Magnetoelectric materials for miniature, wireless neural stimulation at therapeutic frequencies

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15 A fundamental challenge for bioelectronics is to deliver power to miniature 16 devices inside the body. Wires are common failure points and limit device 17 placement. Wireless power by electromagnetic or ultrasound waves must 18 overcome absorption by the body and impedance mismatches between air, 19 bone, and tissue. Magnetic fields, on the other hand, suffer little absorption by 20 the body or differences in impedance at interfaces between air, bone, and 21 tissue. These advantages have led to magnetically-powered stimulators based 22 on induction or magnetothermal effects. However, fundamental limitations in 23 these power transfer technologies have prevented miniature magnetically-24 powered stimulators from applications in many therapies and disease models 25 because they do not operate in clinical "high-frequency" ranges above 20 Hz. 26 Here we show that magnetoelectric materials – applied for the first time in 27 bioelectronics devices – enable miniature magnetically-powered neural 28 stimulators that operate at clinically relevant high-frequencies. As an 29 example, we show that ME neural stimulators can effectively treat the 30 symptoms of a Parkinson's disease model in a freely behaving rodent. We also 31 show that ME-powered devices can be miniaturized to sizes smaller than a 32 grain of rice while maintaining effective stimulation voltages. These results 33 suggest that ME materials are an excellent candidate for wireless power 34 delivery that will enable miniature neural stimulators in both clinical and 35 research applications.

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37 Wireless neural stimulators have the potential to provide less invasive, longer 38 lasting interfaces to brain regions and peripheral nerves compared to battery-39 powered devices or wired stimulators. Indeed, wires are a common failure point for 40 bioelectronic devices. Percutaneous wires present a pathway for infection¹ and 41 implanted wires can also limit the ability of the stimulators to move with the tissue. 42 leading to a foreign body response or loss of contact with the target tissue^{2,3}. 43 Additionally, chronic stress and strain on wires, particularly for devices in the 44 periphery, can lead to failure in the wire itself or its connection to the stimulator⁴. In 45 small animals like rats and mice, wires used to power neural stimulators can 46 interfere with natural behavior, particularly when studying social interaction 47 between multiple animals⁵.

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49 One of the primary challenges for wireless neural stimulators is to create efficient 50 miniature devices (< 1 cm in length) that operate reliably beneath bone and tissue 51 as an animal or human patient engages in normal activity. At lengths of less than 1 52 cm, devices could be fully implanted in the periphery and be light enough to allow 53 for unrestricted animal behavior: however for devices this small, power delivery 54 remains a challenge. Efficient power transfer with propagating electromagnetic 55 waves requires antennas with feature sizes comparable to the electromagnetic 56 wavelength. Thus, for sub-millimeter devices, such as the proposed RF powered 57 "neurograins⁶," effective power-transfer frequencies lie in the GHz range, where 58 electromagnetic radiation is absorbed by the body⁷. Absorption of this radio-59 frequency electromagnetic energy limits the amount of power that can be safely 60 delivered to implants deep inside tissue⁷. As a result, researchers typically turn to 61 magnetic induction or batteries to power implanted devices; however, these 62 techniques also limit the degree of miniaturization. Batteries increase the size of the 63 device and add considerable weight. Additionally, batteries require replacement or 64 charging, which can limit the potential uses. Inductively coupled coils, on the other 65 hand, can be made smaller and lighter than batteries, however; the power a receiving coil can generate is directly related to the amount of flux captured by the 66 67 area of the coils. Thus, when the receiver coils are miniaturized, the output power 68 reduces and becomes more sensitive to perturbations in the distance or angle 69 between the transmitter and receiver⁸. For example, Freeman et al. demonstrated 70 that small inductive coils less than 1 mm in diameter can power stimulators for the 71 sciatic nerve in anesthetized rats⁹; however, in its present form, this device would 72 have difficulty providing stable stimulation in freely moving animals due to the 73 reduced power coupling efficiency that accompanies changes in the angle and 74 distance between the receiver and transmitter coils.

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76 Additionally, for neural stimulators to treat a number of neurological disorders like 77 Parkinson's Disease (PD), obsessive-compulsive disorder, and epilepsy, they must 78 operate safely and effectively in the high-frequency "therapeutic band" between 100 79 and 200 Hz¹⁰⁻¹². This type of high-frequency neural stimulation is challenging 80 because charge on the electrode must be dissipated between successive stimulation 81 pulses to prevent electrolysis, tissue damage, and changes to the local pH¹³. Charge 82 dissipation at high-frequencies is accomplished by using a biphasic stimulus 83 waveform that actively or passively charges and discharges the electrode with each 84 cycle. Indeed all clinically approved electrical neural stimulation therapies in this therapeutic band use various forms of "charge balanced" biphasic stimulation 85 waveforms¹⁴. 86

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88 Recently, several promising alternatives to magnetic induction and batteries have

89 enabled miniature neural stimulators; however, these approaches have yet to

90 demonstrate *in vivo* operation in the therapeutic high-frequency band in freely

91 moving animals. Montgomery et al. and Ho et al. have shown that one can use the

92 mouse body as an electromagnetic resonant cavity to effectively transfer energy to

93 sub-wavelength scale devices implanted inside the animal^{15,16}. This approach is

94 particularly effective to drive tiny LEDs for optogenetic stimulation. However, 95 because the electrical waveform is monophasic, electrical stimulation has been limited to < 20 Hz. Using superparamagnetic nanoparticles to absorb energy from 96 97 high-frequency (500 kHz) magnetic fields¹⁷, one can heat specific regions of the 98 brain ^{18,19} in freely moving animals¹⁹. This local heat can stimulate neural activity 99 when the targeted brain region is genetically modified to respond to changes in 100 temperature^{18,19}. However this approach requires transgenesis, which adds 101 regulatory complexity and has yet to show high-frequency operation due the 102 requirement for the tissue to cool between stimulation intervals. Ultrasound 103 provides a promising and efficient method to power bioelectronic implants because 104 ultrasound wavelengths are 10⁵ times smaller than electromagnetic waves at the 105 same frequency allowing sub-millimeter-sized devices to have wavelength-scale 106 piezoelectric antennas ^{20,21}. However, implementation of these "neural dust" motes 107 can be challenging in freely moving animals because the impedance mismatch 108 between air, bone, and tissue requires contact between soft tissue and the 109 ultrasound transducer for efficient power transfer. As a result, there has yet to be a 110 demonstration of ultrasound-powered neural stimulators in freely moving 111 animals²².

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113 Here we show that magnetoelectric (ME) materials enable the first magnetically 114 powered miniature neural stimulators that operate in the therapeutic high-115 frequency band. Similar to inductive coils, these materials transform a magnetic 116 field to an electric field, but instead of using an implanted coil we use a material that 117 generates a voltage via mechanical coupling between magnetostrictive and 118 piezoelectric layers in a thin film. Namely, the magnetic field generates strain in the 119 magnetostrictive layer as the magnetic dipoles align with the applied field. That 120 strain exerts a force on the piezoelectric layer, which generates a voltage (Fig. 1). By 121 exploiting this transduction mechanism, magnetoelectrics do not suffer from the 122 same miniaturization constraints as coils and can be driven by weak magnetic fields 123 on the order of a few millitesla. These properties have led researchers to propose 124 magnetoelectrics as a promising material for bioelectronic implants^{23–27}. Here we 125 demonstrate the first proof-of-principle wireless neural stimulators based on ME 126 materials in a freely behaving rodent model for Parkinson's Disease (PD), and that 127 these materials could power miniature devices deep within the human brain.

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129 **Fabrication and characterization of ME stimulators**

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131 We fabricated proof-of-principle ME stimulators by bonding a rectangular

132 magnetostrictive layer (Metglas) to a platinum coated piezoelectric layer,

133 polyvinlydine fluoride (PVDF). We then encapsulated the films in a protective

134 parylene-C layer (8-10 μm thick) (Fig. 1a, see Methods). We used PVDF layers

135 between 28 and 110 μ m, which yielded total device thicknesses between 50-150

136 μm. When we measured the voltage across the film, we found a dramatic voltage

137 increase when the applied magnetic field frequency, matches an acoustic resonant

138 frequency (Fig. 1b). Because the resonant frequency is proportional to the inverse of

the film length, we can design multiple films and selectively activate them by

140 changing the stimulus frequency (Fig. S2b). Using this principle, we can use different

141 magnetic field frequencies to activate separate devices that may be in different areas

142 of the body, or create biphasic stimulators by interleaved resonant stimulation of

143 two different films, with each film driving either the positive or negative phase of

the neural stimulus.



Figure 1 | ME films convert alternating magnetic fields into a voltage. (a) Diagram of a ME device on a freely moving rat for wireless neural stimulation. The active ME element consists of piezoelectric PVDF (blue) and Metglas (gray) laminate encapsulated by Parylene-C. Inset shows the operating principle whereby the strain produced when magnetizing the grav magnetostrictive layer is transferred to the blue piezoelectric layer, which creates a voltage across the film. (b) Example of a resonant response curve for a ME film showing that the maximum voltage is produced when the magnetic field frequency matches an acoustic resonance at 171 kHz. Photograph inset shows an example of an assembled ME stimulator. The "Stress profile" inset shows a top view of the stress produced in a ME film as calculated by a finite element simulation on and off resonance (COMSOL). (c) Device testing setup with a permanent magnet to apply a bias field and an electromagnetic coil to apply an alternating magnetic field (scale bars: upper = 1 cm, lower = 2 mm) (d) Maximum stimulation duration (using a 400 µs/phase pulse repeated at increasing frequencies) for a ME device in biphasic and monophasic operation. Maximum stimulation time is determined by time of electrolysis on a stereotrode in saline as evidenced by gas bubbles (error bars +/- 1 standard deviation for n=4 trials). Dashed lines indicate frequencies of electrical stimulation used in various clinical applications, showing that biphasic operation is necessary for many clinically relevant applications. Roman numerals indicate stimulation frequencies demonstrated by previously published miniature magnetic stimulators (i: Magnetothermal, Chen et. al, 2015, ii: Magnetothermal, Munshi et. al, 2017, iii: Mid-Field Optogenetics, Montgomery et. al, 2015, iv: RF Inductive Coupling, Freeman et. al, 2017).

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146 We can further enhance the voltage generated by the ME films by applying a constant bias field with a permanent magnet or an electromagnet (Fig. 1c). Because 147 148 the strain in the magnetostrictive material is a sigmoidal function of the magnetic 149 field strength, the change in voltage produced by the alternating field is largest 150 when the field oscillates about the midpoint of the sigmoid (Fig. S1)^{28,29}. Thus, we 151 use a bias field to offset the magnetic field near the center of the sigmoidal 152 magnetostrictive response curve. This bias field allows us to generate therapeutic 153 voltage levels while applying a small (few mT) alternating magnetic field using an 154 electromagnetic coil and custom control circuitry that specifies the frequency and 155 timing of the alternating magnetic field (Fig. S3).

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157 To identify the operational frequencies for our ME stimulators we tested them in 158 saline and found that with a biphasic stimulation waveform we could apply constant 159 stimulation up to at least 800 Hz without significant hydrolysis. For this test we 160 operated either one film for monophasic stimulation or two films for biphasic 161 stimulation attached to a stereotrode (Microprobes) in saline (see Methods). We 162 then measured the time at which we could see bubbles at the electrode tip resulting 163 from hydrolysis. This hydrolysis event indicates conditions that would lesion the 164 surrounding tissue. We found that with a monophasic stimulation waveform 165 stimulation frequencies above 50 Hz produced hydrolysis while biphasic chargebalanced stimulation showed no hydrolysis up to the maximum tested frequency of 166 167 800 Hz. Compared to previously demonstrated miniature magnetic neural stimulators, the biphasic ME devices shown here are the first to access the high-168 169 frequency bands used for clinical applications like the treatment of Parkinson's 170 disease and obsessive-compulsive disorder (Fig 1d).

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An additional challenge for any wirelessly powered neural stimulator is to maintain
a well-regulated stimulation voltage. This challenge is especially prevalent as
devices become small, which often reduces the power transfer efficiency resulting in
a greater sensitivity to the alignment between the device and power transmitter. ME
materials offer two main advantages that can enable stable and effective stimulation
even as devices become small and move with respect to the driver coils:

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179 First, ME devices generate voltages well in excess of the effective stimulation 180 potential, allowing them to be effective even if the materials are misaligned with the 181 driver coils. At resonance, we have measured ME voltages in excess of 30 V at a field 182 strength of only 1 mT (Fig. S2c, d). Because effective stimulation voltages are usually 183 between 1-5 V, we can cap the applied voltage to this effective stimulation range 184 using an LED or Zener diode. As long as the voltage generated by the ME film is 185 greater than or equal to the capping voltage, we will apply approximately the same 186 stimulus voltage regardless of the angle or distance between the driver coil and the 187 ME film. For a typical film we found that we could reorient the film by +/-80188 degrees and maintain voltages in excess of 3 V (Fig. S1h). This large angular 189 tolerance is aided by the large magnetic permeability of the Metglas layer, which

helps to direct the magnetic field lines along the long axis of the film, where they aremost effective at creating a magnetostrictive response.

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193 Second, the voltage generated by a piezoelectric material depends on the thickness 194 of the piezoelectric layer and not the area of the film³⁰, allowing us to fabricate small 195 magnetoelectric films that generate roughly the same stimulation voltage as larger 196 devices. Figure S2 shows the peak voltage generated and quality factor for ME films 197 of different areas. We found that, for a 52 µm thick PVDF layer, the voltage remains 198 around 10 V even as the film length decreases. Variations of +/-40 % in peak 199 voltage and quality factors are likely due to defects produced during film 200 fabrication, which may be reduced with improved manufacturing. We also verified 201 that the output voltage depends only on the piezoelectric film thickness by 202 measuring the peak voltages from ME devices with three different thicknesses of 203 PVDF: 28 μ m, 52 μ m, and 110 μ m. As expected, we see that the peak voltage 204 increases linearly with the PVDF thickness and is independent of the film length. We 205 calculated (and experimentally confirmed) that the power generated by a ME device 206 is proportional to the film width for a given thickness and a length-to-width ratio >3 207 (see Fig. S2f). Despite the decrease in power as films become smaller, we calculate 208 that films less than 1 cm long can generate up to 4 mW, which is more than 209 sufficient for many wireless applications including neural stimulation³¹.

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1 Monophasic stimulation by ME films evoke action potentials *in vitro*

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Using fluorescence microscopy to image voltage in cultured cells, we found that 213 214 monophasic stimulation for 50 ms at 100 Hz by ME films reliably stimulated action 215 potentials (APs). For these experiments we used "spiking" human embryonic kidney 216 (HEK) cell lines that were modified to express sodium and potassium channels. 217 These cells have spike-like electrical waveforms that are rectangular in shape and 218 can last for a few seconds depending on the confluency of the culture³². To 219 determine the relative timing between magnetic stimulation and action potential 220 generation, we transfected these cells with ArcLight³³-a genetically encoded voltage 221 indicator that allows us to measure action potentials using fluorescence microscopy. 222



Figure 2 | **Monophasic ME stimulators activate cells in vitro** (a) Schematic of the experimental setup (b) Microscope image of holes stamped into the ME film and finite element simulation of the electric field shows that the holes produce fringing electric fields that overlap the culture cells (c) Voltage across the ME film when the magnetic field is on resonance and (d) off resonance. Insets show a zoom in of the high frequency carrier waveform. (e-g) Fluorescence from spiking HEKs transfected with ArcLight show action potentials are triggered by the ME film driven at resonance (e), but not when the film is driven off resonance (f). Fluorescence from HEK cells transfected with GFP show no response when the ME film is driven on resonance confirming that the measured ArcLight response is the result of a change in transmembrane potential and not an artifact of the magnetic field or acoustic resonance of the ME film.

- 223 To image fluorescence while we applied magnetic fields, we developed an
- 224 experimental setup that allows us to place cells and ME films beneath an objective
- lens at the center of a 10 cm long solenoid with a 3 cm gap in the center. This
- 226 configuration allowed us to place ME films, cells, and the objective lens at the center
- of the applied magnetic field (Fig. 2a). Two slightly larger coils placed on either side
- of the gap provide the constant bias field.
- 229

230 We then approximated an implanted ME stimulator using two experimental

configurations: 1) growing cells directly on the ME film (Fig. S4) and 2) laying a

coverslip with adherent cells on top of the ME film (Fig. 2). To culture cells directly

- 233 on the ME film, we coated the top parylene layer with poly-l-lysine. The healthy
- 234 proliferation of HEKs on the ME device indicates that this encapsulation approach
- prevents the ME materials from limiting cell growth (Fig. S4b). However, in a typical
- use case, the target cells may not adhere to the ME stimulator, so we also tested the
- response of cells laid on top of the ME materials. In this configuration we first grew
- the cells on coverslips for 3-5 days before inverting the coverslips and laying them
- on the ME for testing (Fig. 2, see Methods).

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241 To create fringing electric fields that interact with the cultured cells, we stamped 242 holes in the ME film (Fig. 2b). The films were otherwise fabricated as described 243 above (Fig. 1, Methods). In experiments using ME films and Pt electrodes we found 244 that high-frequency biphasic stimulation at the ME resonance frequency (typically 245 20-150 kHz) was not effective to stimulate APs in cultured HEKs, as predicted by the 246 low-pass filtering properties of the cell membrane⁹. To create an effective 247 monophasic stimulus waveform, we used a Schottky diode to rectify the voltage to 248 create entirely positive or negative voltage waveforms depending on the diode 249 direction. This rectified waveform has a slowly varying monophasic envelope in the

- 250 <500 Hz frequency band where cells are responsive (Fig. 2c,d).
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252 For both cells grown directly on the ME films and those placed in contact we found 253 that five stimulation pulses with an envelope frequency of 100 Hz consistently 254 stimulated APs in the spiking HEK cells (Fig. 2e, S4c, Supplementary Video 1). 255 Critically, this 10-500 Hz stimulation frequency is spans the therapeutic window for 256 many deep brain stimulation treatments³⁴, and difficult to achieve with other 257 wireless stimulators that compensate for low-efficacy energy harvesting by charging 258 on-board capacitors³⁵. For our experiments, the carrier frequency of the magnetic 259 field was at the resonant frequency of the device, which varied between 20-40 KHz 260 depending on device length. To test stimulation reliability, we repeated the 5-pulse 261 stimulus three times over a period of 30 seconds. We observed APs for each 262 stimulation pulse in n = 43 cells on coverslips and n = 144 cells grown on films. We 263 confirmed that the APs stimulated by the ME film were in fact the result of resonant 264 excitation of the film and not an artifact of the applied magnetic fields by imaging 265 voltage-sensitive fluorescence when the magnetic field was tuned off of the resonant

frequency. For non-resonant excitation we observed no correlation between the
applied field and fluorescently detected APs in the spiking HEKs (Fig. 2f, S4d),
supporting the conclusion that APs were stimulated by the ME film at resonance. We

also confirmed that the fluorescent signal recorded indeed represents the voltagedependent ArcLight response by imaging cells transfected with voltage-independent
cytoplasmic GFP. These cells showed no change in fluorescence when the films were
driven at the resonant frequency (Fig. 2g).

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274 Biphasic stimulation by ME films evoke action potentials in brain slices

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276 As described above, biphasic stimulation is preferred for most applications due to the desire to create a charge-balanced stimulus that reduces charge buildup and 277 278 undesired electrochemical reactions at the electrode surface¹³. While the voltage 279 waveform produced by ME films at resonance is biphasic, these resonant 280 frequencies (typically 20 – 150 kHz) are too high to produce reliable cell 281 stimulation, as described above. To create an effective biphasic stimulus in the 282 therapeutic window (100 – 200 Hz), we use two films with distinct resonant frequencies connected to the same stimulating electrodes (Fig. 3a). The first film is 283 284 attached to a full wave rectifier, which is oriented to generate a positive pulse, while 285 the second film is attached to a full wave rectifier that generates a negative pulse.

The transistors block currents generated by one film from propagating through the circuitry attached to the other film, ensuring that only one half of the circuit is active at a time. By switching the magnetic field frequency between the two ME resonance frequencies, we can alternate positive and negative phase stimulation to create a biphasic neural stimulator (Fig. 3b-d). In this case the residual charge of -2.3 nC, which discharges in <2 ms, implies that this stimulator can safely operate at

- 292 frequencies up to >500 Hz without accumulating charge.
- 293

294 We found that our biphasic ME stimulator is capable of repeatable neural

stimulation using neocortical brain slices derived from mice that express the

296 genetically encoded calcium indicator GCaMP3 in GABAergic neurons. To image

297 neural activity following ME stimulation we inserted a stereotrode attached

biphasic ME stimulator described above while we imaged GCaMP activity using
 fluorescence microscopy (Fig. 3e-g, Methods). We chose neural stimulation

fluorescence microscopy (Fig. 3e-g, Methods). We chose neural stimulation parameters similar to those commonly used for deep brain stimulation³⁴: 100

301 biphasic pulses at 200 Hz with each phase lasting 175µs. When the magnetic field

302 was on we observed a corresponding increase in fluorescence in n=23 recordings in

303 neocortical layer 5 consistent with activity-mediated calcium increases

304 (Supplementary Video 2). Following bath application of tetrodotoxin (TTX, 500

305 nM) fluorescence increases were completely blocked in n=9 recordings confirming

that ME stimulation reliably evoked sodium-channel dependent action potentials innearby neurons.

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Figure 3 | **Biphasic ME stimulators activate neurons in ex vivo brain slices** (a) Schematic of experimental setup with two ME films for biphasic stimulation (b) Measured voltage waveform produced by our magnetic field generator. When coupled to the magnetic coils, this waveform produces magnetic fields that alternate between the resonant frequencies of the two ME films (c) Measured voltage across the stereotrode shows the expected biphasic pulse shape (d) Calculated current based on measuring the voltage across our load resistor (V_R) shows nearly perfect charge balancing with only 2.3 nC accumulating on the electrode per pulse train. (e) Bright field image of stereotrode in mouse cortex (scale bar = 1 mm) with inset of GCaMP signal averaged over a 600 μ m x 600 μ m region around stereotrode tip. Arrow indicating a fluorescing cell body near the stereotrode (f) Average GCaMP signal when resonant magnetic field is applied before (f) and after (g) adding TTX shows neural activity is induced by the ME stimulator. Thin green traces represent separate experiments from two different brain slices, and thick black traces represent the mean of all experiments.

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311 ME Neural Stimulation in Freely Moving Rats Shows Behavioral Efficacy

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313 A major advantage of our ME stimulators is the fact that remote activation enables 314 experiments with freely behaving animals. As a proof-of-principle we adapted our 315 biphasic stimulator for deep brain stimulation (DBS) in freely moving rats (Fig. 4). 316 To test ME stimulator efficacy, we used a previously reported protocol to test DBS in hemi-parkinsonian rats³⁶. In these experiments rats are injected with 6-OHDA in the 317 318 left medial forebrain bundle (MFB) to create a unilateral lesion of the substantia 319 nigra pars compacta (SNc). The animals are then placed in a 30 cm diameter circular 320 enclosure. Following a dose of methamphetamine, the hemi-parkinsonian rats have 321 been shown to rotate ipsilateral to the injection (e.g. left for injection into the left 322 MFB). During these rotations, the rat primarily moves using its contralateral (right) 323 forepaw, rarely placing the ipsilateral (left) forepaw onto the ground. When a 324 biphasic stimulus is applied at 200 Hz in the sub-thalamic nucleus (STN) using a 325 tethered electrode array stimulator, rats typically stop turning to the left and exhibit more normal behavior such as moving with both forepaws, maintaining a steady 326 327 orientation, or turning to the contralateral side³⁴.

328

To create a wireless, biphasic ME stimulator for freely moving animals we added a small permanent magnet to the ME stimulator to generate a bias field, and wrapped the behavioral chamber with 18 AWG copper wire to create a solenoid (Fig. 4a, S5). By integrating the small permanent magnet (< 0.25g) into the ME stimulator, we

332 By integrating the small permanent magnet (< 0.25g) into the ME stimulator, we 333 could ensure that the bias field was constantly aligned with ME films as the animal

moved within the enclosure. We could also ensure that the positive and negative

stimuli had equal amplitudes by independently adjusting the distance between each

film and the permanent magnet. This ME stimulator was then connected to a
 commercial electrode array (Microprobes) implanted in the STN (Fig 4b, see

338 Methods). We ensured that the stimulation voltage and current were within the safe

and therapeutic range by measuring the output of the ME stimulator connected to

an equivalent circuit model of the brain (Fig. 4c, see methods). Specifically, we

341 observed peak voltages of approximately +/-1.5 V and peak currents of

approximately +/- 100 μA for 400 us at approximately a 50% duty cycle (200 μs of

343 overall current per phase), which is within the effective stimulation range reported

344 for conventional wired stimulators³⁶. When we tune the magnetic field frequency off

resonance we observe almost no generated voltage or current (Fig. 4c).



Figure 4 | **Effective DBS in a freely moving rat using a wireless ME stimulator** (a) Experimental setup showing rat in a circular enclosure wrapped with magnet wire. Inset shows a biphasic ME stimulator on a one cent coin (b) Schematic of the biphasic ME stimulator attached to the electrode array that is implanted into the STN (c) Measured voltage generated by the ME device and the current applied to the brain on resonance (green) and off resonance (blue). Approximately 100 μ A biphasic stimulation is applied only when then the magnetic field frequency matches the resonance condition. (d) Angular velocity of the hemi-Parkinsonian rat over a 40 minute DBS trial with intervals of resonant and non-resonant stimulation shows that rotations are reduced only when the stimulator is activated by a resonant magnetic field (e) Typical trajectories show the location of the animal's head over two 30-second intervals denoted in c (scale bar = 5cm) (f) Average angular velocity of the rat during the 30 seconds before stimulation and the first 30 seconds of stimulation for each interval during the 40-min experiment shows a clear reduction in angular velocity only when the ME film is activated on resonance (*** P = $4x10^{-7}$, n.s.=not significant P=0.70, paired t-test)

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- 347 We then tested the wireless version of our biphasic ME stimulator mounted to the
- 348 head of a freely behaving rat and found that ME stimulation showed efficacy
- 349 comparable to previously reported wired DBS stimulators (Fig. 4). With a magnetic
- 350 field applied at resonance, we found that one-minute periods of 200 Hz biphasic
- 351 pulses resulted in a significant decrease in the animal's rotation rate (Fig. 4d green
- 352 intervals). This decreased rotation was not observed when the magnetic stimulus
- 353 frequency was tuned off resonance (Fig. 4d blue intervals). Plots of the head

trajectories show that the pathological rotations observed during off-resonant magnetic field stimulation are not present when the ME stimulator is active during resonant magnetic field stimulation (Fig. 4e, Methods). When averaged over all trials, average rotation rate during the first half of stimulation fell to a statistically significant 1.6 rotations per minute (rpm), compared to 9.3 rpm in the absence of stimulation, or 9.4 rpm during off-resonant stimulation (paired t-test, Fig. 4f). We further demonstrated the repeatability of this stimulator by repeating this

- 361 stimulation protocol on a second rat and found similar results (Fig S5b).
- 362

363 With a weight of 0.67 g, the ME stimulators described here are the first reported 364 miniature, magnetic, high frequency stimulator. Furthermore, by changing the 365 frequency and timing of the external drive coils, we can generate a variety of 366 stimulation patterns throughout the therapeutic window of 100-200 Hz with 367 applications to other disease models. Additionally, calculations of the magnetic field 368 strengths suggest that we can reconfigure the drive coils for a number of behavioral 369 experiments by placing coils beneath the floor of an animal enclosure. Finite 370 element simulations and measurements show that even at distance 4-5 cm above a 371 drive coil, ME films generate sufficient voltage for stimulation (Fig. S6). This 372 distance could be further improved by optimizing the geometry of the coils or 373 increasing the power of the magnetic field.

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375 Demonstration of multichannel deep brain stimulation in skull phantom using 376 rice-sized ME films

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378 In addition to supporting experiments in freely moving rodents, ME materials could 379 enable miniaturized wireless stimulators that operate deep in the brain of large 380 animals or human patients and are individually activated with an external 381 electromagnet. To our knowledge, this is the first technology that enables 382 independent external wireless control of multiple miniature stimulators deep 383 beneath a human skull phantom. Figure 5a shows the predicted depth that various 384 miniature antennas could be safely implanted under the skull and generate 1 mW of 385 power, which is in the approximate maximum power required for high-frequency 386 continuous neural stimulation³¹. As mentioned above, radio-frequency (RF) 387 powered antennas that operate at frequencies above ~ 1 MHz have limitations in the 388 amount of power that can safely be delivered to an implanted device without 389 causing potentially harmful tissue heating. Simulations show that when operating 390 with the safe power limits, RF-antennas must be placed on the surface of the brain 391 or in very shallow regions to harvest 1 mW of power. "Mid-field" techniques³⁷, 392 improve the RF coupling efficiency enabling deep operation, but because this 393 approach operates at a fixed frequency there have yet to be demonstrations of 394 individually addressable motes or biphasic stimulation. Other techniques for 395 wireless power delivery discussed previously, such magnetic induction, also cannot 396 achieve deep multichannel stimulation. For example, even using a higher operating 397 frequency of 1 MHz an inductive coil with the same orientation and cross-sectional 398 area as the ME films shown here would require a minimum of 500 turns of wire to 399 generate 2 V using the same 0.5 mT field used here (assuming a typical O-factor of



Figure 5 | Miniaturized Multichannel Stimulation in Human Skull Phantom (a) Comparison of effective depth beneath a human skull phantom for ME devices compared to other miniature wireless stimulators. Depth limit is based on safety limits to generate 1 mW. (i: Park et. al, *Proc Nat Ac Sci*, 2016, ii:Yazdandoost et. al, *Asia Pac Microw Conf*, 2009, iii: Yazdandoost et. al, *Proc 37 Europ Microw Conf*, 2007, iv: Agrawal et. al, *Nat Biomed Eng*, 2017) (b) Photos of ME films next to a grain of rice and the corresponding voltage as a function of magnetic field frequency (field strength 1 mT, scale bars 2 mm) (c) Schematic of showing potential application of fully implanted ME films with the magnetic field generated by an external coil that can be incorporated into a hat or visor (d) Front view and (e) top view of skull phantom with the top removed to view LEDs (film locations indicated by arrows, scale bar 1 cm) (f) Photo of LEDs attached to ME films with the magnetic fields at applied at180 kHz and (g) 200 kHz. Selective illumination of the LEDs corresponding the resonant frequencies of the films demonstrates successful multichannel activation of individual films (scale bars 1 cm). Magnetic field strength was measured to be 0.5 mT at the location of the ME films.

- 400 10). Thus, devices based on magnetic inductors cannot be miniaturized without
- 401 sacrificing available power as described previously⁹.
- 402

As a proof-of-concept demonstration we show that two rice-sized ME films can be
individually addressed at the center of a human skull phantom using an external
electromagnet. These two-films with lengths of 8 mm and 10 mm have acoustic
resonant frequencies of 180 and 200 kHz, which are determined by the film length.
When these films are attached to an orange LED, their output voltage is capped at

- 408 approximately 1.8 V, which helps to regulate the stimulation voltage and allows us
- 409 to visualize film activation. ME films of this size are smaller than current DBS leads
- 410 and could potentially be implanted into deep brain areas as shown in Fig 5c.
- 411 Additionally, the magnetic stimulation coil is small enough to be incorporated into a
- 412 stylish hat or visor that could be worn comfortably by a patient. When we placed

413 the two ME films at the center of a skull phantom we found that we could

414 individually illuminate the LEDs on each film when we applied a magnetic field at

415 the resonant frequency of the selected film (Fig 5d-g). For this experiment we used a

416 400 W power supply, which produced a field of approximately 0.5 mT at the center

417 of the skull phantom. The top of the skull phantom was removed for visualization,

418 but had no affect on our ability to drive the LED indicators. The number of

- stimulation channels could be increased with the addition of ME films with different
- 420 resonant frequencies.
- 421

422 **Outlook**

423

To our knowledge, this is the first demonstration of a miniature, magnetic neural
stimulator that 1) operates in the therapeutic band (100-200 Hz) in freely moving
animals and 2) enables individually addressable miniature stimulators deep within
a human skull phantom; however, the advantages of ME materials extend beyond
these proof-of-principle demonstrations.

429

430 ME stimulators such as the one described in the in vivo rat experiment could have

an immediate impact on the study of DBS therapies using rodent disease models.

432 Because the ME stimulator is compatible with commercial implanted electrodes, and

the magnetic stimulators can be adapted to a number of standard behavioral

434 experiments or animal enclosures, our ME stimulators could readily replace the

435 wired DBS stimulators currently in use. As a result, new experiments can be

436 developed to probe the effects of chronic and continuous DBS or DBS in social

- 437 contexts where wired DBS stimulators would be impracticable.
- 438

Additionally, ME materials have the potential to enable miniature neural stimulators
that can be implanted deep in the brain of large animals or humans and addressed
externally with a small electromagnet. As shown here, rice-sized films can be
selectively activated based on unique resonant frequencies. Additional

443 miniaturization is not expected to reduce the voltage produced by these films since

- the voltage depends on the thickness of the piezoelectric field and not the film
- length (Fig. S2 c), suggesting that even smaller films could serve as effective
 stimulators.
- 447

448 We also foresee applications for ME materials as a wireless power technology for

449 more complex implantable bioelectronic devices. For example, the demonstrated
450 ability of ME films to power LEDs implies that ME materials could power

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 implantable optogenetic stimulators, or small integrated circuits for physiological

- 451 implantable optogenetic stimulators, or small integrated circuits for physiol 452 monitoring.
- 453

454 To realize these fully implantable bioelectronic devices, work is needed to improve

455 ME materials and fabrication processes to reliably produce high-quality miniature

456 ME films, and encapsulate them for chronic use. For wearable technologies, it is also

457 necessary to further miniaturize magnetic field generators so that they can be

- 458 battery powered and comfortably worn. These advances must also be accompanied
- 459 by in vivo testing to show safety and efficiency for chronic use.
- 460
- 461 Overall, ME materials have the potential to fill a key need for wireless power
- 462 delivery to miniature biphasic neural stimulators and other bioelectronic devices
- 463 where the major challenge is transferring energy over distances of several
- 464 centimeters without heating the tissue or suffering loss at interfaces between tissue, bone, and air.
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587 Methods

588

589 **General Statistical Methods**

590 Error bars in Figure S2fgdenote +/- one standard deviation for n = -50 data points.

591 We furthermore performed a Tukey's Honest Significant Difference test on the data 592 in Figure S2g, which indicated that the voltage produced at each different PVDF

- 593
- thickness is significantly different. Paired t-tests were used for the rotation tests in 594 figure 4e.
- 595

596 **Film Fabrication**

597 To fabricate ME films, we used Metglas SA1 alloy (Metglas Inc) for the

598 magnetostrictive layer and polyvinylidenefluoride "PVDF" (precision acoustics) for

- 599 the piezoelectric layer. The PVDF films used for these experiments were pre-
- 600 stretched and poled by the manufacturer. The two layers were bonded together

601 using an epoxy capable of transferring the mechanical stress between the two layers 602

- (Hardman double bubble red epoxy). Prior to bonding the two layers together, we 603 sputtered a thin layer of platinum (<100 nm) as a top electrode on the PVDF. Both
- 604 the Metglas and PVDF were plasma cleaned using O_2 plasma for five minutes before
- 605 epoxying. After the epoxy set, the films were cut into the desired rectangular shape
- 606 using scissors, taking care to cut the long axis of the film along the stretching
- 607 direction of the PVDF. We then attached wires using conductive epoxy to either side
- 608 of the films in order to measure the electrical capabilities of the film. We found that
- 609 attaching wires in the center dramatically increased the resonant voltage. However 610 for convenience, the wires were attached near the ends of the films during the in
- 611 vitro experiments. In many cases we also attached additional electronic components
- 612 such as diodes or LEDs to the wires attached to the films as noted in the appropriate
- 613 sections in the main text. Finally the devices were coated in 5-10 µm of parylene-C
- 614 (Labcoater 2). Initially this coating was used to electrically insulate and protect the
- 615 devices during in vitro experiments, but we also found that the encapsulation
- 616 increases the resonant voltage, which could be due to increased mechanical
- 617 coupling from the encapsulation.
- 618
- 619 Bench Top Electrolysis Tests
- 620 The stimulator shown in Fig 4a was wired to a stereotrode immersed in saline
- 621 under a microscope in order to observe the formation of bubbles from electrolysis
- 622 at the tips. During monophasic stimulation we used only one resonant frequency
- 623 and during biphasic stimulation we used two frequencies as demonstrated above. In
- 624 each case the pulse time was a 400 µs/phase. We determined the limit of
- 625 stimulation time as when the first bubble began to appear at the tips of the electrode and repeated each data point 4 times.
- 626 627
- 628 Magnetic Field Generation (Fig. S3)
- 629 Each magnetic field generator consists of two major components, 1) Magnetic coils
- used for the alternating magnetic field (described in the main text and optimized for 630
- 631 each experiment) and 2) Electronic drivers to control voltage and timing of the
- 632 alternating current in the coils (the same for all experiments).

633

634 To maintain simplicity, efficiency, and low cost the coils were driven with full H-635 Bridge style switching circuits. The drivers are designed to deliver high currents to the drive coils in the form of bi-phasic pulse trains. This reduces the cost and 636 637 complexity of the driver itself, as well as the power supply and control circuitry 638 when compared to arbitrary function generators. The design also has potential for 639 improved operational efficiency through impedance matching with the drive coils. 640 Furthermore, it is also possible to regulate power delivered to the drive coils on the 641 fly by adjusting the duty cycle of the current pulses, allowing power being delivered 642 to the ME film to be easily controlled digitally while maintaining the resonant 643 carrier frequency. The output carrier and pulse frequencies of the magnetic field are 644 generated using a TeensyLC board and custom Arduino code to generate the specific 645 pulse timings to deliver controlled ME stimulation (Fig. S3c,d).

646

647 These coils and drivers can be combined in different ways to generate the

648 appropriate field for a given experiment. For example, the setup used to generate

649 the alternating field in the in vivo rotation experiments consisted of four sets of coils

each with five turns powered by one driver with all four drivers synced to the same

output signal. In this way we can generate sufficient power to generate a mT-scale

- magnetic fields over the whole behavioral area (Fig. S5a).
- 653
- 654 <u>Cell Culture</u>

655 For experiments performed on coverslips, HEK cells expressing sodium channel 656 Na₁₃ and potassium channel K_{21} were grown on 12 mm poly-l-lysine coated 657 coverslips to approximately 30% confluency. The cells were then transfected with the genetically encoded voltage indicator ArcLight using Lipofectamine (Invitrogen) 658 659 following manufacturer's recommendations. Two to three days after transfection 660 the coverslips were inverted onto ME films for testing. Preparation of GFP controls 661 followed the same procedure with the exception of replacing the ArcLight vector 662 (AddGene) with a GFP expression vector (AddGene). For experiments performed 663 with cells grown on the films. HEK cells transfected with ArcLight were placed onto

- 664 parylene coated poly-l-lysine treated films. The films were placed in cellular media 665 overnight and tested the following day.
- 666

ArcLight and GFP were excited at 460 nm with an LED light source. Fluorescence
images were collected at 33 fps using a CCD camera. Images were analyzed using
Matlab to quantify fluorescence changes in individual cells. In vitro testing was
performed in extracellular buffer (ECB, in mM: NaCl 119, KCl 5, Hepes 10, CaCl₂ 2,
MgCl₂ 1; pH 7.2; 320mOsm)

672

Figure S4b was obtained by growing unmodified HEK cells on a film submerged in

674 cellular media for five days. The cells were then stained with Hoechst and Calcein-

- AM to label the nucleus and membrane respectively in living cells. The cells were
- 676 then fixed and imaged using a confocal microscope.
- 677
- 678

679 Mouse Brain Slice Procedures

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680	We used 40 day old GAD2-GCaMP3 mice, generated by crossing GAD2-Cre (JAX #
681	10802) with flox-GCaMP3 (JAX # 14538) animals. Preparation of brain slices
682	followed procedures described by Ting et al. ⁴⁵ and was carried out in accordance
683	with National Institutes of Health guidelines and approved by the UTHealth animal
684	welfare committee. Mice were deeply anesthetized with Isoflurane and perfused
685	with ice cold NMDG-based solution consisting of (in mM): 92 NMDG, 2.5 KCl, 1.25
686	NaH ₂ PO ₄ , 10 MgSO ₄ , 0.5 CaCl ₂ , 30 NaHCO ₃ , 20 glucose, 20 HEPES, 2 thiouera, 5 Na-
687	Ascorbate, 3 Na-pyruvate, saturated with 95% O_2 and 5% CO_2 ., at a rate of ~6
688	ml/min. Coronal brain slices (300 μm) were cut using a vibratome (Leica VT1200S),
689	incubated for 15 min at 35 °C in NMDG solution, and then transferred to a chamber
690	held at room temperature containing (in mM): 92 NaCl, 2.5 KCl, 1.25 NaH ₂ PO ₄ , 2
691	MgSO ₄ , 2 CaCl ₂ , 30 NaHCO ₃ , 25 glucose, 20 HEPES, 2 thiouera, 5 Na-Ascorbate, 3 Na-
692	pyruvate, saturated with 95% O ₂ and 5% CO ₂ . For experiments, slices were placed
693	into a recording chamber perfused with ACSF containing (in mM): 126 NaCl, 2.5 KCl,
694	1.25 NaH ₂ PO ₄ , 2 MgCl ₂ , 2 CaCl ₂ , 26 NaHCO ₃ , 10 glucose), held at 32-34 °C using an
695	inline heater. NBQX (10 μ M) was included in the bath solution to block AMPA
696	receptor-mediated synaptic transmission. The stereotrode was placed in layer 5 of
697	somatosensory (barrel) cortex.
698	

GCaMP3 was excited at 460 nm with an LED light source. Fluorescence images were
collected at 9.8 fps using a CCD camera attached to an Olympus BX51WI microscope.
Images were analyzed using Matlab to quantify fluorescence changes in 600 x 600
µm regions around the stereotrode tips.

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- 704

705 Implant Design and Rat Surgical Procedures

706

707 Two male Long-Evans rats ($n \approx 1,400$ g) were anesthetized with isoflurane gas. Five 708 percent isoflurane was used to induce anesthesia and two percent was used to 709 maintain anesthetic depth. Buprenorphine (0.04 mg/kg) was administered 30 710 minutes prior to ear bars for analgesia. 5 - 7 skull screws were placed to anchor the 711 electrode array. Skull screws were bound to skull with Metabond dental acrylic. A 712 craniotomy was made to accommodate the micro electrode array and expose an 713 injection site for neurotoxin. A 30 gauge needle bent at the tip cut and pulled away 714 the dura mater covering of the brain. Desipramine (DMI) reconstituted in saline at a 715 concentration of 15 mg/mL was injected IP to protect noradrenergnic neurons. The dose of DMI was approximately 15 mg/kg and injected approximately 30 minutes 716 717 prior to administration of neurotoxin. To induce a hemiparkinsonian lesion, 8 ug of 718 6-hydroxydopamine (OHDA) at 2 ug/uL in saline was injected at 0.2 uL/min into the 719 mid forebrain bundle (MFB -1.2 ML, -4 AP, and -8.1 DV). STN stimulation was 720 delivered via a 2x2 platinum iridium microelectrode array (Microprobes) with 600 x 721 600 μm spacing of 75 μm electrodes. Each electrode had a nominal 10 kOhm impedance. The electrode array was lowered to -2.6 ML, -3.6 AP, and approximately 722 723 -8.2 DV from bregma. The array was fixed to the skull with dental acrylic. All

experiments were approved by the Institutional Animal Care and Use Committee ofRice University.

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Prior to stimulating each rat with the magnetoelectric stimulator, the stimulator

- power was estimated via a benchtop approximation of the rodent electrode
- 729 impedance. Constant current stimulation of the rodent brain with an A-M Systems
- 730 4100 stimulator produced characteristic voltage waveforms that approximated a
- simplified parallel RC circuit. A 56 kOhm resistor, and 440 pF capacitor in parallel
- closely approximated the impedance characteristics of the rat brain across the
- 733 stimulating electrodes. Using this circuit model, we estimated the field strengths and
- pulse durations necessary to produce the desired stimulation effects and confirm
- that the stimulation was charge balanced prior to rodent experimentation.
- 736
- 737 <u>Rotation Test Experiments</u>
- Prior to performing the rotation tests the rat was briefly anesthetized with 5%
- isoflurane gas and injected intraperitoneally (IP) with methamphetamine (0.31 ml
- 740 1.25 mg/kg) and the wireless biphasic stimulator was plugged into the implanted
- electrode array. After the anesthesia had worn off (about 5-10 min) the rat was
- 742 placed in the cylindrical behavioral chamber. The magnetic field was applied over
- the whole behavioral area to the films on the device (Fig S5a).
- 744

The magnetic field was applied on resonance and off resonance for one minute at
various times during the 40-minute trial. The resonant frequencies were 73 kHz and

- 747 77 kHz and the off resonant frequencies were 63 kHz and 87 kHz.
- 748
- 749 <u>Rodent Tracking</u>
- Head position on the rotation task was generated using a slightly modified version
- of DeepLabCut⁴⁶ to track ears, snout, and implant. A dataset totaling 286 frames
- from both the on and off resonance rotation tasks was hand labeled and trained forapproximately 140,000 iterations.
- 754
- 755 Skull Phantom Demonstration
- At the magnetic field frequencies used for this experiment bone and tissue are effectively transparent⁴⁷, so we selected a life sized skull with the size of an average human adult head as a phantom (Orient Infinity Limited). It was wrapped with 18
- AWG magnet wire as shown in Fig 5. The coil consisted of four coils in parallel each
- wired to an individual magnetic field driver. All drivers were wired to the sameinput frequency signal and powered from the same power supply. The films were
- 761 input frequency signal and powered from the same power supply. The firms were 762 suspended at the center of the skull phantom. Orange LEDs (Chanzon) with a diode
- 763 antiparallel were attached to the films for wireless verification of the voltage
- 764 generated by the films. For visualization purposes the skull top was removed to
- 765 better photograph the LED.
- 766
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770 **References**

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Supplemental Figure 1 | Film output voltage as a function of bias field The peak resonance voltage is significantly increased by a modest bias field that can be produced by a permanent magnet.



Supplemental Figure 2 | **ME properties as a function of film size** (a) Schematic of experimental setup used to gather data. Testing was performed for ME films with three different PVDF thicknesses: 28 (blue), 52 (red), and 110 (yellow) μ m (b) Resonant frequency as a function of film length (c) Output voltage as a function of film length (d) Output voltage as a function of film surface area (e) Q-factor as a function of film length (f) Maximum power output as a function of film width for 52um PVDF thickness (g) Peak resonant voltage plotted vs. PVDF thickness shows that the peak ME voltage increases with the PVDF thickness. Error bars indicate +/- 1 standard deviation for n \approx 50 films for each thickness. (h)ME voltage as a function of angle between the film and the coil. Blue region shows the range of operating angles for which the voltage is greater than the expected stimulation voltage



Supplemental Figure 3 | **Magnetic Field Driver** (a) Schematic of the major components of the magnetic field driver. Dashed line denotes components rendered in (b). (c) Output waveform for monophasic stimulation and the parameters that can be controlled by the drive software (d) Output waveform for biphasic stimulation, and the parameters that can be controlled by the driver software.



Supplemental Figure 4 | ME stimulation of cells grown directly on ME film (a) Schematic of experimental setup (b) Microscope image of fixed cells adherent to the region around a stamped hole (Hoechst/Calcein-AM, cells labeled prior to fixing) (c) ArcLight fluorescence of spiking HEK cells when magnetic field is on resonance and (d) off resonance.



Supplemental Figure 5 | **Magnetic Field for DBS Rotation Experiment** (a) Schematic shows the location and spacing of wires and the number of drivers used to generate the alternating magnetic field overlaying a COMSOL simulation of magnetic field strength in the chamber (b) Results from rotation test in Rat 2: Angular velocity in the 30 seconds before stimulation and the first 30 seconds of stimulation shows a clear reduction in angular velocity only when the ME film is activated on resonance (*** P = 1.5×10^{-8} , n.s.=not significant P=0.27, paired t-test)



Supplemental Figure 6 | ME devices operate several centimeters above a single magnetic coil (a) COMSOL simulation of magnetic field above a circular coil and (b) Measured device output voltage as a function of distance above the coil