

Extracellular matrix-based materials for neural interfacing

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Extracellular matrix (ECM)-based materials used for neural interfaces allow for prolonged effective interaction between foreign devices and the neural cells *in vivo* because they mimic the natural environment. This review will highlight studies that have demonstrated how ECM-based materials can benefit neural interfacing applications as neural electrode coatings, scaffolds, and nanoparticle (NP) coatings. The performance of neural electrodes can degrade from inflammatory response as indicated by the signal obstruction between neurons and electrodes from glial scar formation during prolonged implantation. ECM materials can mitigate an inflammatory response because they are naturally biocompatible and biodegradable. Scaffolds composed from ECM-based materials have the characteristic length scale and biochemical cues that promote directional neural cell growth. ECM-based scaffolds can also be utilized as drug delivery vessels to infuse the neural tissue with neural growth factors and anti-inflammatory cytokines. The NPs currently employed for drug delivery and imaging use various ECM-based coating materials that shield them from the neural cells because many types of NPs are cytotoxic. As demonstrated by these three neural interfacing applications, ECM-based materials are very promising candidates for the development of next-generation neural regeneration and therapeutic devices.

Introduction

Advanced neural interfaces that can establish smooth information exchange between the nervous system and devices will significantly benefit individuals with disorders of the nervous system.^{1,2} Relevant applications for neural interfaces include regulating mood disorders,³ epilepsy,⁴ motor disorder correction,⁵ cochlear implants for hearing loss, and deep brain stimulation.⁶⁻⁹ Implants in the peripheral nervous system are used for pain management, muscle contraction, and signal transfer between central nervous system and internal organs. Meanwhile, devices considered for the central nervous system (CNS) must be able to translate brain processes into external electronic or mechanical signals.^{1,10,11} These functional applications require that the material selected not only passively interfaces with the neural cells and tissues, but also enhances, rather than impedes, the performance of the devices.

Current materials used for neural interfaces include biocompatible metals such as tungsten and gold as well as carbon nanotubes (CNTs) and various nanoparticles (NPs). Although biocompatible, their foreign composition poses many problems for the host in terms of biodegradability as well as potential inflammation and toxicity after chronic use. To mitigate these

challenges, researchers are investigating extracellular matrix (ECM)-based materials, which are natural neural interface materials that would be perceived as native by the neurons.

In the nervous system, there are neurons and non-neuronal cells, known as glial cells. A neuron communicates with other neurons by carrying signals over its axon, a projection which is several orders of magnitude longer than the neuron diameter, and transmitting the signals to the target neuron using terminating synapses. Glial cells support neurons with nutrients, protection, neurotransmission, and maintenance of their environment. The two types of glial cells are macroglia and microglia. Microglia act as macrophages, in that they engulf and digest pathogens to protect the neurons. Astrocytes, characterized by their star-like morphology with multiple projections that envelop neuron synapses, are a dominant type of macroglia that support neurons in the CNS. Schwann cells exist in the peripheral nervous system to form an electrically insulating myelin sheath surrounding axons, as well as to aid in neuron repair and regeneration.

ECM, the infrastructure that encapsulates the cells, serves as the scaffold for cell adhesion, a platform for intercellular communication, and a feedback channel for cellular behavior.

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The bulk ECM for neurons is mostly comprised of laminin, the primary constituent protein of the basement membrane. This membrane, which provides the surrounding structure for most cells, is composed of a dense meshwork of three-dimensional (3D) topography, consisting of pores and fibers with dimensions ranging from 10s to 100s of nm.¹² In addition, the ECM also contains fibronectin interwoven in the framework and some collagen content in the blood vessel walls. Given that ECM proteins found in the nervous system support neural cell viability and proliferation through specific cell-matrix interactions, an ECM-based neural interface should minimize tissue integration time, inflammatory response, and biocompatibility concerns.¹³ Throughout this article, the term ECM-based materials will encompass matrix proteins, anti-inflammatory cytokines (cell-signaling proteins), and growth factors.

The expectations for material performance in neural interfacing are application dependent with some common themes. This review will highlight the latest research and general concerns in neural electrodes, neural guidance, and tissue engineering, as well as drug delivery and imaging. It will also discuss the advantages that incorporating ECM-based neural interfaces can bring forth to address some of the challenges specific to each of those medical applications.

The underlying advantages of ECM-based materials for neural interfacing are a reduction in inflammatory response and improvement in cell adhesion between devices and neural cells. The reduced inflammatory response is partially attributed to the lack of reactive oxygen species (ROS) present due to a lessened immune response. ROS are natural byproducts of oxygen metabolic process that when generated in excess due to environmental factors such as heat and radiation, can cause cells to be overwhelmed by oxidative stress and die. Other reasons for the reduced inflammatory response are increased biocompatibility, biodegradability, and lack of toxicity. In addition, there is improved cell adhesion and conformation due to ECM materials having characteristic length scales that enable selective contact with neural cells and fibers.

Implanted electrodes

One major area of investigation of neural interfacing is implantable neural electrode development. Electrode stimulation and recording techniques are crucial for diagnosis and therapeutic treatment of neural problems. Implanted electrodes used for chronic deep-brain stimulation have significantly mitigated symptoms in patients with Parkinson's disease^{5,14,15} and even Alzheimer's disease.¹⁶ Neural electrodes have even allowed patients suffering from Lou Gehrig's Disease, characterized by progressive degeneration of neurons that control muscle motion, and paralysis to regain motor control and motion.¹⁷

There are currently two main types of electrodes in use. The first is a penetrating electrode, such as the Michigan electrode¹⁸ and the Utah electrode,¹⁹ used for stimulating and/or recording brain signals. The other is a cuff electrode, which encircles the peripheral nerves. They all have multiple interface sites for simultaneous interfacing with several cells. Many of the current

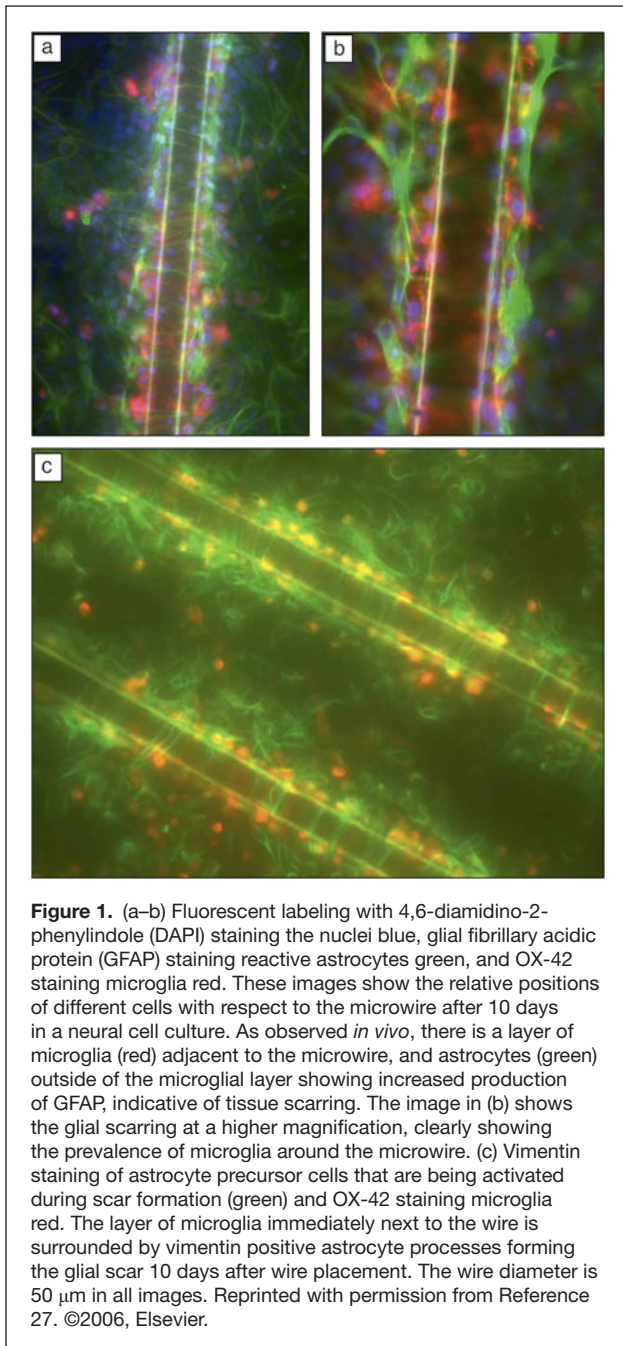
materials used for implantable electrodes are biocompatible and corrosion resistant materials that possess mechanical moduli several orders of magnitude higher than neural tissue. These materials include Au, Ti, Pt-Ir alloy, Rh, Si, stainless steel, and conducting polymers.²⁰ Alternate materials that have lower moduli, including polyimides and parylene, are used to fabricate cuff electrodes and related types.^{21,22}

The main areas of concern regarding electrodes are inflammatory response and electrode failure during chronic implantation. These issues primarily stem from chronic exposure to foreign material and continuous mechanical injury due to modulus disparity as well as microscale motion. The coating of these electrodes with ECM-based materials has been investigated as an approach to minimize the inflammatory response.

Electrode failure due to glial encapsulation during chronic implantation

When injury occurs in the CNS, the astrocytes synthesize glial fibrillary acidic protein (GFAP), which adds to the projections and cytoskeletal structures of the astrocytes. After these activated astrocytes proliferate and accumulate at the injury site, they displace the nonregenerative CNS neurons and secrete matrix molecules that inhibit neural growth, thus leading to the formation of a glial scar. Currently, functionality of neural electrodes is limited by the response that results from chronic electrode implantation.²³⁻²⁵ Managing the inflammatory response is critical for preserving neurons near the recording or stimulating sites during chronic implantation.²⁶ An *in vitro* simulation of that inflammation response, shown in **Figure 1**, reveals the formation of a glial scar around a 50 μm diameter stainless steel microwire after 10 days of constant exposure to neural cell culture.²⁷ Initial electrode insertion is injurious to multiple elements such as capillaries, ECM, and neural cells. This acute damage results in blood-borne macrophages entering through the vessels as well as activated astrocytes migrating toward the injury site.^{28,29} Reactive astrocytes have been shown to secrete ROS as well as a number of inflammatory cytokines, which can act as neurotoxins at high concentrations.^{30,31} The eventual aggregation of astrocytes and other neural components constitutes an encapsulating sheath around the implanted electrode that grows in size for several weeks, after which the encapsulation layer becomes thinner and denser and stabilizes after ~6 weeks.^{24,32}

As shown in **Figure 2**, the integrity of *in vivo* glial encapsulation increases from 2 to 12 weeks of Si electrode exposure and subsequent removal.²³ Consequently, over time, the glial scar results in displacement of the nearby neurons and reactive astrocytes that constitute the scar secrete inhibitory ECM molecules that hinder damaged axon regrowth.³² The arrested axon growth from nearby neurons indicates that the encapsulation prevents neurons from penetrating their projections through the glial sheath and accessing the recording site of electrodes.^{33,34} These occurrences are indicated by an immediate and sustained increase of immunohistochemical markers specific for astrocytes as well as elevation in glial and astrocytes around the



electrode for months after implantation.^{23,29,33,35} The glial scarring eventually leads to high impedance surrounding the electrode, resulting in a loss of electrode recording capability.^{14,23,36}

ECM-based material coatings lessen inflammatory responses

Coating the electrodes with ECM proteins and growth factors discourages glial scarring formation and improves neural cell adherence to the electrode. It has been demonstrated that coating the electrodes with adhesion proteins, or bioactive molecules, can alleviate the glial response.²⁸ Following the *in vivo* insertion of electrodes coated with adhesion proteins and

growth factors, there was a decrease in the prevalence of glial scarring, as indicated by a reduction in the number of active microglia, indicated by the ED-1 (ectodermal dysplasia 1) staining and the number of reactive astrocytes, as shown by GFAP staining.²⁶ A recent study also showed that cortical electrodes with laminin coatings resulted in intense ED-1 staining one day post-implant. However, at four weeks post-implant, there was a marked reduction in ED-1 staining and weakened GFAP intensity around the electrode tracts, indicating a decreased glial response compared to uncoated electrodes. Furthermore, chronically implanted Si microelectrode arrays coated with laminin layers on the order of nanometers thickness were shown to elicit decreased gliotic response after four weeks post-implant.³⁷ Along those lines, surface coatings of alpha melanocyte stimulating hormone, a potent anti-inflammatory peptide, have been shown to reduce inflammatory cytokines such as IL-1 and TNF-alpha and attenuate glial response in both *in vitro* cell culture and *in vivo* electrode implantation studies.²⁶

Scaffolds

Another area of neural interface research lies in creating 2D and 3D scaffolds that guide neuron growth for signal propagation in neural networks and neural cell regeneration in depleted zones. ECM-based materials have been utilized for neural growth because comparable length scales promote adhesion and conformation. Furthermore, it has been shown that various ECM-based coatings help provide the biochemical cues that orient neuron growth along the desired pattern.

Adhesion and conformation due to characteristic length scale

Morphologies of neural cells are highly sensitive to their local environment, thus various substrate patterns have been used to modulate neural cell adhesion, spreading, and growth. The dimensions of patterned lines and islands have been shown to control the migration and spreading of both neurons and glial cells. Neural growth cones, the growing ends of developing axons that sense the surroundings for a target neuron to form synapses with, are attuned to physical topography even in the absence of specific biochemical cues. This unique feature can enable directional growth of neural cells, particularly on ECM materials, since both neural growth cones and ECM proteins have characteristic lengths within the nanometer range.^{38,39}

A study using adult rat hippocampal progenitor cells (**Figure 3**), stem cells from the region of the brain that processes memory and spatial navigation, demonstrated that those that exhibited neuronal morphologies had axons extended in axial alignment with the grooves of a patterned substrate coated with laminin.⁴⁰ Axons of peripheral neurons experience guiding effects when lateral features are around 100 nm.¹² A recent study showed that selectively patterned laminin on both photolithographically patterned substrates and microchannels embedded in PDMS improved neural attachment and extension in *in vitro* studies.^{41,42} In addition, patterned coatings of laminin on silicon have been shown to promote attachment and differentiation of cortical cell

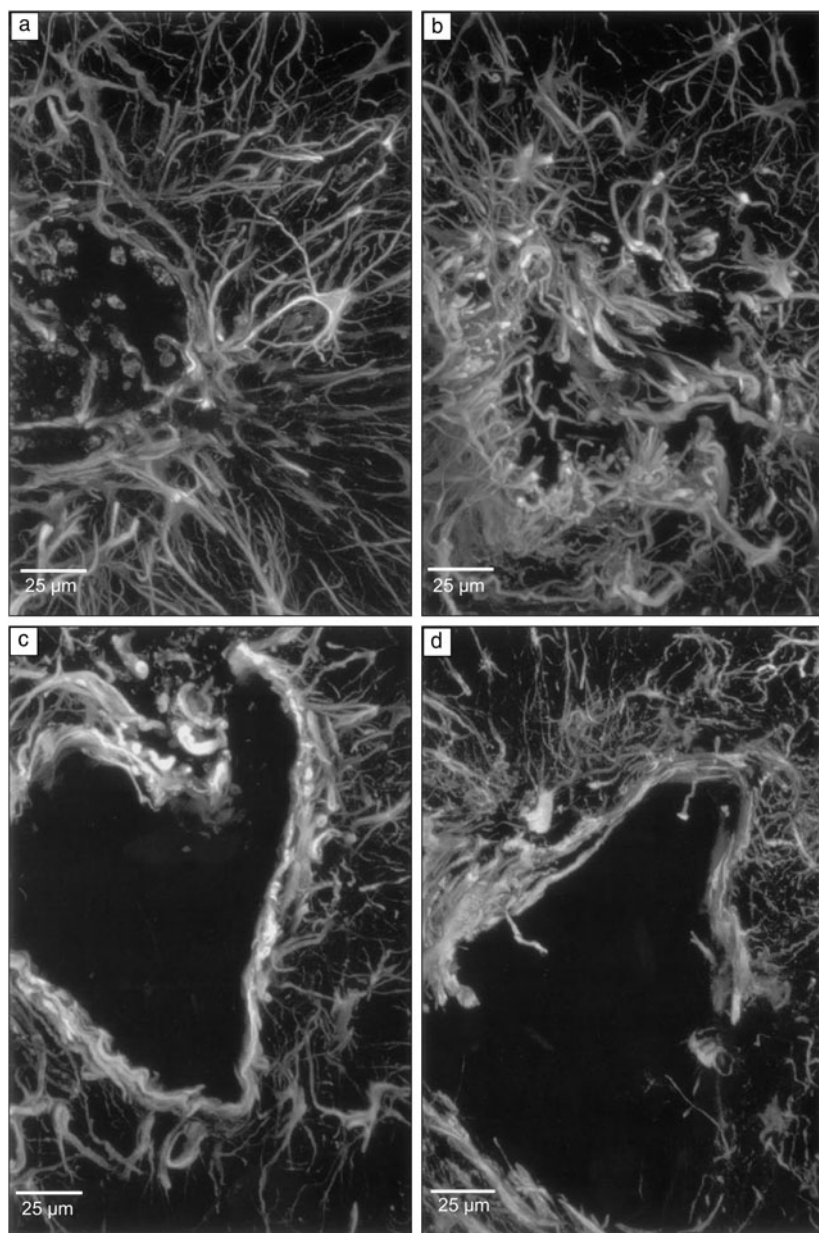


Figure 2. Confocal microscope projection images of the insertion sites left by removing a silicon probe after 2, 4, 6, and 12 weeks of implantation (a–d, respectively). The samples are labeled with anti-GFAP (glial fibrillary acidic protein) antibodies to illustrate the reactive astrocytes. (a and b) After two and four weeks of implantation, the sheath structure collapsed on itself during probe removal; (c and d) after six and 12 weeks, the glial encapsulation had sufficient integrity to withstand the disruption from probe removal. Reprinted with permission from Reference 23. ©1999, Elsevier.

cultures.⁴³ These findings suggest that nanoscale precision of ECM protein coatings on devices would be valuable for integration. The ability for tissue to interface with the device on the protein level could lead to an improvement in signal quality and information exchange between the two entities.

Furthermore, a greater degree of fit between a device and neurons on the molecular length scale can improve surface contact and reinforce their interaction.²⁰ Interfacing devices

with peripheral nerves is challenging in terms of conforming a rigid device around the nerve cables, which are 3D structures with individual fibers connecting and signaling for distinct motor and sensory information. The preferable choice would be a device made from a flexible material that can fit to the peripheral nerves on the cellular level so that there will be more selective signal transfer between the device and the nerve fibers.⁴⁴

ECM materials as coatings for neural guidance

Specific and directional formations of neural networks have a wide range of applications, including the development of assays,⁴⁵ biosensors, and synthetic networks.⁴⁶ Isolated small neural networks grown on laminin-patterned substrates have been developed to examine the signaling interactions between neurons in such networks, including how they sense and respond to their microenvironment.⁴⁷ Moreover, microfluidic architectures can be constructed for *in vitro* assessment of neural network behavior on surfaces modified with ECM molecules.^{48,49} Since microfluidic channels can create biochemical gradients along various axes in 2D or 3D, they comprise a feasible means of studying the directional response of neuron stimulation.^{49–51}

One study has shown that when a monolayer of regenerating neurons was cultured on a monolayer of aligned astrocytes, they grew in parallel with the astrocytes and expressed aligned linear arrays of matrix proteins. These findings suggest that by manipulating the orientation of astrocytes in an engineered scaffold, it is possible to facilitate neural regeneration.⁵² For instance, conductive polymer coatings with localized doping of neural growth factor and ECM proteins at electrode sites minimize tissue reaction and promote neural growth and adhesion.^{53–55} Such guidance allowed peripheral nerves to interface with regenerating axons from amputated nerve stumps.⁵⁶ Anisotropic patterning of growth factors and ECM proteins can guide axons,^{57,58} which

would enhance peripheral nerve implant stability and positively impact the signal to noise ratio through the close proximity of neurons to electrodes. As with neural electrode arrays, microfabrication technology such as microcontact printing^{59,60} can also be applied to pattern ECM proteins^{61,62} such as laminin,⁶³ fibronectin,⁶⁴ and collagen⁶⁵ with nano- and microscale precision to allow directional guidance of neuron growth.

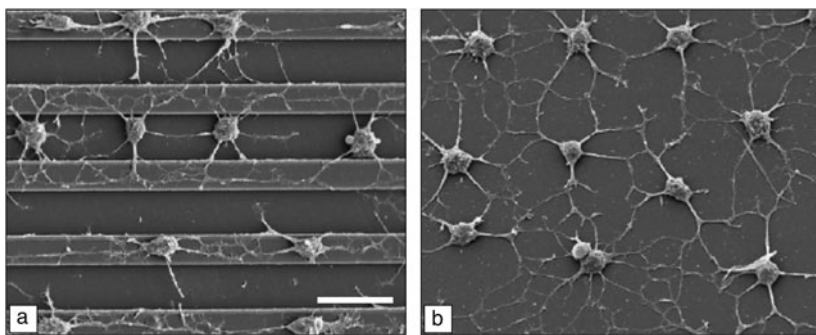


Figure 3. Scanning electron microscopy images of adult rat hippocampal progenitor cells (AHPCs) cultured on a poly-L-lysine (PLL) and laminin coated polystyrene substrate. (a) AHPC projections were aligned with the grooves on the micropatterned substrate. (b) AHPC projections were oriented randomly on the non-patterned side of the substrate. Images were taken from cultures at seven days after plating. Scale bar = 30 μm . Reprinted with permission from Reference 40. ©2006, Elsevier.

ECM materials for scaffolds

ECM materials used for tissue engineering include collagen, fibrin, fibronectin, and hyaluronic acid (HA).⁶⁶ Collagen is currently used as a gel scaffold by modulating its pH and concentration. Neural cells can be tethered to the gel through various adhesion sites. The disadvantage of collagen is potential cross-species immune response.^{67–69} Fibrin is currently used as a sealant for wound healing with properties that can be modified by modulating the concentration or with chemical modification to alter its properties.^{70,71} Fibronectin is a glycoprotein also used in wound healing but can be used to bind collagen, fibrin, and heparin. The aggregate form of fibronectin is a mat, containing aligned pores that promote neural guidance during regeneration, absorb growth factors, and bond to cells.^{72–74} HA is a glycosaminoglycan found in ECM that does not provoke an immune response in the body. The disadvantage with working with HA is that it is water soluble so it needs to be incorporated with other elements before application.⁷⁵

ECM and synthetic composite scaffolds for neural regeneration

Bioactive and biocompatible peptide-based self-assembling nanofibers that form scaffold materials are being developed for tissue engineering for neural regeneration applications.^{76–78} They can emulate both the mechanical and biochemical properties of the ECM environment. One group has demonstrated that culturing neural progenitor cells within a 3D network of self-assembled peptide nanofibers with integrated laminin epitope can induce rapid differentiation of the progenitor cells while suppressing the development of astrocytes.⁷⁸ Another study has shown that neurons can grow into monolayers when cultured on top of vertically aligned carbon nanofiber array scaffolds coated with polypyrrole (for preserving the vertical alignment of the nanofibers) and collagen. This investigation suggests the potential for nanoscale electrical-neural interface that could lead to opportunities for deep brain stimulation given the high aspect ratio of the carbon nanofibers.⁷⁹

Because neurons are dependent on topographical cues from the scaffold for growth and cellular communication, aligned polymer nanofiber constructs have proven to induce more regeneration compared to amorphous ones based on electrophysiological and behavioral analyses.⁵⁶ Recent microfabrication techniques using lithography and molding have also been developed for synthesizing ribbon-like collagen microfiber structures that are embedded in an elastin protein matrix to generate multi-tiered fiber-reinforced composite materials. Mechanical testing has shown that this structure has a mechanical response that is comparable to that of native tissue.^{80–82} Together these findings strongly indicate that this type of biomimetic composite structure has very promising prospects in future neural tissue engineering applications.

In addition to aligned fibers, studies have shown that ECM molecules secreted from aligned neural supporting cells combined with cell-matrix adhesion factors can further guide the growth of neurons. Longitudinally oriented glial cells stimulate and support the parallel growth of axons and neurons by releasing aligned and constrained pathways of ECM molecules as biochemical cues.⁵² Other cells that have been shown to successfully orient the propagation of neurons and their projections include fibroblasts (cells that synthesize matrix proteins), meningeal cells (cells that aid in the development of a physical barrier between CNS and foreign entities), astrocytes, and Schwann cells oriented on substrates with topographically aligned features (**Figure 4**).^{42,52,53,83–85}

Furthermore, constructs that incorporate ECM material and CNTs have been demonstrated to promote neural stem cell (NSC) differentiation and proliferation. NSCs are multipotent stem cells that can differentiate into neurons and glial cells. Cell-seeded 3D protein materials doped with CNTs can create a directionally conductive cellular matrix.^{86,87} Laminin coated single wall carbon nanotube (SWNT) films support the growth and proliferation of NSC, along with the formation of synapses.⁸⁸ Another study showed that cultured human embryo stem cells (ESCs) on surfaces of roughly aligned collagen-SWNT composite material exhibit significantly higher levels of nestin expression, an intermediate filament in neural cells, than on collagen or gelatin substrate alone.⁸⁹ An increased degree of ESC differentiation was attributed to the morphological changes induced by adding SWNT to the collagen film, further demonstrating that the combination of ECM and CNTs is more favorable to the differentiation of stem cells into neurons than ECM alone.

ECM-based drugs embedded in scaffolds

Scaffolds can also be used to deliver ECM-based drugs. One major type of therapeutic used for neural tissue engineering is neurotrophin, a growth factor that plays an important role in activating signaling pathways, modulating cell apoptosis

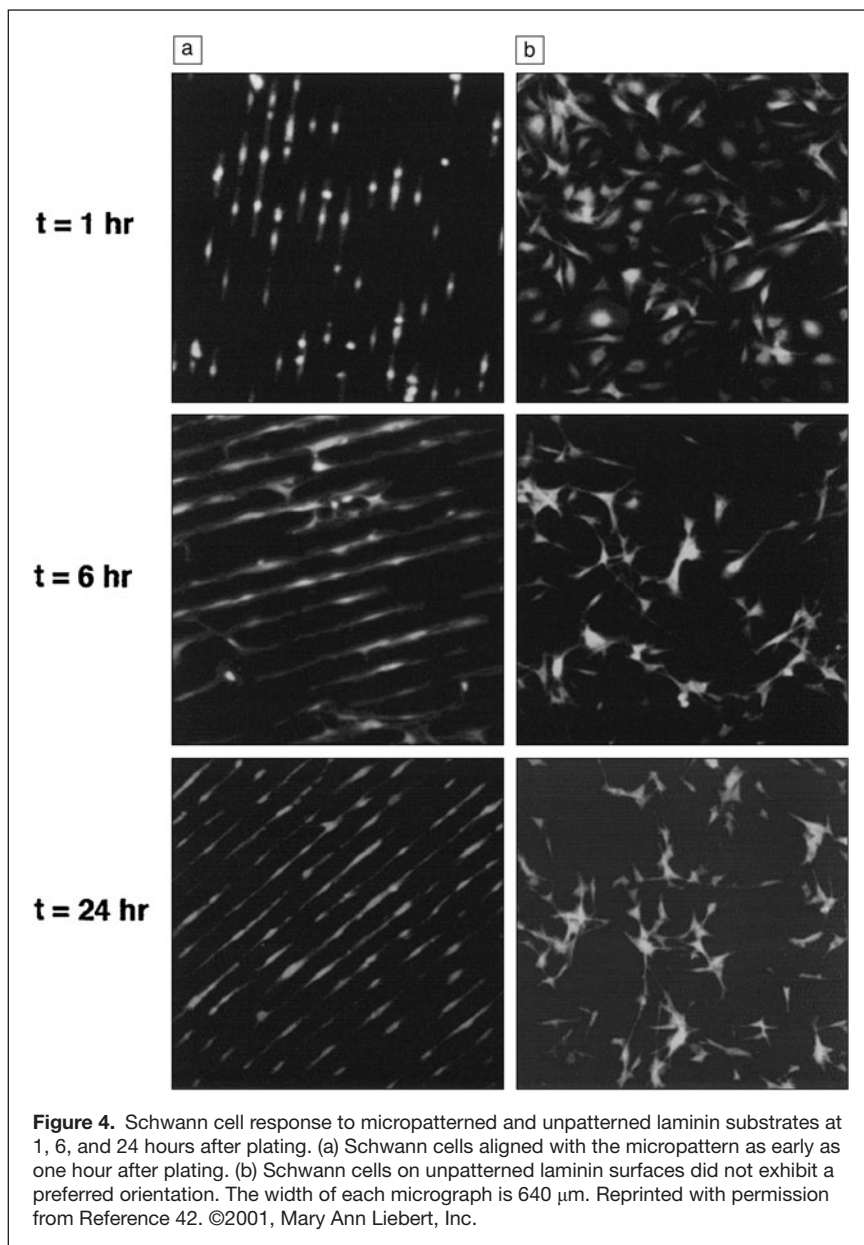


Figure 4. Schwann cell response to micropatterned and unpatterned laminin substrates at 1, 6, and 24 hours after plating. (a) Schwann cells aligned with the micropattern as early as one hour after plating. (b) Schwann cells on unpatterned laminin surfaces did not exhibit a preferred orientation. The width of each micrograph is 640 μm . Reprinted with permission from Reference 42. ©2001, Mary Ann Liebert, Inc.

(cell death), and promoting neurogenesis.^{90,91} Other growth factors include ones that promote regeneration such as ciliary neurotrophic factor, fibroblast growth factors, transforming growth factor β , and glial derived neurotrophic factor.⁶⁶ They promote regeneration by inducing blood vessel growth to injury sites, promoting neural cell proliferation, and reducing reactive astrocyte proliferation. Drugs can be delivered into the system either by degradation of the scaffold or diffusion. To regulate the rate and dosage of drug release, the scaffold porosity and density are modulated by varying the ratio between drug and scaffold material during fabrication.⁶⁶

Nanoparticles

NPs are currently being explored for both neural imaging and drug delivery because they are able to penetrate the blood brain

barrier and are especially useful for tracking individual neurons. For dynamic imaging of neural activity using MRI or fluorescence microscopy, it is important to have high contrast agents that react on a time scale comparable to that of the membrane activation potential of neurons.⁹²⁻⁹⁶ Magnetic NPs are attractive candidates for imaging that can also be conjugated with peptides and antibodies for use in diagnostics and therapeutic treatment.^{97,98} Quantum dots, which are semiconductor NPs, have specific and narrow emission spectra upon excitation by broad spectra in the UV range. With biochemically functionalized surfaces, quantum dots are used to track and label neurons and astrocytes^{99,100} as well as to probe the diffusion of individual neurotransmitter receptors^{101,102} and visualize their intricate molecular interactions. Coating quantum dot surfaces with antibody allows them to interact with cell surfaces by recognizing and targeting cells that have a corresponding acceptor surface proteins.¹⁰³ Nanocolloids are employed in neural applications as drug delivery vessels or contrast agents for imaging since they can penetrate the blood brain barrier and accumulate in certain areas of the brain. The drugs delivered are anti-inflammatory agents, neural growth factors, and gene therapy.¹⁰⁴

The main concern in these applications is the potential toxicity of the NPs. Other issues include absorption of NPs by the body and removal of the particles after they have completed their functions. By encapsulating these NPs with a layer of ECM-based material, toxicity to the neurons may be shielded and NPs can tether to target receptors if they need to be in the body for a period of time. NPs in the nervous system pass through the olfactory bulb, the region in brain for odor perception, and migrate

to other parts through neurons and by crossing synapses^{105,106} Some of the current NPs consist of metals such as Ag and Au, and metal oxides such as Fe_3O_4 , Fe_2O_3 , and SiO_2 . Other NPs use Cd containing semiconductor materials, liposomes, lipids, and biodegradable polymers. The toxicity of the semiconductor and organic NPs is a complex topic because it is dependent on factors such as size of the NPs, the site of accumulation, and the constituent materials.¹⁰⁷⁻¹¹³

Many studies use ROS generation and mitochondria interference to characterize NP cytotoxicity across different materials and different types of cells.^{114,115} As mentioned before, ROS results in oxidative stress, cell respiration reduction, an increase in permeability of the cell membrane, and a damaged nucleus; all contributing to eventual apoptosis.^{113,116} Nanotoxicity also can be indicated by reactive astrocytes, whose signature is

GFAP expression in the cell membrane. Cd containing semiconductor NPs can alter neural cell morphology, increase lipid peroxidation, and reduce metabolic activity, resulting in eventual apoptosis.^{113,117} Since the particles carry the potential for toxicity with them as they migrate, it is important to determine the particle distribution within the nervous system and ensure their stability.

Layer-by-layer assembled collagen films encapsulating CdTe NPs provide partial protection to the neural cells.¹¹⁸ Cd alone is a notable neural toxin and carcinogen that interferes with DNA repair as well as zinc metabolic pathways in the liver and kidney.^{119,120} Nevertheless, shielding with even a thin layer of collagen coating significantly reduces the toxicity effect because the contact area between the Cd NP core and neural cells is reduced.

Conclusion

This review highlights three categories of neural interfacing that can benefit from using extracellular matrix (ECM) materials. These are neural electrodes for stimulating and recording, scaffolds for neural regeneration using neural guidance and drug delivery, and using nanoparticles (NPs) for imaging and drug delivery. For neural electrodes, the major concern is inflammation due to chronic implantation. Inflammation is present as a glial scar that encapsulates the electrodes leading to neuron displacement, impedance increase, and ultimately device failure. ECM materials can mitigate an inflammatory response because they are biocompatible and biodegradable in addition to being less foreign.

ECM materials can also be utilized in constructing scaffolds for neural guidance, tissue engineering, and drug delivery. Neural cells are very sensitive to the characteristic length scale of the features of the surface topography because it determines the orientation of their proliferation and extension of cell projections. Polymer scaffolds and other materials can be fabricated with these dimensions, but they still should incorporate ECM-based materials to promote cell adhesion and provide biochemical cues to guide the cells. ECM materials naturally have the characteristic length scales that comprise the optimal cell conditions.

For drug delivery, ECM-based materials have been utilized both as a drug in the form of neural growth factors and an anti-inflammatory as well as a drug delivery vehicle in the form of a scaffold. NPs are effective tools for drug delivery and imaging because they can easily permeate into regions of the nervous system and penetrate through the blood brain barrier. However, toxicity is a concern for many forms of NPs. In that respect, ECM-based materials may provide coatings that reduce the toxicity of these particles.

Along with the many benefits, there are some limitations of ECM-based materials. Many ECM proteins can be difficult and expensive to isolate and reconstitute into natural proteins for use in a cell culture. Given the small quantity and large number of steps involved in these processes, there may be inconsistency in concentration from batch to batch. Overall, ECM-based materials

are very promising in the functionalities that they can deliver and the solutions they can provide to targeted applications.

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