Robotics and Computer-Integrated Manufacturing **I** (**IIII**) **III**-**III**

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Robotics and Computer-Integrated Manufacturing



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A novel open CNC architecture based on STEP-NC data model and IEC 61499 function blocks

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ABSTRACT

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Modern manufacturing industries demand computer numeric controllers, having higher level input languages than outdated G-code, and less proprietary vendor dependencies. IEC 61499 is a new standard for distributed measurement and control systems, that enables portability and interoperability of embedded controllers, along with the ease of their mapping to arbitrary distributed networking hardware configurations. This paper demonstrates that the IEC 61499 reference architecture can be successfully used to create a computer numeric controller, offering interoperability, portability, configurability, and distribution characteristics. The layered CNC-FB architecture is proposed, which simplifies the design of a CNC machine controller with the object-oriented Model-View-Control design pattern, the CNC-FB architecture supports the design framework, in which simulation of the machining becomes natural and inherent part of the design process, with seamless transition from simulation to actual machining. The implemented controller was tested in both the model and on an actual milling machine.

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1. Introduction

Computer numerical control (CNC) devices are the brains of machine tools used in all manufacturing industries. Since the 1950s, CNCs have gone through several generations, following the state-of-the-art computational platforms. The way of their programming, however, remains almost unchanged. The low level G-code programming language is still used in most of the CNCs. The G-code language was designed in the era when paper tape was the medium for moving data between computers and CNC systems. Today an average microprocessor can easily process complex three-dimensional data, and CNC machining is the only operation in the design-to-manufacturing pipeline that is not using full-fidelity product and process information.

A typical design-to-product chain is shown in Fig. 1. Nowadays the G-code programs are generated by the computer aided manufacturing (CAM) tools, using the geometrical data from computer aided design (CAD) tools as the input. However, CNCs of different vendors implement different versions of G-code which lacks any portability and leads to proprietary CAD-CAM-CNC chains [1,2]. In order to generate G-code programs for different CNCs, CAM tools need to know not only the particular brand and

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65 0736-5845/\$-see front matter © 2008 Published by Elsevier Ltd. doi:10.1016/j.rcim.2008.03.021 model of a CNC, but also need to have a detailed description of the
machine tool and their peripherals such as cutting tools and other
auxiliary components. This information is being handled by a
special unit within a CAM tool called "postprocessor" which
operates with full knowledge of: (i) G-code, (ii) machine-tools and
(iii) libraries of cutting tools. The postprocessor generates exact
scenario of machining which is described in a G-code file.6767

As illustrated in Fig. 1, software tools (such as CAD, CAM), CNCs, and machine tools, are designed with versatility in mind. For 75 example, CAM tools can read output data formats of different CAD tools, machine tools can be equipped with, and driven by different 77 CNCs. Machine tools can also use other devices provided by different vendors. CNCs, however, can accept programs only in 79 their proprietary versions of G-code. This hinders the flexibility of manufacturing processes, especially within extended global 81 enterprises. In order to manufacture a certain product on a certain manufacturing site, the company may need to pass on their full 83 information, database and data model of the product. The G-code language is clearly insufficient for this. Even though existing CNC 85 systems have become more and more sophisticated, incompatibility between their proprietary data restricts further productiv-87 ity enhancement of CNC-based machining. Furthermore, since each system has its own data format, the same information must 89 be entered multiple times into multiple systems leading to redundancy and possible errors. 91

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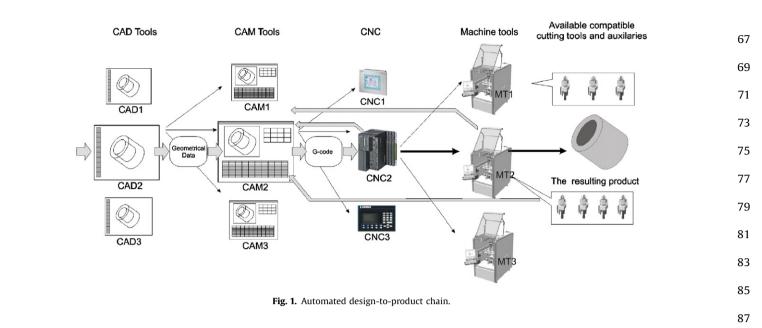
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M. Minhat et al. / Robotics and Computer-Integrated Manufacturing I (IIII) III-III



The implementation chain would have been much easier if a standard format for describing geometrical and machining information had existed, and if the CNCs could have directly read and understood such documents and immediately carry out machining. For this reason, a new language, STEP-NC, has been created and standardized as ISO 14649-1 [3]. STEP-NC is envisaged to be a single language used throughout the design and manufacturing implementation chain. However, in order for it to be used on modern CNCs, specific software tools are required to translate STEP-NC descriptions to the proprietary G-code of the particular CNC.

35 Today's CNC manufacturers offer most of the features either in a built-in package or in the made-to-order manufacturing mode. 37 Hierarchically, CNC machine tools are facing numerous challenges. They become more and more complicated and require 39 decentralized control [4,5]. Their internal structure needs to be flexible and customisable. The control needs to be implemented 41 as a network of several embedded computing devices, interchangeable depending on different applications area or target 43 markets. Machine tools are often a part of an automated manufacturing system and therefore have to interoperate with 45 other automated machines, such as robots, transport, storage and so forth. As such, they can be substituted by other similar tools 47 from other vendors. Thus CNCs have to be easily integrated into automation systems, interoperating with programmable logic 49 controllers (PLCs), other CNCs, embedded control devices, etc.

In this paper we propose a novel open architecture of CNC 51 which aims to meet the above mentioned challenges and provides some insight into a new generation of CNC with open, flexible 53 architecture. The idea is illustrated in Fig. 2. The new generation CNC will be capable of accepting STEP-NC data directly. The 55 software architecture of this CNC is based on the open reference architecture known as the IEC 61499 "function blocks" standard 57 [6]. The IEC 61499 function blocks will enable easier program distribution, integrated visualization and improved real-time 59 control characteristics. CNC milling machines or machining centres are the targeted machine tools in this research. The 61 hardware architecture of the future CNC will be flexible and distributed. It allows for scaling its computing power, memory 63 and interfaces up to particular needs.

2. Related works on CNC systems

The primary challenge for CNC systems is the agility in implementing various manufacturing strategies driven by market changes. New research results [7,8] show that the agility can be achieved if the machine controller unit is based on open architectural concepts [9] rather than proprietary hardware or software architectures.

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Since G-code programs describe only plain machining com-
mands, a richer programming language is required to cater for
other automated operations. Such a language needs to be open
and rich to handle CNC machines of different vendors. Therefore,
vendor-neutrality and component-interoperability can be thought
of as two fundamental features of an open system [10]. Ultimately,
the main goal for research projects on open CNCs is to develop a
vendor-neutral, tool-neutral and controller-neutral architecture of
CNC.9797979899999999101

A common CNC executes G-code programs, which are generated as a result of planning and scheduling in CAM tools. 107 When designing a CNC machine, it is important to consider its dynamics, electrical components and mechanical structure [11]. 109

The idea of an open CNC [12,13] is to create an integration platform, on which the specific software components (handling the particulars of specific machines) can be easily integrated with a core CNC functionality (planning, scheduling and execution). An open CNC will be able to interoperate with extensible set of machine tools, cutting tools and CAD formats. One possible approach to the integration is to use a personal computer (PC) as a hardware platform with an operating system simplifying the integration. 111 112 113 114

115 Thus, Gordon and Hillery [14] introduced Microsoft Windowsbased CNC, programmed with the MINT language, which is a 116 structured form of BASIC designed for motion control applications. The motion control was implemented using the Baldor 117 Nextmove BX 3-axis servo motion controller that was supplied with a linear motor system. The motion control unit commu-118 nicated with a PC via an RS-232 serial link, and was capable of storing and executing entire part programs. Since many CNC 119 functions are very computation intensive and require hard-real time performance, the real-time operating system QNX has been 120 used in some research works [7]. Powerful multitasking mechanisms of PC operating systems (such as Windows or QNX) bring

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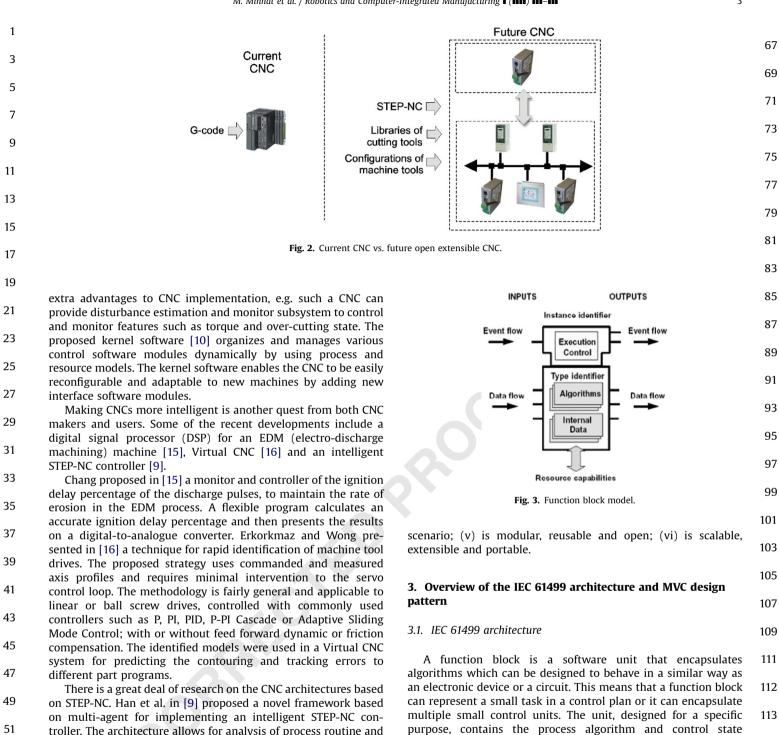
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troller. The architecture allows for analysis of process routine and the specific requirements for the intelligent STEP-NC controller.

53 Most of the above-mentioned CNC systems are still under influence of G-code. The ultimate goal, however, is to machine 55 directly from the part program bypassing 'postprocessor' and Gcode. Currently there is no CNC which can process CAD-enriched 57 machining data directly. In this paper, we present an open CNC architecture that is based on STEP-NC data model and IEC 61499 59 function block reference architecture. The architecture: (i) supports bidirectional information flow in the design and manufac-61 turing chain; (ii) adopts the concept of feature-based machining for CNCs so that higher-level information can be made available at 63 the CNC machines; (iii) enables an autonomous and more intelligent CNC; (iv) supports a distributed process planning 65

There are three standard classes of function blocks defined in IEC 61499: basic function blocks, composite function blocks and 115 service interface function blocks. Each function block has a set of input and output variables. The input variables are read by the 116 internal algorithm when it is executed, while the results from the algorithm are written to the outputs. 117

machines needed to accomplish a specific task (Fig. 3).

The basic block type encapsulates algorithms and has an execution control chart, giving this block type the flexibility to 118 model many different components. An example, defining basic function block type X2Y2, is seen in Fig. 4. In basic function blocks 119 of IEC61499 a state machine (called Execution Control Chart, ECC for short) defines the reaction of the block on input events. The 120 reaction can consist of execution of algorithms computing some values as functions of input and internal variables, resulting in

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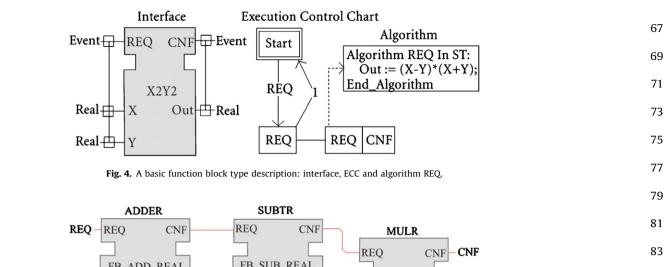
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M. Minhat et al. / Robotics and Computer-Integrated Manufacturing **I** (**IIII**) **III**-**III**



FB SUB REAL FB ADD REAL 85 X IN1 IN1 OUT х OUT FB MUL REAL 87 IN1 OUT OUT IN2 IN2 Y Y 89 IN2

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Fig. 5. Implementing $X^2 - Y^2$ as a network of function blocks.

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29 emitting of one or several output events. In Fig. 4 (right side) the ECC and an algorithm are shown. The state REQ has one associated 31 *action* that consists of calling the algorithm REQ followed by emitting of the output event CNF afterwards. The algorithm 33 computes $Out = X^2 - Y^2$.

A composite function block can encapsulate a network of 35 multiple blocks (both basic and composite), interconnected by external data sources. An example is given in Fig. 5, where the 37 same $X^2 - Y^2$ function is implemented as a network of three function blocks, doing addition, subtraction and multiplication, 39 respectively. This network can be encapsulated in a composite function block with the same interface as the function block X2Y2 41 from Fig. 4. The possibility to include composite FBs within other composite FBs enables a hierarchical system description. This is 43 useful for defining multi-layered architectures. In our case, the developed CNC architecture is layered with layers responsible for 45 data processing, data storage and execution. The separation of the functions into layers enables flexibility of the controller. The FB-47 based architecture also enables modelling and simulation to be tightly integrated with the design process. Before execution, the 49 controller can be validated by either simulation or formal verification.

In the IEC 61499 architecture, the function performed by the system is specified as an application, which may reside in a single device or be distributed among several devices. The application consists of a network of function blocks connected by data and event connections. The control system is specified as a collection of devices interconnected and communicating with each other by means of one or more communication networks.

The use of function blocks makes the control device openly programmable and easily reconfigurable. IEC 61499-compliant devices can easily interface one another, thus providing for seamless distribution of different tasks across different devices [17,18]. The user may create own program using the standard function blocks. Thus, the IEC61499 architecture enables encapsulation, portability, interoperability and configurability. Portability means that software tools and hardware devices can accept and correctly interpret software components and system configurations produced by other software tools. With interoperability, hardware devices can operate together to perform the cooperative functions specified by one or more distributed applications. With configurability, devices and their software components can be dynamically configured (selected, assigned locations, interconnected and parameterized) by multiple software tools.

3.2. Software implementation: FBDK and FBRT

Function block development kit (FBDK) [19] is the software
tool, widely used in the IEC 61499-related research projects. The
tool allows for the graphical development of both function blocks
and function block-based systems. FBDK compiles the developed
function block types into Java programming language. The object-
oriented nature of this language enables consistent implementa-
tion of function blocks. In addition, the platform independence of
Java leads to portability of the implemented controller.105105

The use of FBDK can be combined with other Java development
tools, for example, Eclipse Integrated Development Environment,
especially when sophisticated Java code (e.g. 3D visualization) or
interfacing peripheral devices (e.g. parallel port) need to be
encapsulated into function blocks. The function block applica-
tions, designed using FBDK, are executed with Java virtual
machine and the function block runtime (FBRT) library of
standard FB types.112

3.3. Model-View-Control design pattern

The object-oriented Model-View-Control (MVC) software design pattern was adapted by Christensen in [20] for industrial 118 control. Its applicability has been proven for the IEC 61499 architecture. More details on implementing MVC with function 119 blocks can be found in the book by Vyatkin [21]. The pattern is represented graphically in Fig. 6. The core part of the pattern is the closed-loop object-controller interconnection. In software, the object is represented by an *interface* to its data sources (say

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M. Minhat et al. / Robotics and Computer-Integrated Manufacturing I (IIII) III-III

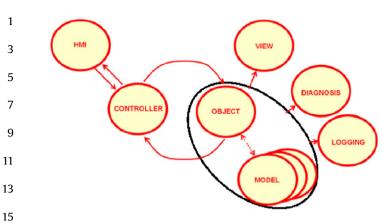


Fig. 6. Architecture based on the MVC design pattern.

sensors) and signal consumers (actuators). The object can be substituted by its model—a software entity having the same interface and simulating object's behaviour. Several models can be used depending on the required accuracy and the purpose of modelling.

The proposed novel CNC framework in this research was developed using the concept of MVC, which enables full simulation and rendering of the CNC system, driven by the actual controller code.

29 4. Layered architecture of the novel CNC

31 The architecture of the proposed CNC controller (i.e. the Control part in the MVC architecture) is layered and is imple-33 mented using function blocks. The use of the layered software architecture as the framework for CNC design enables flexibility in 35 adopting the controller to new machines and computer hardware/ software platforms. The layers are selected to separate the 37 functional units into a hierarchical structure so that the controller becomes more readily maintainable, as modifications can be done 39 to a particular layer without compromising the functionality of other layers. Each layer is designed to utilize the services of the 41 lower one.

There are three groups of functions as the backbone of the
layers: (i) input and data distribution of machining features, (ii)
data storage/buffering and (iii) output of physical signals and
process execution. The complete architecture consists of five
layers as shown in Fig. 7. In addition to the three groups of
functions mentioned above, two more layers are added: process
planning (Layer 5) and 3D co-ordinates (Layer 3). Functions of all
layers are encapsulated in function blocks. Communications
between all of the layers are implemented by the communication
function blocks *Publish* and *Subscribe*.

The layers are further divided into two categories as shown in the right-hand side of the diagram: "Input Model and FB Generic Data Program" and "Machine Specific or Native Program". The former contains primarily generic data whereas the latter contains machine specific data. All layers (5–1) are described as follows.

57 Layer 5 (planning) is to provide definitions on security plane, cutting tool, workplan, workpiece, clamping position and so forth.
59 Layer 4 (Machining features) is implemented by a library of function blocks that parameterize machining features and
61 machining data. It generates the tool paths required for the respective features. Function blocks are used for directing and
63 managing features and shape coordinates to accept or collect data entered either manually by user, or automatically from other data
65 sources.

The input types required for initialization purposes include:

- Travel limits (the maximum travel displacement of each axis).
- Work piece dimensions.
- Work piece origin.
- Tool start point (home positions).

An additional set of input options is used to enable the operator to assemble the tool path of the shape. It consists of the following options:

- *Customized shapes blocks*: Each block represents a basic shape, such as a rectangle or a circle. Each of those blocks generates the sequence of coordinates, required to construct the shape using the dimensions, provided as an input.
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- *Text file*: The library of this layer also contains a file reader block designed to read the coordinates of a design part from a text file.
- *Point-to-point*: Manual point-to-point mapping required to build the tool path.

Layer 3 (store/buffer) stores all the points and feed rates required to execute a complete job on the machine tool as a coordinator, with function blocks making and receiving requests from the point memory storage. The relative velocities are then passed to the Layer 2.

Layer 2 (process execution) is a coordination layer. Function
blocks make and receive requests from the point memory storage,
and calculate the feed rate for each individual axis, before sending
updated physical signals to the output device. In addition, it waits
for a confirmation from Layer 1 (physical output) before proceed-
ing to the next point.91

Layer 1 (physical output) activates the motion control. In the case of the milling machine the required frequency and number of pulses are calculated from the given distance and feed rate.

5. The prototype system

103 A prototype of the proposed CNC architecture has been implemented on a testbed, a PC controlled CNC vertical milling 105 machine. The CNC machine is a 3-axis mill. The machine is supplied with a PC-based EMC (enhanced machine control) 107 controller and a motor control unit that drives three stepper motors. The hardware of the PC and the motor control unit are 109 used for the prototype system. A PC is used to run a Java-based function block execution platform. It is envisaged that embedded 111 devices, capable of running function blocks, will be capable of deploying the same solution. 112

5.1. Software implementation

The functional layers of the proposed CNC are encapsulated in 114 function blocks as discussed in the previous section. Based on the design data of a part, the corresponding function block application 115 is generated. At this stage, such applications were created manually, following the developed architectural pattern. It is 116 envisaged that in the full implementation, this step will be performed by software tools, translating STEP-NC data into the 117 function block application. The function block application uses instances of function blocks defined in Layer 4 in the proposed 118 CNC architecture. The numeric data of the STEP-NC file are translated into parameters of the function blocks. 119

The features, implemented by function blocks in Layer 4, are translated into trajectories, i.e. sequences of points and stored in Layer 3. Moving between the points is implemented by the motion controller function blocks Layer 2, which will calculate an

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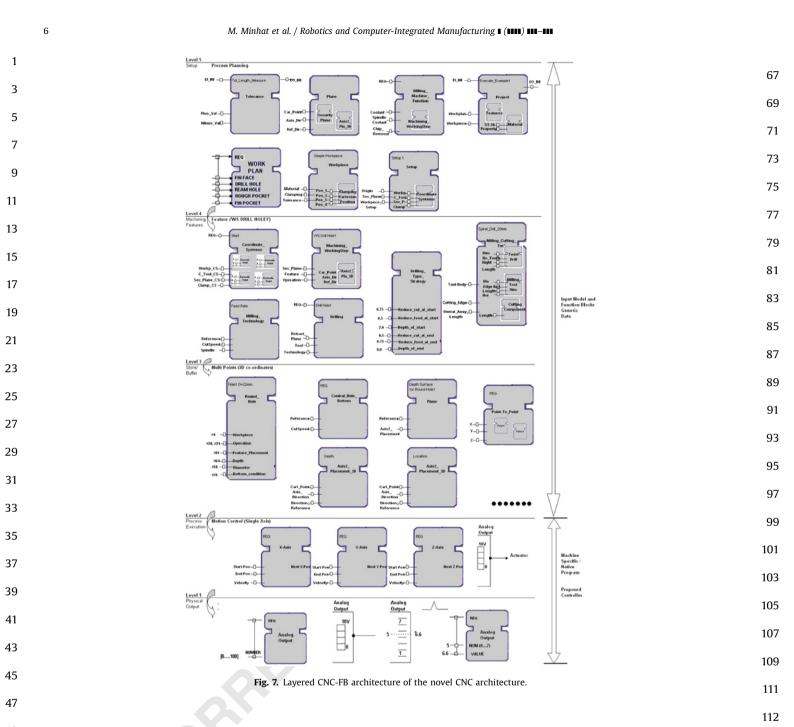
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output to the axes motors from the sampled positions, generated by the calculated trajectory points. The output, generated from the
motion controller is passed onto the discrete input/output (I/O) interface function blocks in Layer 1, which send the control signals
to the motor's drivers.

The proposed CNC structure is presented in Fig. 8. The CNC is 55 implemented as a system configuration of four devices (in IEC 61499 terminology): Model, Display3D, Controller and Physical-57 Out, corresponding to View, Model, Control and Interface as in the extended MVC architecture. The process starts with STEP-NC data 59 model of the part that is represented (manually for now) by the Layer 5 function blocks. It is then translated to the function block 61 application Control (allocated in the "Controller" device), which is composed of the function blocks from Layer 4 and is using 63 services of Layer 3. Execution containers (resources in IEC 61499 terminology) are used to separate these layers out into distinct 65 tasks. The Layer 5, for example consists of the following resources:

٠	Machine (travel dimensions).	
•	Workpiece (dimensions).	113

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- Workpiece (dimensions).Workpiece_start.
- Tool_start.

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The "Model" device contains the function block application, modelling uncontrolled behaviour of the machine tools. Given 116 input control signals the model changes its state as the real machine tool does. It feeds the parameters of the current state to 117 the device DISPLAY3D which can render FB applications.

The PhysicalOut device sends the control signals, generated by118the function of Layer 1 in the controller to the parallel port of a PC,119on which the configuration is executed. The devices communicate119with one another by means of communication function blocks.119

This system configuration, with minimal changes, can be executed on any computing platform supporting the IEC 61499 function blocks. As shown in Fig. 8, it can be a PC with direct

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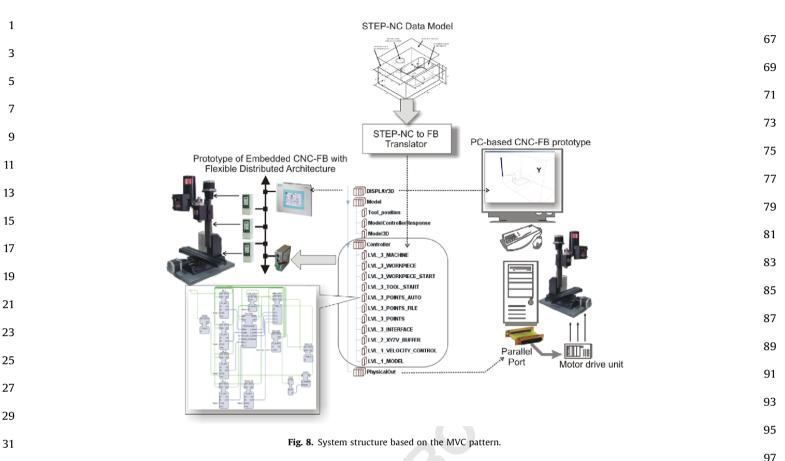
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M. Minhat et al. / Robotics and Computer-Integrated Manufacturing I (IIII) III-III



peripheral connection to a machine tool (right side), or an embedded device with a distributed architecture (left side). In the latter case, the motor drives, corresponding to three axes and the display are connected to the main processing unit via a field area network (fieldbus). With this motor control, only a direction signal and a single pulse are required to move a motor a single step in any direction. The desired behaviour of physical interface is to utilize the raw data calculated in the controller (feed rate (mm/ min)) to control the real machine (i.e. to produce the signals required to drive the motors). Two parameters are required to be supplied to the input interface of the motor driver circuit: direction and speed. Direction is determined by a signal being true or false, while speed is represented by a sequence of pulses.

47 In the prototype system, the physical interface of the PC with the motor drives is implemented using its parallel port. The 49 dependency on this particular hardware solution was captured by creating an abstract device model, called ParallelPortDev. The 51 implementation of the device relies on the RXTX library [22], providing programming access to the hardware. The device model 53 allows a specific library of function blocks to provide user interfaces to the motor drives via the parallel port of the PC. The 55 access process includes opening the parallel port stream and calculating the required direction and number of steps to traverse. 57 This is communicated to the ParallelMotor function block (Fig. 9) which generates the required output sequence of data to execute 59 the motor control, and in turn drives the motors on the machine. At the completion of the sequence the parallel port stream is 61 closed. Other function blocks in the library were implemented to encapsulate direct communication to any or all output pins of the 63 parallel port.

A composite function block, using the services of the ParallelMotor function block, is designed to handle velocities

and number of steps of all three motors. It can be easily extended
to accommodate the fourth motor. This function block generates
an output sequence which is written to the parallel port, and in
turn drives the motors on the machine.99101
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5.2. Implementation of the MVC design pattern

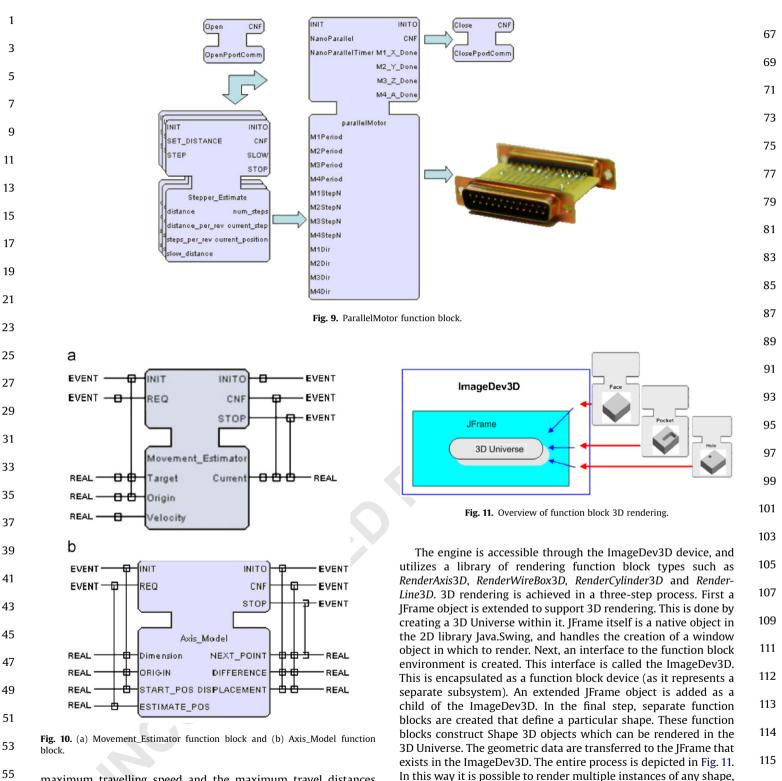
The Model of the machine is the core part of the MVC 107 architecture. Machine modelling is done by taking into account machine's structure, its physical dimensions, number of axes 109 available and the type of inputs, outputs and movement expected. Geometrical parameters of the features are used as input 111 parameters in the corresponding function blocks. The workpiece data model will provide point-to-point connection to simulate the 112 tool path movement, same to the role of a Tool Path Generator in a traditional CNC. The controller needs to generate two types of 113 signals: target signals and velocity signals (one for each individual axis). Target signals correspond to the coordinates (X, Y, Z) of a 114 destination, and the velocity signals represent the vectored feed 115 rate of each axis.

The Movement_Estimator function block (Fig. 10(a)) determinesthe data for the planned path, which is then fed into an axis model116block that estimates the next point to be represented on the axis.117The Axis_Model (Fig. 10(b)) block is used to represent a linear axis117control unit to define an axis in the system by capturing its details.118Therefore, in order to create the 3D representation of a model,118three Axis_Model blocks are needed to denote the (X, Y, Z)119coordinates of the next point. This block will take the speed and119direction inputs for an axis and update its coordinate position. It120

Further limits were added to the model to reflect the constraints of the machining boundaries. These include the

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M. Minhat et al. / Robotics and Computer-Integrated Manufacturing I (IIII) III-III



maximum travelling speed and the maximum travel distances along each of the three axes.

5.3. Visualization

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The Visualization component of the MVC architecture is implemented in a 3D virtual environment through the Java extension, Java3D. The developed visualization engine can render workpieces and cutting tools. Travel constraints and axes relationships are also modelled.

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friendliness of the interface.

as long as there is a function block for each instance. Furthermore

it allows other more complex geometries to be created with ease.

transform object that allows a user to manipulate the translation,

rotation and scale of the shape. Modifying the transform object

allows dynamic motion of a shape without comprising the

original shape data. In addition the 3D visualization supports

some typical navigation abilities as seen in many other 3D

packages-namely rotate, zoom and pan. This enhances the user-

Each shape added to the 3D Universe is coupled with a

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5.4. Sample part

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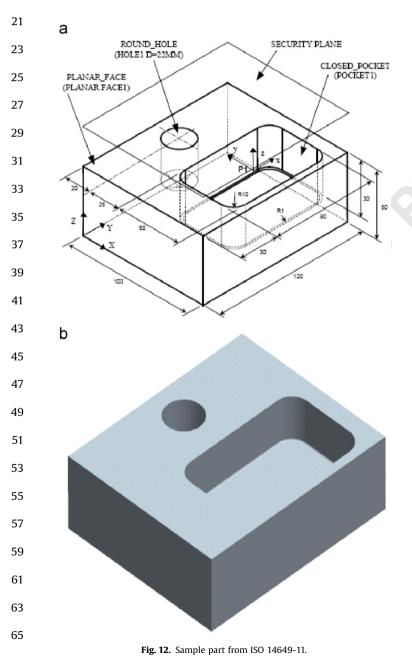
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3 The starting point of the process is STEP-NC data, which contains information such as "Workplan", "Workingstep", ma-5 chining strategy, machining features and cutting tools. The prototype system was tested by manufacturing several parts, 7 one of which is the first example in the annex of ISO 14649-11 [22] as shown in Fig. 12(a). The data shown in the figure is included in q the STEP file.

The current prototype system only uses wireframe models to represent a workpiece and the maximum travel constraints (Fig. 13). The cutting tool is represented by a cylinder. Two types of lines are visible in the model, one representing the ideal tool path (Fig. 13(a)), and the other the actual traversed path (Fig. 13(b)). Motion is visualized by constantly updating the transformations for each of these elements. The 3D visualization mirrors what one would expect of the milling machine. This allows for a preview of the controller motion, and can be used as a virtual verification method.



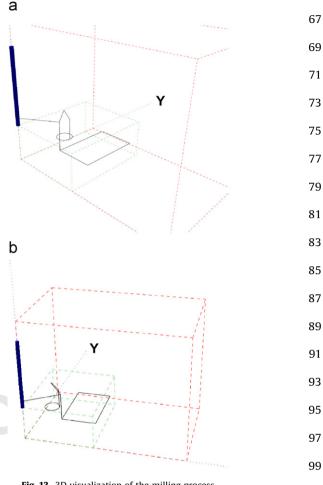


Fig. 13. 3D visualization of the milling process.

103 Testing of the system was first completed using the 3D visualization. Following this the Sherline CNC vertical mill was 105 engaged with the same part information as used in the simulation. The resulting part matched the simulation in terms 107 of dimensions of the shape machined as well as machining speed.

6. Conclusions and future work

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To meet the challenges of the ever globalized manufacturing 112 economy, agile, distributed, interoperable and intelligent computer numerical controlled machine tools are one of the key 113 enablers. Possible ways of replacing the current control language, i.e. G-codes, has been researched in recent years. The proposed 114 CNC controller uses the IEC 61499 architecture as its development platform and STEP-NC as the input data model. Compliance with 115 the STEP-NC standard will help to transfer the data across different companies and manufacture the corresponding part on 116 different machine tools. The prototype system has a layered structure. This type of organization simplifies the transition 117 between simulation and real machining, and substitution of one milling machine by another. 118

The CNC architecture proposed in this paper has been tested through a prototype system, using a CNC vertical milling machine 119 and proved that use of function block technology can allow development of an open and distributable CNC system. This also 120 enables separate functional units of the controller to be implemented on other devices.

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M. Minhat et al. / Robotics and Computer-Integrated Manufacturing (()) ...

1 In addition to the distributable nature of the prototype system, the proposed controller can also support remote management and 3 configuration, a feature that could be useful in an environment featuring multiple machine tools (e.g. flexible manufacturing 5 systems). Thanks to the successfully implemented MVC design methodology, the architecture and its prototype system support 7 real-time machining simulation. Hence the system can also be used as a virtual machining tool.

To cater for tool path planning, a library representing basic machining features should be developed as well as a higher level machining planning. Further work will also include deployment

on embedded devices with pulse width modulation (PWM) 13 generators to enhance the performance of the prototype system. Extensibility will be addressed by extending 3-axis to 4 and/or 5-

- 15 axis control function, which will be achieved by implementing the corresponding function blocks at Layer 3 of the architecture. With
- 17 respect to interoperability, the output interfaces to other machine tools need to be implemented as function blocks and device types,

19 **Q1** belonging to the layer 1 of the CNC-FB architecture.

7. Uncited reference

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