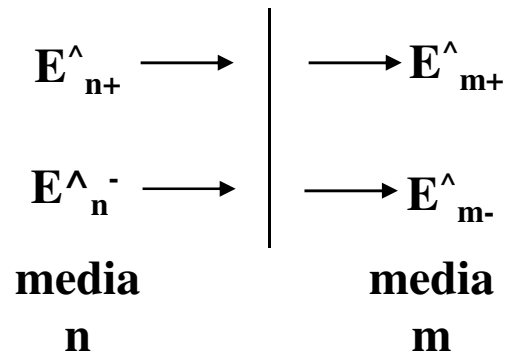
ϵ_0 is permittivity, μ_0 is permeability



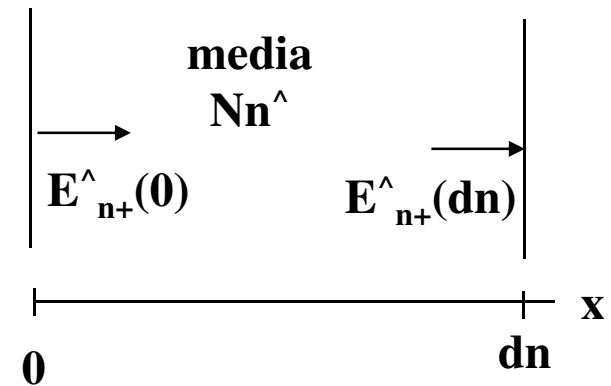
REFLECTION CALCULATIONS (CONT.)

$$r_n = (N_n - N_m) / (N_n + N_m)$$

$$t_n = (2N_n) / (N_n + N_m)$$



As light traverses a dielectric material there is a phase shift, δn



$$E_{n+}^{\wedge}(dn) = E_{n+}^{\wedge}(0) e^{j\delta n}$$

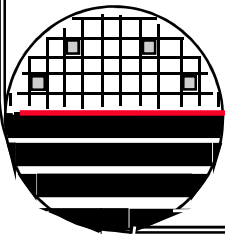
$$\text{where } \delta n = 2\pi N_n^{\wedge} dn / \lambda$$

$$E_{m+}^{\wedge} = t_n E_{n+}^{\wedge} + r_n E_{n-}^{\wedge}$$

$$E_{n-}^{\wedge} = r_n E_{n+}^{\wedge} + t_n E_{m-}^{\wedge}$$

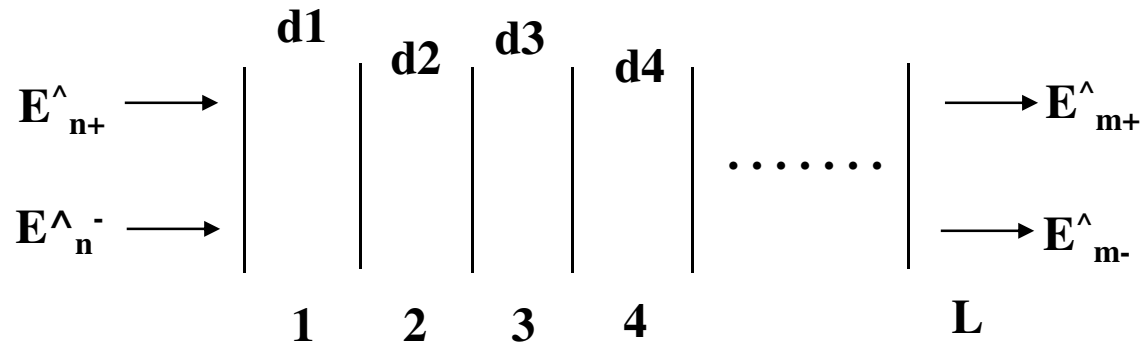
$$E_{n+}^{\wedge}(0) = E_{n+}^{\wedge}(dn) e^{-j\delta n}$$

$$E_{n-}^{\wedge}(0) = E_{n-}^{\wedge}(dn) e^{-j\delta n}$$

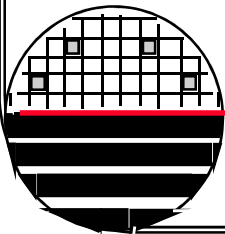


REFLECTION CALCULATIONS

The two equations on the previous page are rearrange so input quantities are on the left and output quantities are on the right. The equations are converted to matrix format for simplicity. This allows for concise a representation of a system of any number of layers.



$$\begin{vmatrix} E_{1+} \\ E_{1-} \end{vmatrix} = \begin{vmatrix} R & R \\ R & R \end{vmatrix} \parallel \begin{vmatrix} T_2 & 0 \\ 0 & T_2 \end{vmatrix} \parallel \begin{vmatrix} R & R \\ R & R \end{vmatrix} \parallel \begin{vmatrix} T_3 & 0 \\ 0 & T_3 \end{vmatrix} \parallel \dots \parallel \begin{vmatrix} T_L & 0 \\ 0 & T_L \end{vmatrix} \parallel \begin{vmatrix} R & R \\ R & R \end{vmatrix} \parallel \begin{vmatrix} E_{L+} \\ E_{L-} \end{vmatrix}$$



FLOW CHART FOR CALCULATIONS

Initialize the Electric Field in the Bottom Layer

Update Optical parameters in all layers at this wavelength

Calculate Tcoef, Rcoef, Real and Img. parts of E+ and E- after translation across a boundary

Last Layer? (one) yes

no

Calculate Real and Img parts of E+ and E- after translation across a layer of thickness d, in steps of desired increment

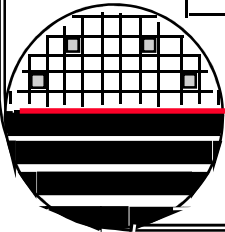
Calculate Irradiance Values, Reflectance, etc.

Is this the last wavelength?

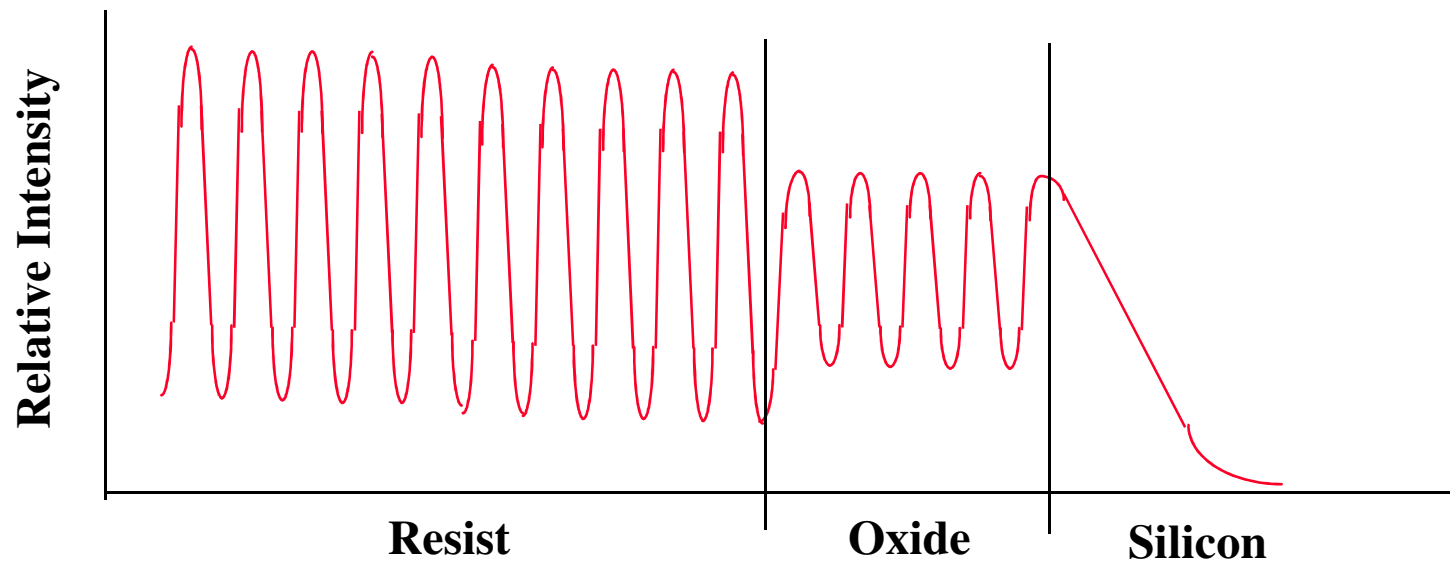
no

yes

End



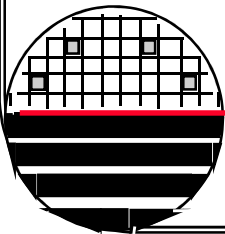
STANDING WAVES



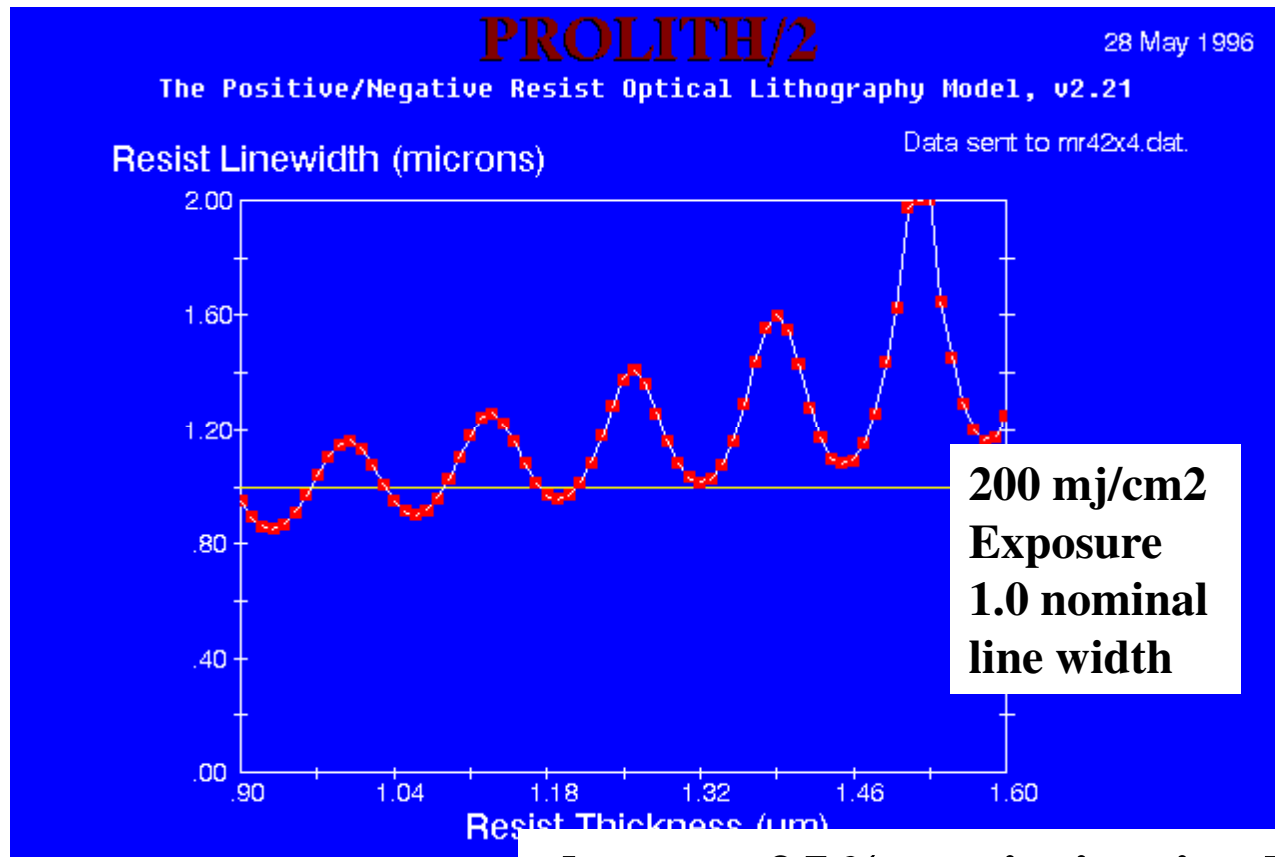
Photoresist line width depends on exposure level at the bottom of the resist layer. If the reflections are such as to create a localized minima at the bottom of the resist the line widths may be large or there may be difficulty in “clearing out” (scum). On the other hand a localized maxima may cause line widths to be small.

This effect can be large.

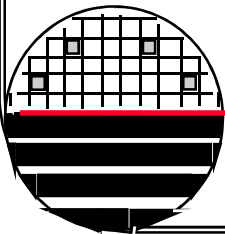
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SWING CURVES FOR CD



**shows a 25% variation in thickness
results in 100% variation in linewidth**

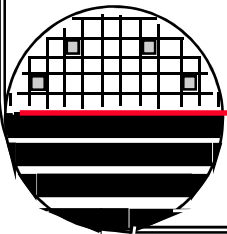
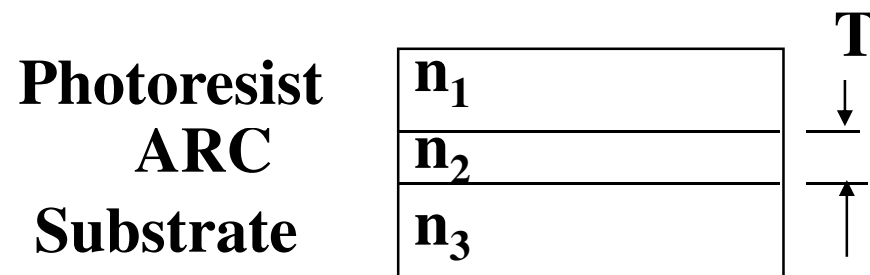


ANTI REFLECTIVE COATINGS

To give minimum reflection:

$$\text{Thickness, } T = \text{Lambda} / 4 n_2$$

$$n_2 = \sqrt{n_1 n_3}$$



MODELING OF EXPOSURE IN PHOTORESIST

Complex Index of Refraction of Photoresist

$$\hat{n} = n - jK$$

$K = \alpha \lambda / 4 \pi$ is the extinction coefficient

n = real part of the index of refraction

λ = wavelength

The absorption constant α

$$\alpha = A m(z,t) + B$$

where m is the relative amount of photoactive inhibitor at position z and time t

A is the exposure dependent parameter

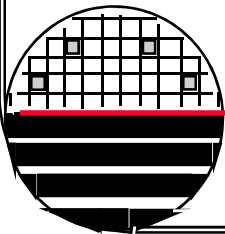
B is the exposure independent parameter

An optical sensitivity parameter C relates the destruction of inhibitor local irradiance, I

$$\frac{dm(z,t)}{dt} = -I(z,t) m(z,t) C$$

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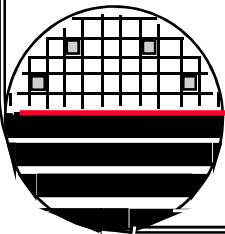
Dr. Fred H. Dill, IBM, IEEE Transactions on
Electron Devices vol. ED-22, July 1975



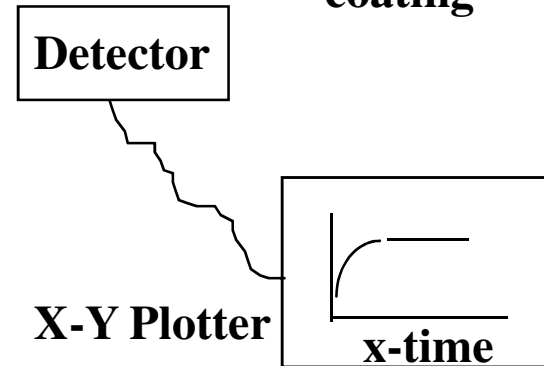
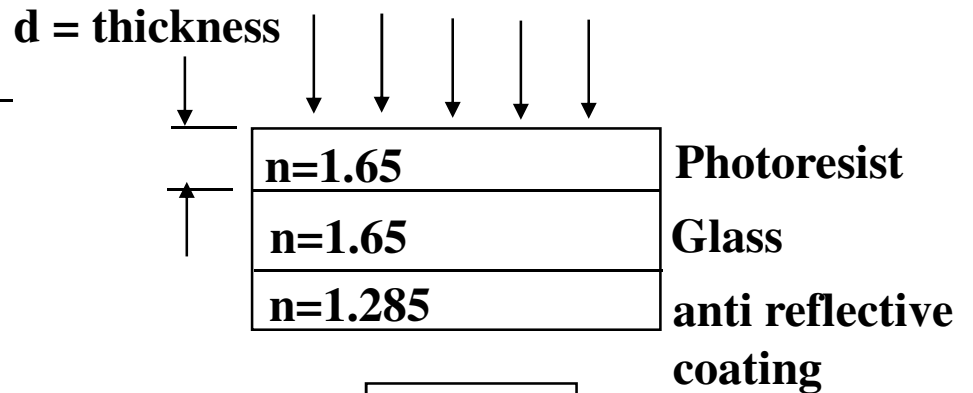
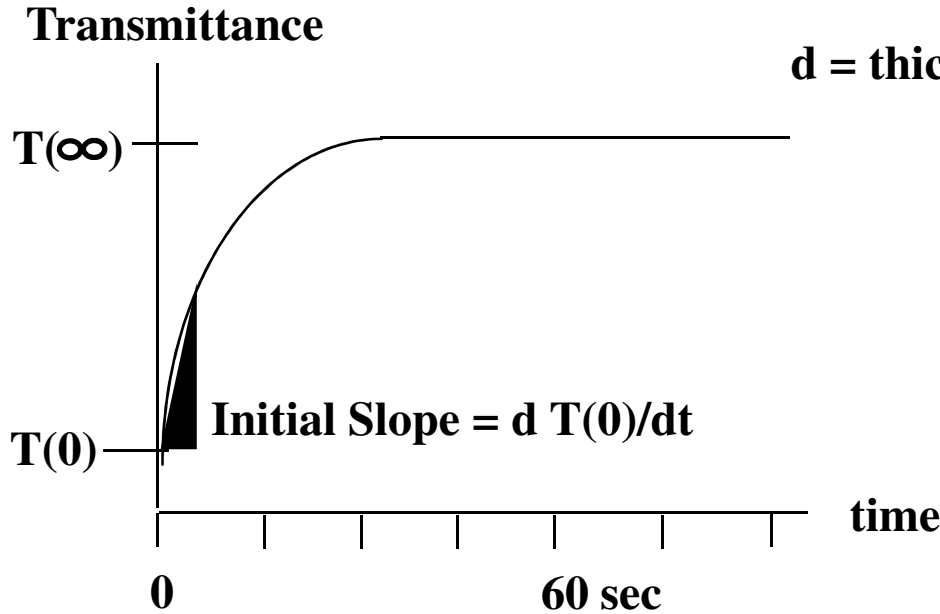
A,B,C PARAMETERS FOR EXPOSURE

A,B,C Exposure Parameters for AZ 1350J

λ	A (μm^{-1})	B (μm^{-1})	C (cm^2/mj)	n
436nm	0.54	0.06	0.014	1.68
405nm	0.86	0.07	0.018	1.70
365nm	0.74	0.20	0.012	1.72



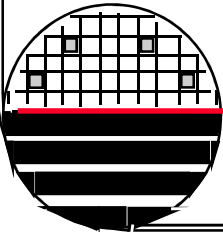
MEASUREMENT OF A,B,C, PARAMETERS



$$A = 1/d \ln [T(\infty)/T(0)]$$

$$B = -1/d \ln T(\infty)$$

$$C = \{A+B/AI_0 T(0) (1-T(0))\} dT(0)/dt$$

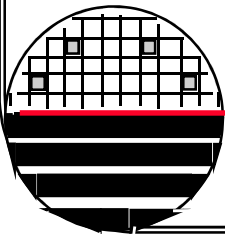
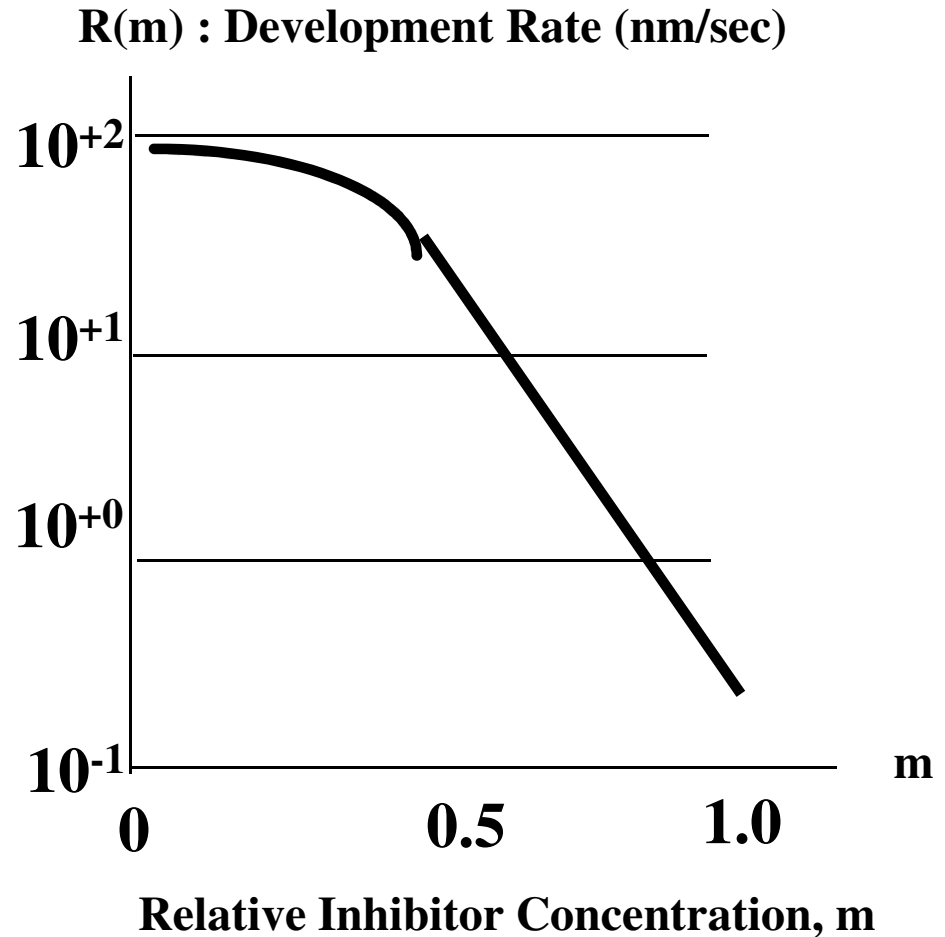


MODELING OF DEVELOPMENT IN POSITIVE RESIST

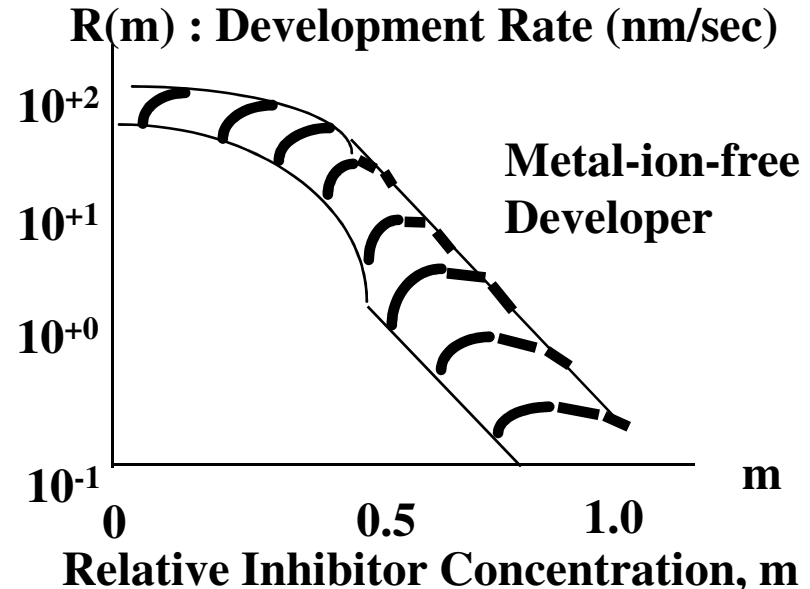
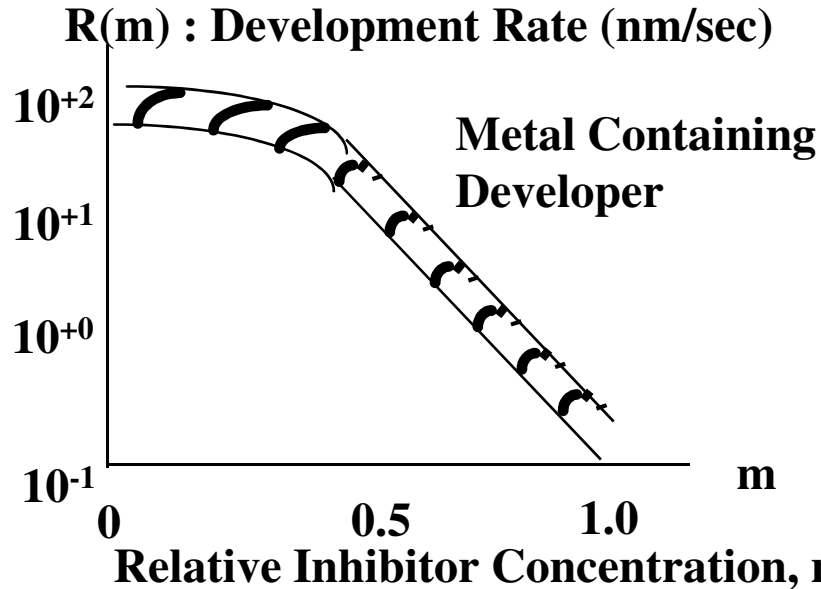
Kim's Model:

$$R(m) = \frac{1}{\frac{1 - e^{-R_s(1-m)}}{R1} + \frac{e^{-R_s(1-m)}}{R2}}$$

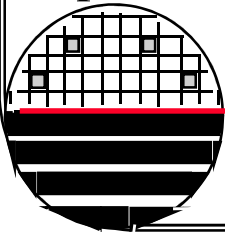
where **R1** is rate of fully exposed resist
R2 is rate of unexposed resist
R_s is sensitivity of development rate to changes in **m**



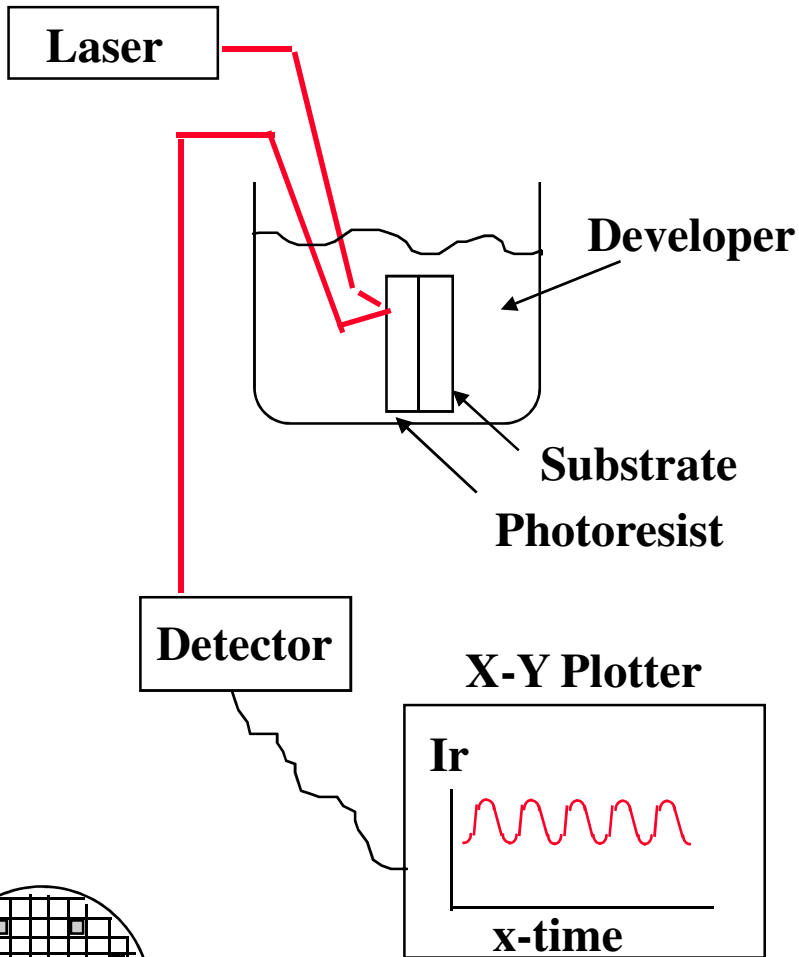
SURFACE RATE RETARDATION EFFECT



This retardation may be described by a multiplicative factor which varies linearly with exposure parameter m . The value of the factor with $m=0$ is called $R5$ and with $m=1$ is called $R6$. The depth dependence factor is exponential with characteristic delay distance $R4$. Metal-ion-free developer uses additional parameters $R7, R8, R, R10$.



MEASUREMENT OF DEVELOPMENT RATE



Convert the data I_r vs t into X_{pr} vs t
The slope dX_{pr}/dt
is the development rate.



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PROLITH

FINLE TECHNOLOGIES

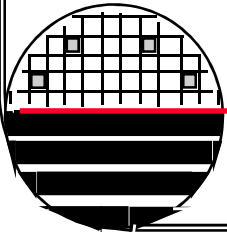
P.O. OX 162712

AUSTIN, TX 78716

TEL (512) 327-3781

FAX (512) 327-1510

prolith_info@finle.com



PROLITH INPUT PARAMETERS

Projection System:

Wavelength = **436 nm**
Bandwidth = **0.0 nm**
Numerical Aperture = **0.29**
Partial Coherence = **0.70**
Line width = **1.00 μm**
Pitch = **2.00 μm**
Mask Bias = **0.0**
Focal Position = **0.0**
Image Flare = **0.0**

Prebake Conditions: Hotplate

Bake Time = **1.0 min**
Temperature = **90 C**

Exposure Energy = 300 mJ/cm²

PEB Bake Time = 0.3 min

PEB Temperature = 120 C

Development Time = 120 sec

Resist System: System 8

Thickness = 1.2 μm

Layer #1: Si Dioxide

Thickness = 500nm

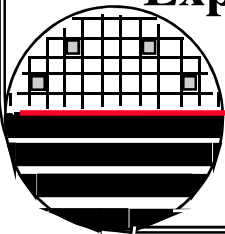
Layer #2: Polysilicon

Thickness = 600 nm

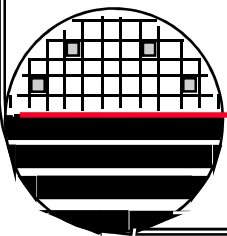
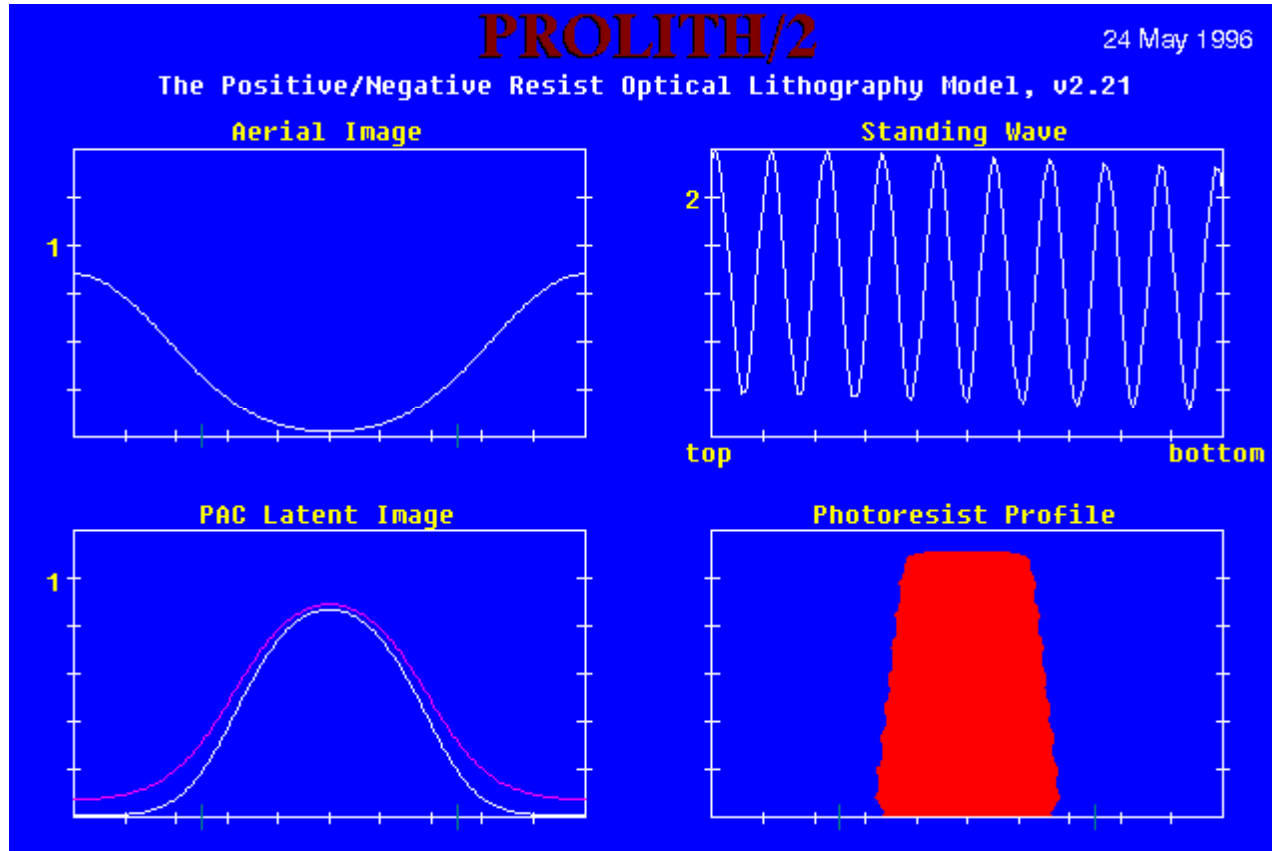
Layer #3: Si Dioxide

Thickness = 50 nm

Substrate: Silicon



EXAMPLE OUTPUT



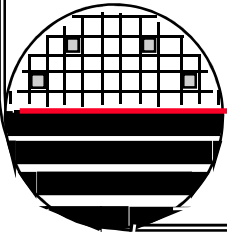
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PRINTED OUTPUT VALUES

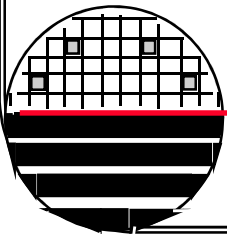
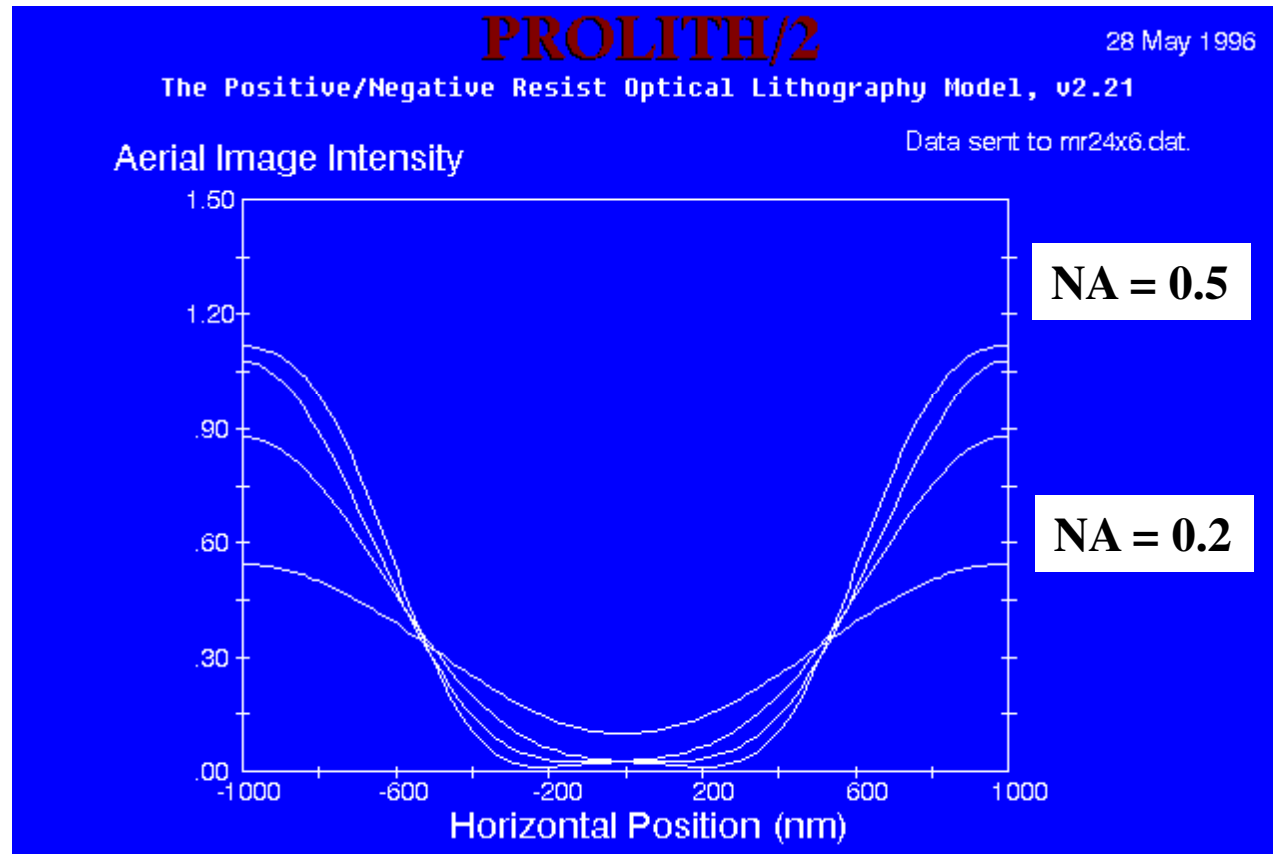
PROLITH/2 Single Run Results

Image Log-slope = 4.0095	1/microns
PAC Gradient = 1.6175	1/microns
Resist Line width = 1.7129	microns
Side wall Angle = 83.69	degrees
Time To Clear = 33.09	seconds
Line Resist Loss = 84.23	nm

PROLITH/2 Run Time = 0.18 minutes
with a speed factor of 10

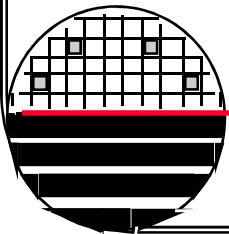
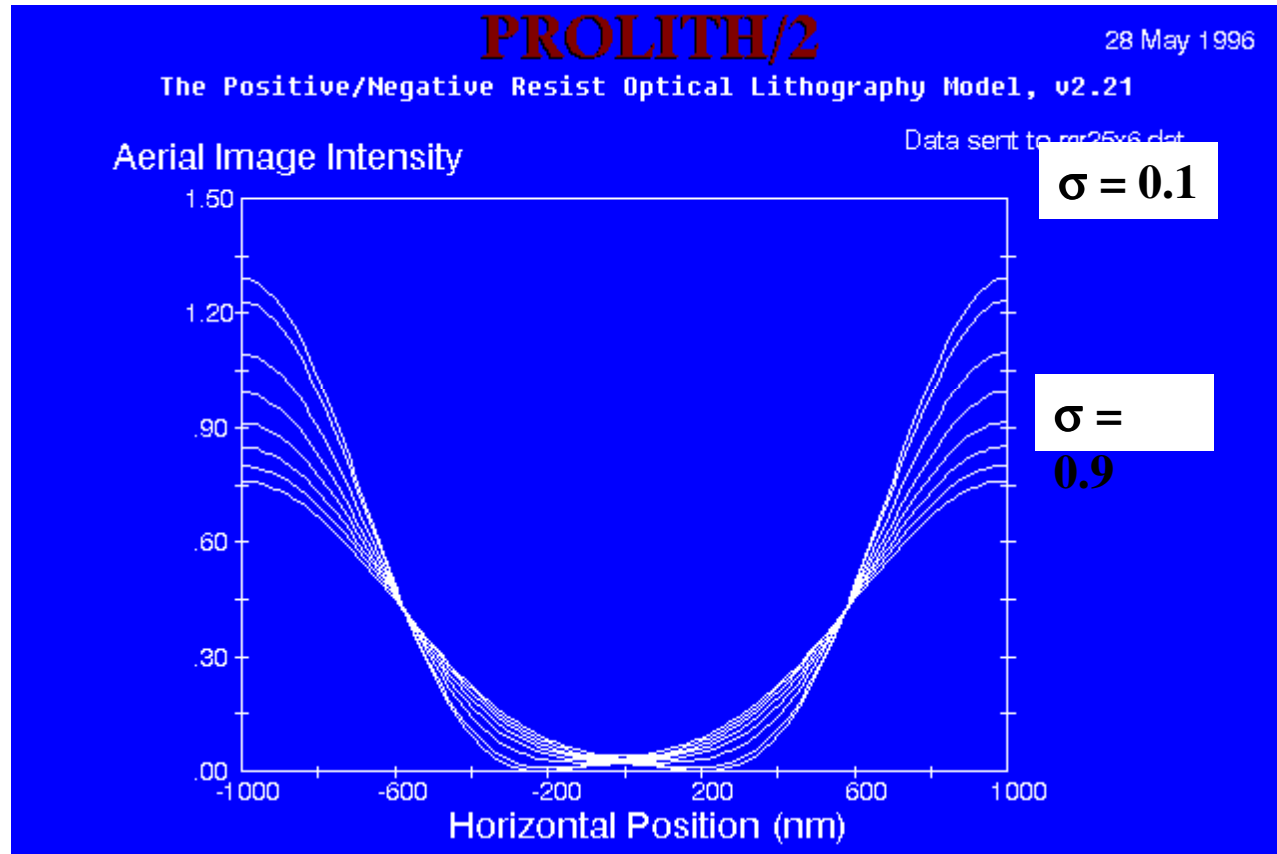


AERIAL IMAGE VERSUS NA



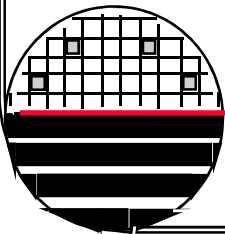
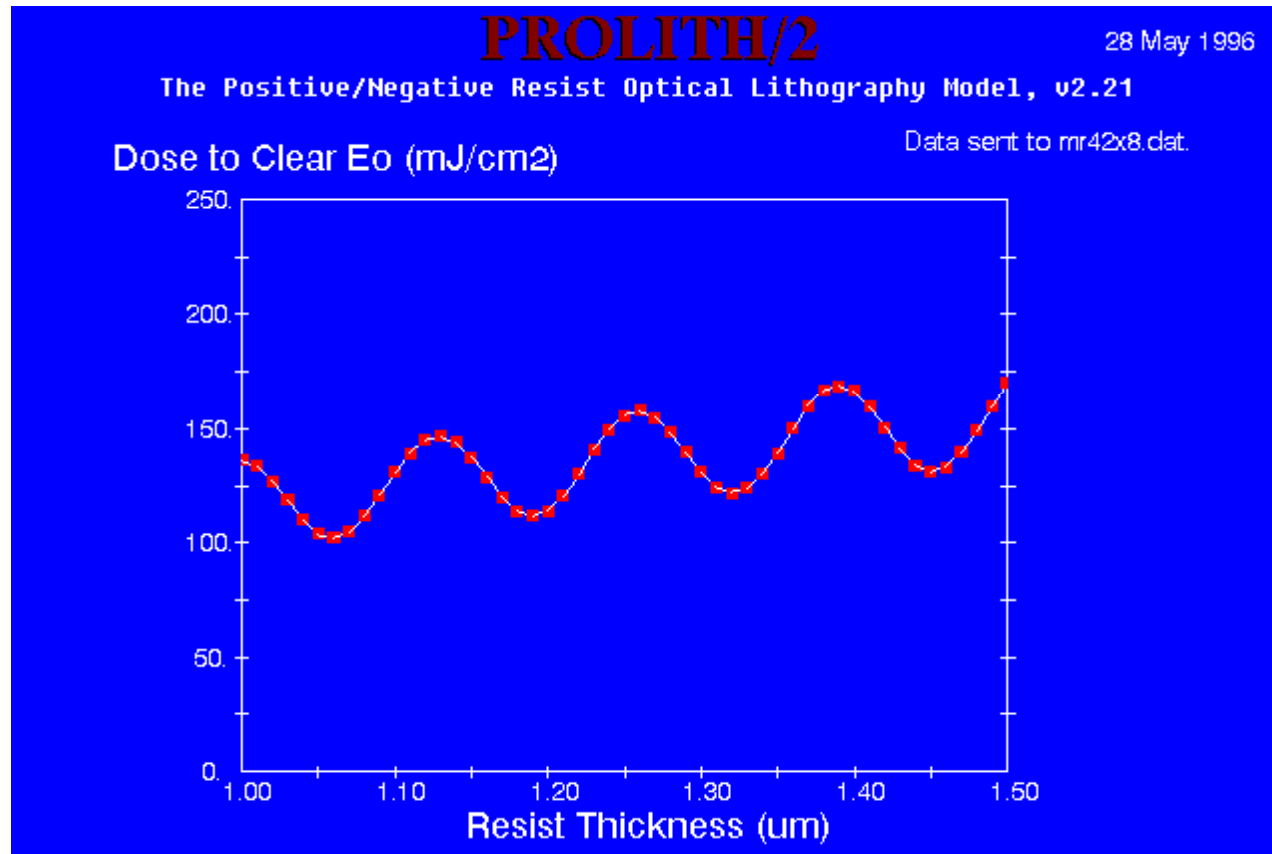
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AERIAL IMAGE VERSUS COHERENCY σ



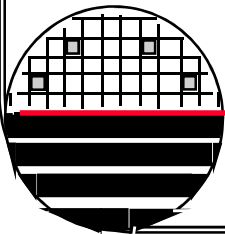
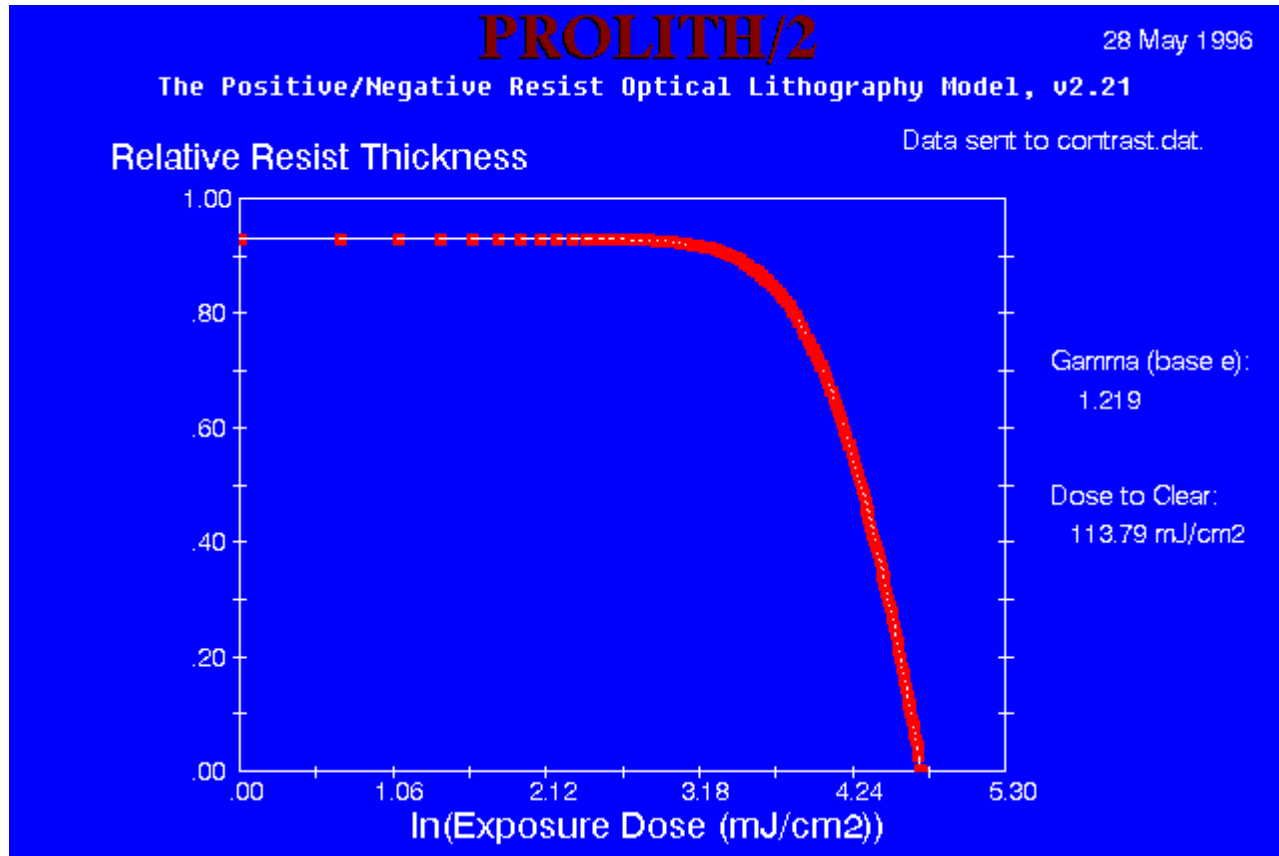
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SWING CURVE (DOSE TO CLEAR VS THICKNESS)



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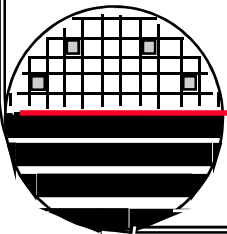
THICKNESS VERSUS \ln EXPOSURE



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3. “Resist Modeling and Profile Simulation”, A.R. Neureuther, W.G. Oldham, Solid State Technology, May 1985.
4. “A General Simulator for VLSI Lithography and Etching Processes: Part I - Application to Projection Lithography”, W. G. Oldham, S.N. Nandgaokar, A.R. Neureuther, M.O’Toole, IEEE Transactions on Electron Devices, Vol. ED-26, No.4, April 1979.
5. “A General Simulator for VLSI Lithography and Etching Processes: Part II - Application to Deposition and Etching”, W. G. Oldham, A.R. Neureuther, C. Sung, John L. Reynolds, S.N. Nandgaonkar, IEEE Transactions on Electron Devices, Vol. ED-27, No.8, August 1980.
6. “Optical Lithography”, Frederick H. Dill, IEEE Transactions on Electron Devices, Vol. ED-32, No.7. July 1975.
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8. Hopkins, R.E., Dutton, D., “Lens Test Standardization Study”, Technical Report AFAL-TR-70-93, (AD/10633), p58, Air Force Avionics Laboratory, Wright Patterson Air Force Base, Ohio, July 1970.



HOMWORK – MODELING FOR LITHOGRAPHY

1.

