

Low-frequency direct cortical stimulation of left superior frontal gyrus enhances working memory performance

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Abstract

The neural substrates of working memory are spread across prefrontal, parietal and cingulate cortices and are thought to be coordinated through low frequency cortical oscillations in the theta (3 – 8 Hz) and alpha (8 – 12 Hz) frequency bands. While the functional role of many subregions have been elucidated using neuroimaging studies, the role of superior frontal gyrus (SFG) is not yet clear. Here, we combined electrocorticography and direct cortical stimulation in three patients implanted with subdural electrodes to assess if superior frontal gyrus is indeed involved in working memory. We found left SFG exhibited task-related modulation of oscillations in the theta and alpha frequency bands specifically during the encoding epoch. Stimulation at the frequency matched to the endogenous oscillations resulted in reduced reaction times in all three participants. Our results support the causal role of SFG in working memory and suggest that SFG may coordinate working memory through low-frequency oscillations thus bolstering the feasibility of targeting oscillations for restoring cognitive function.

1 **Introduction**

2 Working memory (WM), the ability to flexibly maintain and manipulate information for a short
3 period of time, forms an important component of cognition. It supports other higher-order cognitive
4 functions and has been tightly linked to fluid intelligence [1, 2]. Impairment in WM accompanies
5 many neurological and psychiatric disorders and significantly reduces the quality of life of affected
6 patients [3-7]. A mechanistic understanding of the causal role of circuit dynamics in WM will open
7 new therapeutic avenues.

8 Functional imaging studies have revealed that the neural substrate of WM is spread across
9 multiple cortical regions including dorsolateral prefrontal cortex, posterior parietal cortex and
10 anterior cingulate cortex. While early studies have suggested superior frontal gyrus (SFG) to be
11 involved in working memory [8-10], subsequent studies have often found the middle frontal gyrus
12 (MFG) to be the key node in working memory [11-15]. However, lesions in SFG have been shown
13 to result in working memory deficits [16]. In addition, electroencephalography (EEG) and
14 magnetoencephalography (MEG) studies have shown that oscillations in the theta frequency
15 band (4 – 8 Hz) observed on fronto-central regions [17-21] coordinate working memory. The
16 source of these oscillations is thought to be medial prefrontal cortex which includes SFG.
17 Modulations in WM performance by non-invasive brain stimulation like repetitive transcranial
18 magnetic stimulation (rTMS) [22, 23] and transcranial alternating current stimulation (tACS) [24-
19 27] targeting prefrontal cortex also provide indirect evidence for the role of SFG in WM
20 performance.

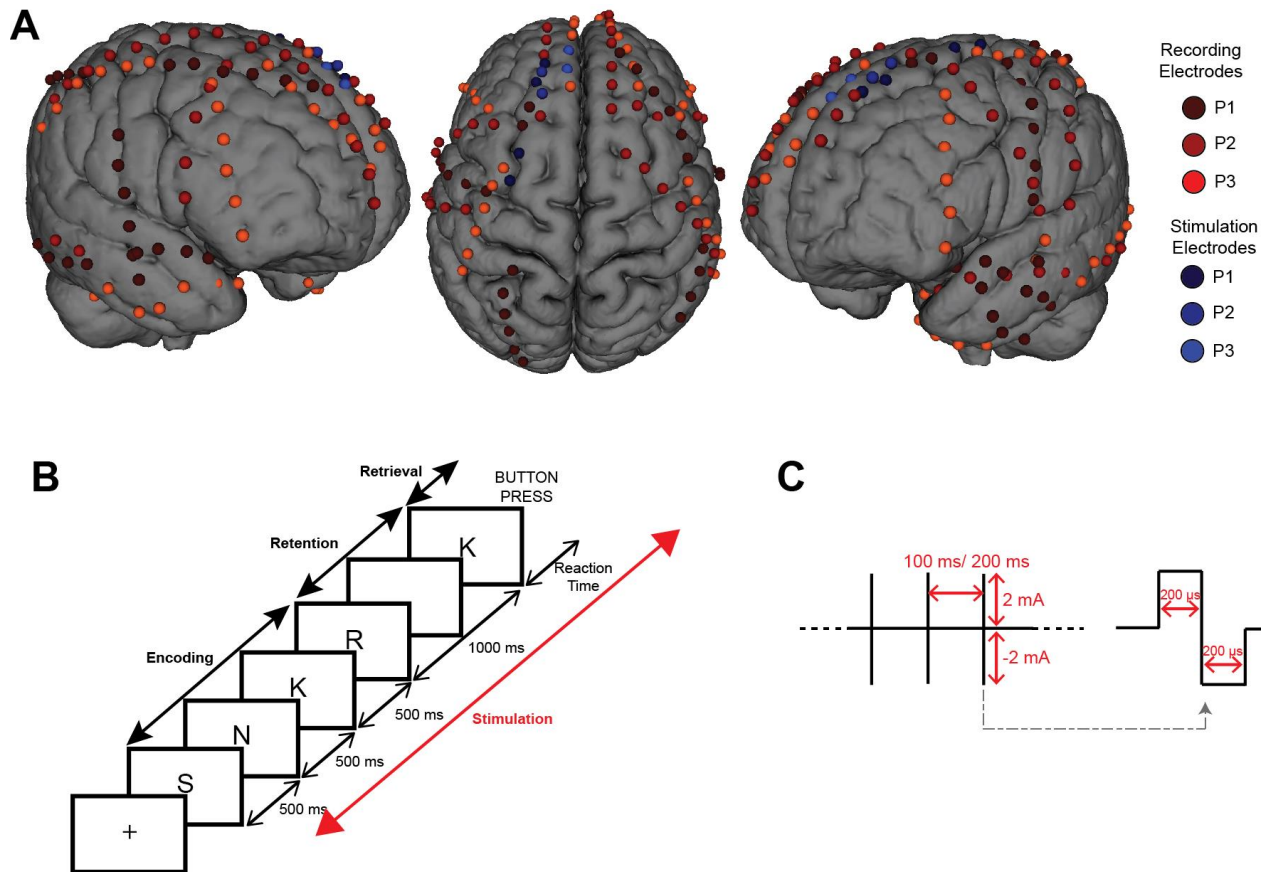
21 Electrocorticography (ECoG) allows identification of activity signatures at temporal scale of a few
22 milliseconds with a spatial resolution of a few centimeters is an ideal tool to map functions of
23 cortical regions. Direct cortical stimulation, in which stimulation is applied through ECoG
24 electrodes, allows for focal probing of cortex providing additional information through reversible
25 microlesions [28]. Combined recording and stimulation with implanted electrodes have greatly
26 contributed to revealing the substrate of long-term memory [29-32]. Low amplitude periodic
27 stimulation at 10 Hz has been demonstrated to engage ongoing cortical oscillations in a state-
28 dependent manner and enhance oscillation strength measured by signal power [33]. In this study,

29 we employed a similar experimental paradigm to delineate the role of SFG on working memory.
30 We present results from three participants with subdural electrodes over left and right SFG in
31 whom we assessed the electrophysiological signatures of SFG and applied periodic stimulation
32 during a verbal working memory task. We found that left SFG exhibited a task-related modulation
33 in oscillation power and stimulation matched to the frequency of oscillation resulted in an
34 improvement in working memory performance.

35

36 **Results**

37 We leveraged the access to ECoG signals in three patients with epilepsy undergoing long term
38 monitoring in the Epilepsy monitoring unit at the N.C. Neurosciences Hospital, UNC Medical
39 Center, Chapel Hill. The participants (P1, P2 and P3) had electrodes over frontal, temporal and
40 parietal regions on both hemispheres (Figure 1A). The participants performed a Sternberg verbal
41 working memory task that has been previously used in ECoG research [34, 35] (Figure 1B). The
42 cognitive load, measured by the number of items (English letters) in a list to be held in memory (3,
43 4, or 5 for P1 and 3 or 5 for P2 and 5 or 7 for P3), was varied randomly for each trial. In participant
44 P1, we observed an increase in reaction times with increasing cognitive load (list length 3: $824 \pm$
45 31 ms, list length 4: 1119 ± 105 ms, list length 5: 1140 ± 78 ms) in the sham trials (Linear model
46 factor list length: $F(2,34) = 4.864$; $p = 0.014$). In participant P2, who performed a separate baseline
47 session of the task without stimulation, there was no significant difference between reaction times
48 for different cognitive loads ($F(1,20) = 0.060$; $p = 0.809$). In participant P3, who also performed a
49 separate baseline session without stimulation, there was a significant effect of cognitive load
50 (Linear mixed model factor list length: $F(1,45) = 4.646$; $p = 0.036$). The reaction time for trials with
51 5 items in the list was lower than trials with 7 items in the list (785 ± 26 ms vs 902 ± 48 ms).

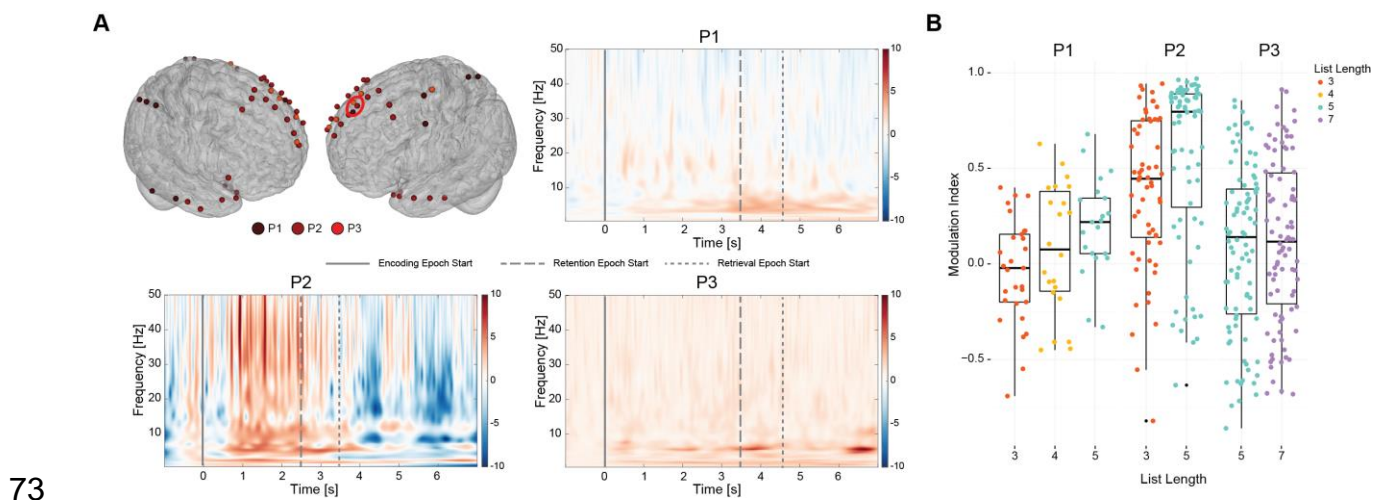


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Figure 1. (A) Surface model showing the coverage of electrodes for the three participants. (B) Schematic of a single trial of the working memory task used. The task consisted of 3 epochs – Encoding, Retention and Retrieval. Stimulation was applied through the entire trial. (C) Schematic of the periodic pulse stimulation. Stimulation consisted of train of biphasic pulses 400 μ s in duration every 100 ms (P1 and P2) or 200 ms (P3) for 5s.

53 Oscillations in the theta (3 – 8 Hz) and alpha (8 – 12 Hz) bands have been shown to be modulated
54 during working memory tasks [19, 34, 36]. Spectral analysis revealed oscillations with a peak
55 frequency around 5 Hz in P1 and P3 and 9.5 Hz in P2. To assess if these observed oscillations
56 were modulated by the task, we computed power spectra for baseline, encoding and retention
57 epochs when no stimulation was being delivered (sham trials in P1 and baseline session trials in
58 P2 and P3). Modulation indices were computed relative to baseline epoch. The retrieval epoch
59 was not included in analysis as the epoch may be confounded with action planning and action. We
60 found that electrodes in frontal, temporal and parietal regions exhibited an enhancement of power
61 relative to baseline during sham trials in the theta band (3 – 8 Hz) in P1 and P3 and in alpha band
62 (8 – 10 Hz) in P2 (one sample t-test with FDR correction; $p < 0.05$). Specifically, electrodes over
63 the left superior frontal gyrus (ISFG) exhibited the task relevant enhancement of oscillation across

64 all three participants. Spectrograms of sample electrodes over SFG illustrating task-related
65 modulation are depicted in Figure 2A. Further analysis of data from electrodes over ISFG revealed
66 that power modulation during the encoding epoch was influenced by list length (Figure 2B; Linear
67 mixed model factor list length: $F(3,370) = 3.417$; $p = 0.017$). Post-hoc analysis revealed a
68 significant difference between modulation indices from list lengths 3 and 5 in participants P1 and
69 P2 (Pairwise t-test, $p < 0.05$). In contrast, power modulation during the retention epoch was not
70 influence by list length (Linear mixed model factor list length: $F(3,228) = 1.029$; $p = 0.38$). Taken
71 together, these results imply that the oscillations indeed reflect task relevant processing and
72 specifically contribute to encoding.



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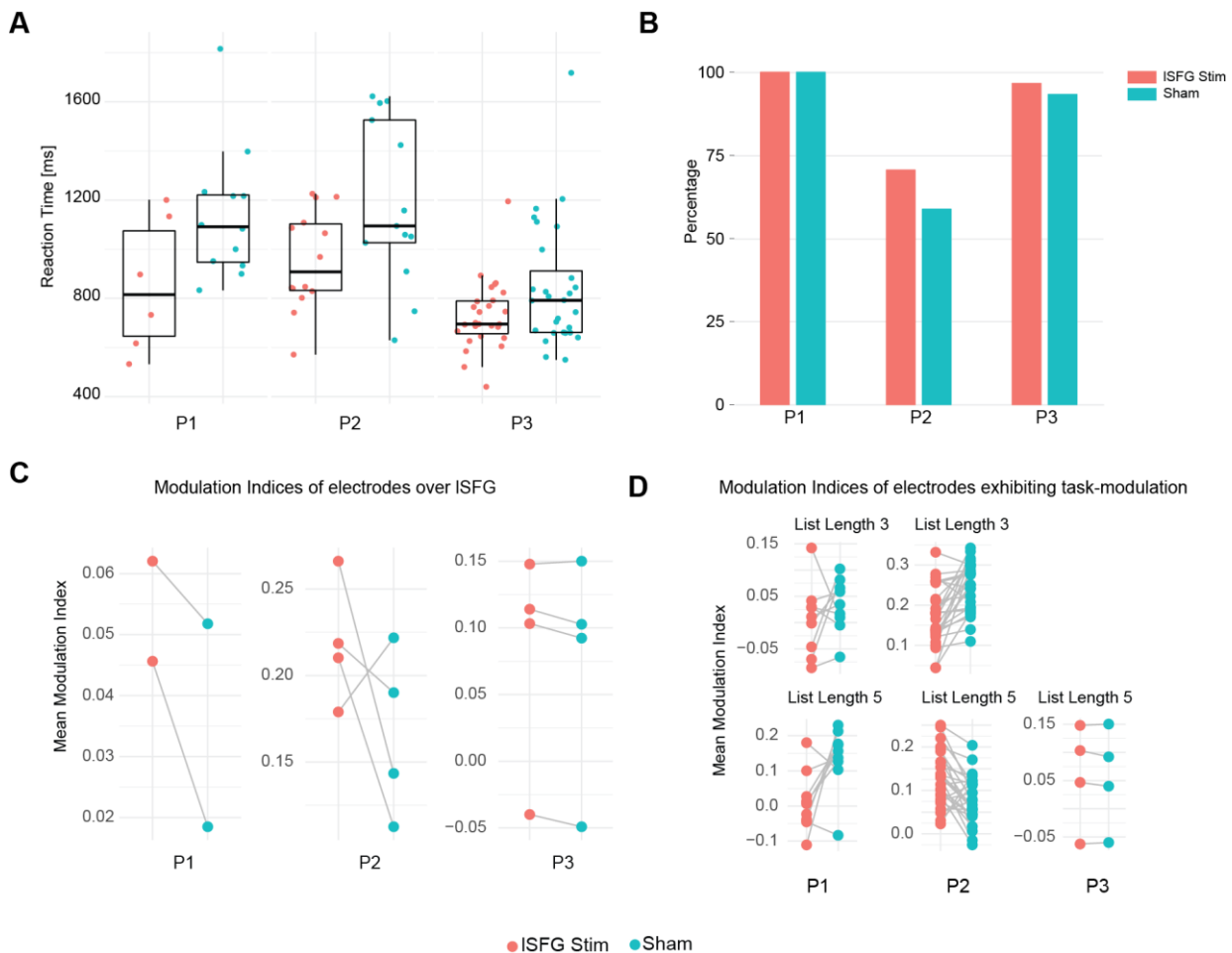
Figure 2. (A) Cortical model showing electrodes that exhibited task-related modulation. Red circle denotes the three electrodes in ISFG whose event related spectral perturbation are plotted. observed in left superior frontal gyrus electrodes during sham trials for P1 and baseline session trials for P2 and P3 indicating the modulation of signal in the band 3 – 12 Hz. Hot (red) colors indicate an increase and cold (blue) colors indicate a decrease in signal power relative to baseline. (B) Modulation indices during encoding epoch across all ISFG electrodes that exhibited significant task related modulation of signal power. In P1 and P2 there was a significant difference between modulation indices for list length 3 and list length 5.

74 To test if targeting oscillations that exhibited task-related modulation in SFG, periodic pulse
75 stimulation was applied between pairs of electrodes over the left SFG. Stimulation consisted of
76 pulse trains 2 mA in amplitude and 5 seconds in duration (Figure 1C) and the electrode pair being
77 stimulated was randomly changed for each trial. Stimulation and sham trials were randomly
78 interleaved and trial initiation was time-locked to stimulation initiation. Stimulation frequency was
79 10 Hz for P1 (chosen a priori), 9 Hz for P2 (due to technical issues) and 5 Hz for P3. A total of two

80 different pairs of electrodes in left SFG were stimulated in P1, one pair of electrodes in P2 and P3
81 (blue electrodes in Figure 1A). During the stimulation session, participants P1, P2 and P3
82 performed the task in which trials consisted of 3, 4 or 5 items, 3 or 5 items, 5 items respectively.
83 As a first step, the effect of stimulation on reaction times of participants P1 and P2 was analyzed
84 using a linear mixed model with fixed factors list length and stimulation condition and participant
85 as random factor as these participants had trials with 3 and 5 items. While there was no significant
86 effect of stimulation ($F(2,108) = 1.042$; $p = 0.356$), there was a significant effect of list length (
87 $F(1,108) = 9.072$; $p = 0.003$) and interaction between list length and stimulation condition (
88 $F(2,108) = 6.536$; $p = 0.002$). Next analysis was restricted to only trials with 5 items and the
89 reaction times of all 3 participants in sham trials were compared with that in stimulation trials. The
90 effect of stimulation was statistically significant (Linear Mixed Model $F(1,97) = 13.414$; $p < 0.001$)
91 with all participants showing a significant decrease in reaction times (P1: 1140 ± 78 ms vs $852 \pm$
92 111 ms; P2: 1188 ± 93 ms vs 954 ± 54 ms; P3: 841 ± 48 ms vs 727 ± 27 ms; Figure 3A) confirmed
93 by post-hoc analysis (Pairwise t-test, $p < 0.05$). Analysis of accuracy using chi-squared tests did
94 not reveal any significant interactions (Figure 3B) suggesting stimulation served to reduce reaction
95 times without affecting accuracy.

96 In most studies involving electrical stimulation, artifacts caused by stimulation prevent the analysis
97 of electrophysiological signals during stimulation. To overcome this, we developed an independent
98 component analysis (ICA) based method (see Methods and Experimental Procedures).
99 Stimulation artifacts were sufficiently suppressed (Figure S1) allowing us to study the signals in
100 the frequency band of interest. Power spectra and modulation indices in the endogenous
101 oscillation frequency band (3 – 8 Hz in P1 and P3 and 8 – 12 Hz in P2) were computed as
102 described before. Analysis of modulation indices of the electrodes over ISFG (restricted to trials
103 with 5 items in the list) across all participants did not reveal any significant effect of stimulation
104 (Figure 3C; Linear mixed model factor condition $F(1,459) = 0.612$; $p = 0.434$). To explore the
105 effects of stimulation on other regions that exhibited modulation of task-relevant oscillations, we
106 ran analysis on individual participant data including list length as a factor. In P1, stimulation
107 induced a differential change in modulation indices (Linear mixed model factor condition $F(1,672)$

108 = 20.827; $p < 0.001$, factor list length $F(1, 672) = 15.793$; $p = 0.001$, interaction $F(1,672) = 10.536$;
 109 $p = 0.004$). Further analysis revealed that there was a significant effect of stimulation in trials with
 110 5 items in list, with stimulation inducing a decrease in modulation indices (Linear mixed model
 111 factor condition $F(1,305) = 27.742$; $p < 0.001$). Similarly in P2, stimulation induced a difference
 112 change in modulation indices (Linear mixed model factor condition $F(1,1738) = 0.495$; $p = 0.482$,
 113 factor list length $F(1, 1738) = 33.190$; $p < 0.001$, interaction $F(1,1738) = 11.134$; $p < 0.001$).
 114 Stimulation caused significant decrease in modulation indices in trials with 3 items (Factor
 115 condition $F(1,908) = 9.04$; $p = 0.003$) while stimulation caused a trend-level significant increase in
 116 modulation indices in trials with 5 items (Factor condition $F(1,830) = 3.13$; $p = 0.077$). There was
 117 no significant effect of stimulation in P3 (Factor condition $F(1,215) = 0.005$; $p = 0.946$).



118

Figure 3. (A) Reaction times in trials with 5 items showing a decrease with stimulation. (B) Accuracy was not affected by stimulation (C) Stimulation did not result in any changes in modulation indices in electrodes over ISFG. (D) Differential effect of stimulation on modulation indices in electrodes that exhibited task-relevant modulation of low frequency oscillations.

119

120 **Discussion**

121 In this study, we show evidence for the role of superior frontal gyrus (SFG) in working memory
122 using a combination of ECoG and DCS. Electrodes over left SFG exhibited modulation of cortical
123 oscillations in the canonical theta and alpha frequency bands. The degree of modulation,
124 measured using modulation index, depended on the cognitive load, specifically in the encoding
125 epoch. Stimulation of ISFG with frequency matched to the fundamental frequency or harmonic of
126 the endogenous oscillations, led to an enhancement in working memory performance. However,
127 analysis of data obtained during stimulation did not provide any conclusive evidence for
128 modulation of task-relevant oscillations. Taken together, the results suggest SFG may be an
129 important node in brain network that coordinates working memory.

130 While there is an abundance of evidence for the role of middle frontal gyrus (MFG; Brodmann
131 Area 9/46) in working memory from neuroimaging studies [11, 15, 37, 38], the role of SFG is not
132 clear. There have been a few neuroimaging studies that suggest SFG may be involved in working
133 memory [8-10, 39]. SFG gray matter volume has been linked to working memory activation in
134 intra-parietal sulcus [40]. The strongest evidence for the role of SFG in working memory has come
135 from a lesion study [16] in which patients with lesions in ISFG exhibited deficits in working memory
136 involving verbal, spatial and face stimuli. Our results strengthen the evidence for SFG's role in
137 working memory. However, the proximal location our stimulation targets to MFG may confound
138 our interpretation of the results. Diffusion tensor tractography has revealed that SFG can be
139 divided into subregions with strong connectivity to ACC, a key node in cognitive control network
140 and MFG, a key node in executive control network [41]. As both networks are essential to working
141 memory processes [42-44], stimulation of SFG may have distributed effects across multiple
142 regions including MFG. The lack of sufficient coverage of these areas in these three patients

143 limited our ability to examine this idea. Previous studies have observed oscillations in the range 3
144 – 15 Hz to be modulated during working memory tasks [34, 45, 46] and the strength of oscillations
145 to reflect working memory load [19, 35, 36]. Frontal midline theta (FMT) is a commonly observed
146 oscillatory signature in EEG studies of working memory [18] typically in Fz and neighboring
147 electrodes in the 10-20 electrode system. The sources of FMT are thought to include lateral PFC
148 and ACC [47]. The theta oscillations we observed in our study may be related to FMT although we
149 did not have any scalp electrodes to confirm this. We found task-related modulation specifically in
150 the encoding period. Analysis of oscillation strength in the retention epoch did not reveal any
151 significant difference between the cognitive loads. This suggests that SFG may play a role that is
152 different from that of MFG/IFG which is known to predominantly be active during the retention
153 epoch [15].

154 To the best of our knowledge, this is the first study where effects of intracranial stimulation on
155 working memory and on oscillation strength were investigated. Periodic pulse stimulation of
156 entorhinal region has been shown to improve performance in a spatial learning task [31].
157 Concurrently there was an increase in theta-phase resetting. In another study, stimulation with
158 very weak sinusoidal currents (0.01mA) produced trend level effects in memory performance
159 although no improvement compared to sham was seen [48]. Impairment of performance has been
160 more commonly reported than improvement especially for hippocampal stimulation. One study
161 showed that single pulse stimulation of hippocampus impaired episodic memory [49]. In another
162 study, stimulation at 50 Hz impaired recognition of specific stimuli depending on whether left or
163 right hippocampus was stimulated [50]. More recently, stimulation of entorhinal/ hippocampal and
164 medial temporal regions was shown to affect both verbal and spatial memory [32, 51]. One key
165 difference between the studies described above and our current study is the frequency of
166 stimulation used. Often, 50 Hz was chosen as the stimulation frequency as opposed to the low
167 frequency used in our study. A study that utilized low frequency stimulation showed that
168 stimulation at 5 Hz resulted in improvement of delayed recall [52]. Another study in which theta
169 burst stimulation (100 ms trains of 0.1 ms pulses at 200 Hz repeated 5 times per second) of fornix
170 resulted in improvement of visual-spatial memory [53]. These results suggest that frequency of

171 stimulation might be crucial to the effects observed. Intracranial stimulation studies have often
172 focused on episodic memory and stimulation of hippocampus. In contrast, non-invasive stimulation
173 studies have focused on working memory specifically and target cortical regions such as dIPFC,
174 PPC, inferior frontal gyrus. Transcranial magnetic stimulation, which produces local
175 suprathreshold effects, i.e., evoking action potentials like those expected in intracranial
176 stimulation, has been shown to enhance working memory performance based on the stimulation
177 frequency, location and specific epoch within the task or before the task [54-61]. It must also be
178 noted that many studies report impairments of working memory and episodic memory by TMS as
179 well [62-65]. Transcranial alternating current stimulation, which likely produces more global
180 subthreshold effects, has been shown to increase performance by targeting dIPFC and PPC [24,
181 26]. The neurophysiological underpinnings of the effects in these studies are often unclear [27,
182 60]. Recently, rTMS applied at theta frequency to left intraparietal sulcus was shown to entrain
183 theta oscillations with a concurrent improvement in auditory working memory [66].

184 As any scientific study, our study has a set of limitations. First, the results presented here are from
185 three participants. The major obstacle in our case was the heterogeneity in electrode distribution
186 as the electrode locations were dictated by clinical needs. Second, although the stimulation
187 frequency was 10 Hz, oscillations in the frequency band 3 – 8 Hz were significantly modulated
188 concurrently with changes in WM performance. This discrepancy is hard to reconcile if
189 entrainment is thought to be the underlying mechanism of interaction between stimulation and
190 oscillation [67, 68]. However, the interaction between stimulation and an ongoing oscillation has
191 been found to be nonlinear and the effects depend on the strength of the prevailing oscillations
192 [33]. When there is a strong ongoing oscillation, stimulation tends to increase the strength of the
193 endogenous oscillation and only in cases where the strength of the oscillation is low, entrainment
194 is possible. This state-dependent effect of stimulation is likely the underlying mechanism in the
195 current study as well. Alternatively, 10 Hz stimulation may have engaged with the strong 5 Hz
196 oscillation through subharmonic entrainment as predicted in computational models [69]. Third, the
197 present experimental paradigm is limited to applying stimulation during the entire trial due to
198 technical limitations of the FDA-approved cortical stimulator used in the study. This limitation

199 precluded us from identifying if stimulation during an epoch within a trial, i.e. encoding or retention,
200 is more effective than stimulation during the entire trial. Moreover, the frequency of stimulation
201 was restricted to a few discrete frequencies that did not allow matching of the stimulation
202 frequency to frequency of endogenous oscillations in P1. Fourth, a limitation of the current study
203 design is that it used only a single stimulation amplitude and stimulation frequency. Given the
204 large parameter space, it is prohibitively difficult to try all possible parameters in studies with
205 limited participant pools as the current study. For P1, we chose stimulation regions based on
206 previous literature due to technical limitations. A more effective strategy was followed for P2 and
207 P3 where we identified electrodes that exhibited task-related modulation in low frequency bands
208 and applied stimulation accordingly. Also, the stimulation used in our study was restricted to a
209 single site. However, memory processes are distributed across different brain regions and the
210 most effective strategy would likely involve stimulation of multiple regions to produce more of a
211 network effect [29, 70] or an adaptive approach using closed-loop stimulation based on the state
212 of the network [71, 72]

213 In conclusion, we show that periodic pulse stimulation of cortex through subdural electrodes at low
214 frequency can enhance working memory. Despite the limitations, the study provides valuable
215 insights into the feasibility of using oscillations as brain stimulation targets. The importance is
216 highlighted by the emerging interest in using invasive recordings and electrical stimulation to
217 understand and alter pathological signatures of brain activity, whether it be neurological disorders,
218 like epilepsy and Parkinson's disease, or psychiatric disorders, like depression and obsessive-
219 compulsive disorder. Our results suggest that the same technology could be leveraged to also
220 address cognitive impairment.

221

222 **Experimental Procedures**

223 *ECoG Data Collection and Direct Cortical Stimulation*

224 All experimental procedures were approved by the Institutional Review Board of University of
225 North Carolina at Chapel Hill (IRB Number 13-2710) and written informed consent was obtained

226 from the participant. The participants underwent implantation of intracranial EEG electrodes
227 followed by long-term monitoring at the Epilepsy Monitoring Unit in UNC Neuroscience hospital for
228 surgical resection planning.

229 Strips of electrodes were implanted over bilateral frontal, temporal and parietal lobes as shown in
230 Figure 1A. Depth electrodes were implanted in bilateral parahippocampal gyri in P1 and strip
231 electrodes were implanted over bilateral occipital lobe in P2 (not shown in figure). The locations of
232 the electrodes were completely dictated by the clinical needs of the participant. The electrodes, 4
233 mm in diameter (2.5 mm exposed), were made of platinum-iridium alloy and embedded in silicone
234 (Ad-Tech Medical, Racine, Wisconsin, United States). The electrodes in each strip were separated
235 by 10 mm. Signals from electrodes that were over seizure foci (Table 1) were excluded from
236 analysis.

237 ECoG data from participant P1 was recorded using a 128-channel acquisition system (Aura LTM
238 64, Grass Technologies, Warwick, Rhode Island, United States) at 800 Hz sampling rate.
239 Electrical stimulation consisted of 5 second train of biphasic pulses, 2 mA in amplitude, 400 μ s in
240 duration and 10 Hz in frequency. The pulses were generated by a cortical stimulator (S12x cortical
241 stimulator, Grass Technologies, Warwick, Rhode Island, United States) and applied between pairs
242 of adjacent electrodes (blue electrodes in Figure 1A).

243 ECoG data from participants P2 and P3 were recorded using a different 128-channel EEG system
244 (NetAmps 410, Electrical Geodesics Inc, Eugene, Oregon, United States) at 1000 Hz sampling
245 rate. Stimulation was delivered using Cerestim M96 cortical stimulator (Blackrock Microsystems,
246 Salt Lake City, Utah, United States). Stimulation parameters (except frequency) remained the
247 same as in P1 except for the duration which was adjusted to encompass the encoding and
248 retention epochs.

249

250

251

252 **Table 1. Clinical Information of Participants**

Participant	Age	Sex	Handedness	Clinical Seizure Focus	Stimulation Frequency	Number of Trials
P1	23	F	R	Bilateral parahippocampal gyri	10 Hz	24 Sham, 13 Stimulation
P2	57	M	R	Bilateral inferior occipital, posterior temporal	9 Hz	27 Sham, 26 Stimulation
P3	26	M	R	Unknown Seizure Focus	5 Hz	30 Sham, 30 Stimulation

253

254 *Working Memory Task*

255 We adopted a classical Sternberg working memory task previously used in other ECoG studies
256 [34, 35, 73] (Figure 1C). The task consisted of 3 epochs. In the first epoch, lists of 3 to 5 pseudo-
257 randomly chosen letters from the English alphabet were presented sequentially. This was termed
258 the encoding epoch and each alphabet was displayed for 500 ms with 200 ms between each
259 alphabet (the inter-alphabet interval was not present for P2 and P3). Following this, was a
260 retention epoch where a blank screen was presented for 1 second. The final epoch was the
261 retrieval epoch where a single probe (another English alphabet) was shown for 5 seconds and the
262 participants had to indicate if they thought that the probe was present in the list by pressing a
263 specified key on the keyboard. If they did not think the probe was present in the list, they did not
264 have to press any key. The task was programmed in Matlab using Psychtoolbox [74] and
265 presented in a laptop. For the experiment in which P1 participated, triggers from the cortical
266 stimulator were detected by an ethernet DAQ (National instruments, Austin, TX, USA) connected
267 to the task computer and used to initiate trials. Sham trials, in which no electrical pulses were
268 delivered, were initiated using a pulse generator and were randomly interleaved with stimulation
269 trials. For the experimental session in which P2 and P3 participated, triggers were generated
270 within the Psychtoolbox task code and sent to Cerestim through the ethernet DAQ. In sham trials,

271 no triggers were sent to Cerestim. Stimulation was applied for 5 seconds in P1 and the duration of
272 encoding and retention epochs in P2 and P3. In P1 electrodes over right SFG and bilateral
273 temporal cortices were stimulated as well. However, the low number of stimulation trials did not
274 allow any meaningful analysis to be performed and hence was not included in the study here. In
275 P2, a pair of electrode over right SFG was stimulated and the results are not included here.

276 Participants P2 and P3 completed 2 sessions – a baseline session and a stimulation session. The
277 baseline session did not include any stimulation and consisted of 40 trials of two different list
278 lengths to assess the baseline performance level as well as determine the parameters for the
279 stimulation session.

280 *Data Analysis*

281 Data analysis was performed using custom written Matlab scripts (The MathWorks Inc., Natick,
282 MA, United States). The recording setup consisted of switching circuits designed to protect the
283 amplifier during stimulation which prevented recording of data from stimulating electrodes. Hence,
284 data from stimulating electrodes were not included in the analysis.

285 Stimulation artifacts, present in channels adjacent to stimulated electrodes, were removed using
286 an independent component analysis (ICA) based approach (Figure S). Since artifacts were
287 observed as stereotypical waveforms, ICA resulted in components that contained only artifact
288 waveforms which were then rejected, and the remaining components were used to reconstruct
289 artifact free signals. We used the infomax algorithm [75] available as a part of EEGLab toolbox
290 [76] for computing independent components. Following artifact suppression, the signals were low
291 pass filtered with an FIR filter (cutoff frequency 50 Hz) and re-referenced to common average.
292 Signal power spectra was computed with a multi-taper fft based approach using Chronux toolbox
293 [77]. To quantify the change induced by stimulation, modulation index was computed as

294

$$\text{Modulation Index} = \frac{(\bar{S}_e - \bar{S}_b)}{(\bar{S}_e + \bar{S}_b)}$$

295 Where \bar{S}_e and \bar{S}_b are average power in specified frequency band in specific epoch (task,
296 encoding or retention) and baseline epoch respectively. The baseline epoch was defined as 5
297 second interval before the beginning of encoding epoch.

298 Time-frequency representations were computed by convolving Morlet wavelets with the time
299 series of each trial. Event related spectral perturbation was calculated as

$$300 \quad ERSP = 10 \log_{10} \left(\frac{S_e}{\bar{S}_b} \right)$$

301 Where S_e is the spectra at each time point within an epoch and \bar{S}_b is the average power in the
302 baseline epoch.

303 *Statistics*

304 All statistical analyses were performed using R. Linear mixed effects models were fitted using the
305 lmer package [78] which uses Satterthwaite's approximation to degrees of freedom to
306 determine the F statistics of the fixed effects.

307 For the effect of list length on reaction times, we fitted a linear model with reaction time as
308 dependent variable and list length as the factor for each participant separately. For the effect of list
309 length on modulation indices, we fitted linear mixed model with modulation index as the dependent
310 variable and list length as the fixed factor and participant and electrodes as nested random
311 factors. To study the effect of stimulation on reaction time, we fitted a linear mixed model with
312 reaction time as dependent variable and stimulation as fixed factors and participant as the random
313 factor. As post hoc analysis we performed a two-sample t-test to compare the difference between
314 reaction times during sham and stimulation trials for each participant. To study the effect of
315 stimulation on modulation index, we fitted linear mixed models with modulation index as
316 dependent variable, stimulation as fixed factor and electrodes and participants as nested random
317 factors and also with modulation index as dependent variable and list length (3 levels) and
318 stimulation regions (3 levels – sham, frontal region, temporal region) as fixed factors and
319 electrodes as a random factor. As post-hoc analysis, we performed paired t-tests.

320 *Extraction of Electrode Location from Neuroimaging Data*

321 3D Slicer [79] was used to analyze and extract electrode locations from CT images obtained after
322 implantation of subdural electrodes. The post-operative MRI was co-registered to post-operative CT
323 in Slicer followed by registering to standard MNI atlas [80]. Skull stripping was performed using
324 ROBEX [81], and the gray matter and white matter were then segmented using ITK-Snap [82]. The
325 surface model of the MNI atlas brain was generated using Slicer and used for visualization
326 purposes. The anatomical locations of the electrodes were determined by co-registering the MRI
327 Image to the MNI Atlas [83], recomputing electrode locations in the MNI space, transforming these
328 locations to Talairach space, and using the Talairach Client [84] to obtain the label of the gray matter
329 nearest to the coordinate representing electrode location.

330

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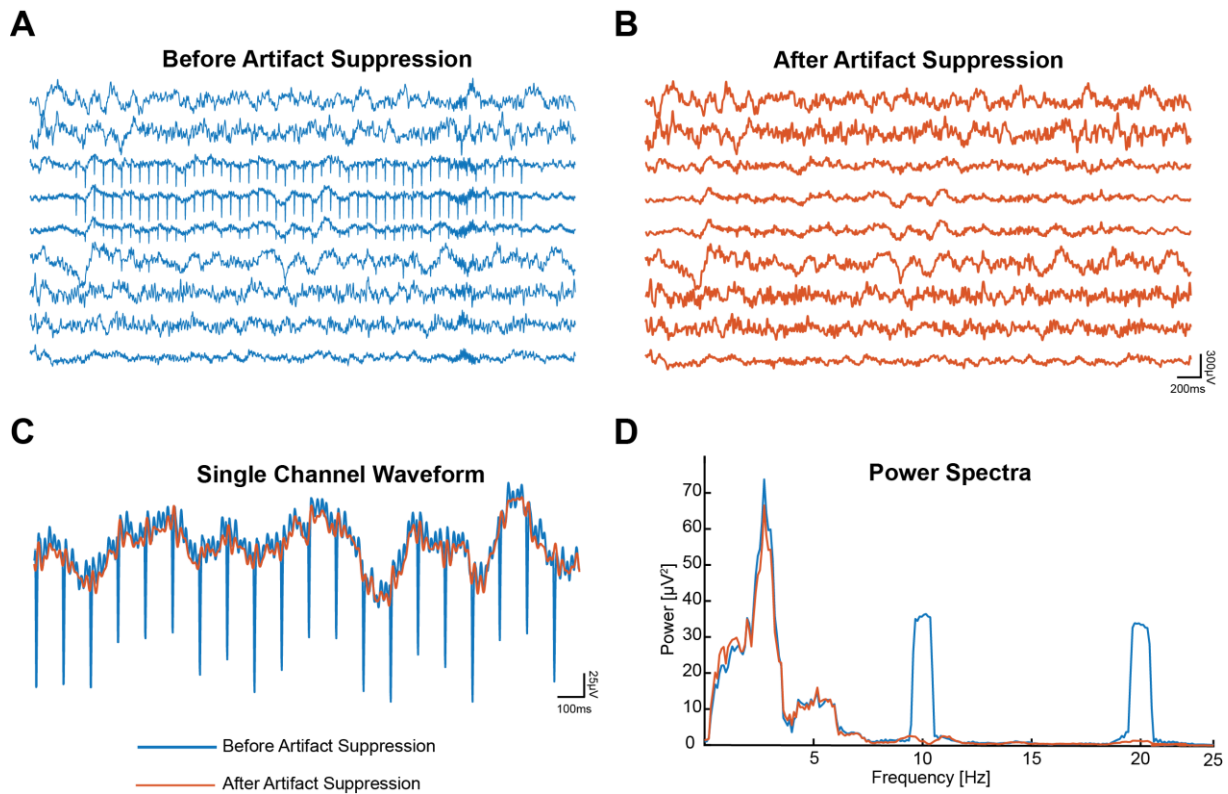
336

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347

348 **Supplementary Figures**



349

Figure S1: An example illustrating performance of ICA based artifact suppression algorithm. (A) Raw signal from channels adjacent to stimulation channels before artifact suppression (B) Signal after removal of stimulation artifacts. Visually, the artifact has been reduced to noise level. (C) Trace from a single channel highlighting the suppression of artifact waveform (D) Power spectra computed from the signal shown in (C) before and artifact suppression.

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