# Pointproofs: Aggregating Proofs for Multiple Vector Commitments

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#### Abstract

Vector commitments enable a user to commit to a sequence of values and provably reveal one or many values at specific positions at a later time. In this work, we construct Pointproofs— a new vector commitment scheme that supports non-interactive aggregation of proofs across multiple commitments. Our construction enables any third party to aggregate a collection of proofs with respect to different, independently computed commitments into a single proof represented by an elliptic curve point of 48-bytes. In addition, our scheme is hiding: a commitment and proofs for some values reveal no information about the remaining values.

We build Pointproofs and demonstrate how to apply them to blockchain smart contracts. In our example application, Pointproofs reduce bandwidth overheads for propagating a block of transactions by at least 60% compared to prior state-of-art vector commitments.

Pointproofs are also efficient: on a single-thread, it takes 0.08 seconds to generate a proof for 8 values with respect to one commitment, 0.25 seconds to aggregate 4000 such proofs across multiple commitments into one proof, and 23 seconds (0.7 ms per value proven) to verify the aggregated proof.

## 1 Introduction

Vector commitments [LY10, CF13] allow a committer to commit to a vector of N values and then selectively reveal elements of the committed vector, proving that they correspond to the original commitment. Vector commitments are used to reduce storage costs in a variety of applications, described in more detail below: instead of storing a vector of values, one can store only the commitment and receive the values together with their proofs as needed. Vector commitments allow applications to trade off storage (of all values) for bandwidth (taken up by revealed values and proofs).

To make the tradeoff between storage and bandwidth more attractive, we need to reduce the proof size. Because individual proofs need to be cryptographically hard to forge, their sizes cannot be reduced too far. Instead, the savings come from having a single short proof for multiple revealed values. The shortest such proofs in the literature are provided by the so-called *subvector* commitments of Lai and Malavolta [LM19, Section 5.2]: a proof takes up only 48 bytes (for typical parameter values at conjectured 128-bit security) *regardless* of how many elements of the vector are being revealed.<sup>1</sup>

In many distributed applications, the commitments, values, and proofs come from multiple sources that are not even aware of each other's data. This presents two problems that are not solved by subvector commitments:

<sup>&</sup>lt;sup>1</sup>Observe that the length of 48 bytes is very close to the information-theoretic minimum of 32 bytes necessitated by the birthday bound for 128-bit security.

reference	pp	opening	group	Same-Commitment	Cross-Commitment
				Aggregatable	Aggregatable
LM-CG, Fig 1 [LM19]	O(N)	260 B	class group		
LM-CDH, Fig 2 [LM19]	$O(N^2)$	48  B	bilinear		
BBF [BBF19]	O(1)	1040  B	class group	$\checkmark$	
this work	O(N)	48 B	bilinear	$\checkmark$	$\checkmark$

Table 1: Summary of subvector commitments with constant-size commitments and openings to subvectors at 128-bit security level.

- there is no single entity that can produce a single proof for all the values;
- proofs need to be with respect to multiple different commitments.

For instance, consider a blockchain that contains information on multiple accounts. Each account, controlled by a different user, is associated with a memory that consists of multiple variables. The memory may, for example, store the values of variables in a smart contract. To validate each block, participants in the blockchain protocol (so-called "validators") need to have access to the variables used during the relevant transactions. One solutions is to have validators store all the variables locally. However, to save on storage, we may instead apply commitments, and ask account holders to commit to the values of their variables. During the relevant transaction, account holders would produce proofs for the relevant values. Our goal is to save on bandwidth required for these proofs to reach validators, while enabling solutions that do not rely on central parties or additional interaction.

We construct Pointproofs—an efficient commitment scheme with small commitments and proofs. Using Pointproofs, a user can independently commit to her variables and provide short proofs for any subset of them. Moreover, any third party can non-interactively aggregate multiple proofs with respect to different commitments into a single short proof.

We note that dynamic aggregation for a *single* commitment gives, in particular, subvector commitments. Such same-commitment aggregation was explored in [BBF19], who showed how to aggregate proofs for elements of a vector into a single proof for a subvector. To the best of our knowledge, there have been no schemes that can aggregate proofs across multiple commitments; see Table 1 for a summary of prior constructions.

#### 1.1 Our Contributions

First, we formalize aggregatable vector commitments in the cross-commitment setting (Section 3). For functionality, we require that proofs for individual elements of a single vector commitment can be aggregated by any third party into a single proof for the subvector, and proofs for subvectors of multiple commitments can be aggregated by any third party into a single proof for the multiple subvectors. We also consider hiding while still supporting the aggregation property.

Next, we add same-commitment and cross-commitment aggregation to the vector commitments of [LY10] to construct Pointproofs (Section 4). Our proof is a single point on a pairing-friendly curve (48 bytes at 128-bit security), whether for a single value, a subvector of values for a single commitment, or a set of subvectors for multiple commitments. Our construction easily extends to support full hiding of the committed values at the cost of an additional exponentiation and no increase in commitment or proof sizes. While the authors of [CF13, Section 3] point out that hiding in vector commitments can be achieved generically by adding an inner layer of hiding commitments to individual values, this approach does not automatically extend to aggregatable vector commitments, because proofs for the inner layer are not automatically aggregatable.

We provide a design overview for applying Pointproofs to reduce storage requirements for blockchains (Section 5). We specifically focus on smart contracts. Consider a smart contract with multiple variables. The current values  $(m_1, \ldots, m_N)$  of all the variables in a given contract are committed to a single vector commitment C, one commitment per contract. To transact with the contract, one provides a 48-byte proof  $\hat{\pi}$  of the current values of the variables needed for the transaction, which are then (optionally) updated as a result of the transaction. When there

are multiple smart contracts, cross-commitment aggregation allows us to compress multiple proofs  $\hat{\pi}_1, \ldots, \hat{\pi}_\ell$  into a single 48-byte proof  $\pi$ . This essentially eliminates the bandwidth overhead due to proofs in a proposed block, which, depending on the setting, can take up more bandwidth than the values being proven.

When applied to smart contracts storage, Pointproofs can be used to reduce validators' storage requirements to 4.5 GB for  $10^8$  accounts while adding only 31 KB per block overhead for 1000 transactions (assuming one open value per transaction). Alternative approaches to smart contracts require either (a) extensive storage from validators (TBs), (b) a central entity to generate proofs for all users, or (c) introduce at least an additional 60% bandwidth overhead per block. (See Section 5.2 for a more detailed comparison.)

We implement Pointproofs and show that Pointproofs are efficient to produce, aggregate, verify, and update for real-time use. We release our code to open source under the MIT license on GitHub [Alg20]. In our benchmarks for a commitment for 1000 variables of a smart contract at 128-bit security level, a user can produce a subvector proof for an arbitrary subset of variables (touched by a particular transaction) in 54-123ms; a block proposer can cross-commitment aggregate all these proofs, at the cost of about .07ms per proof; a validator who stores commitments can verify the aggregated proofs in a block at the cost of 0.7–1.9ms per value verified, and update commitments to reflect new values of the variables (which change in response to the transaction execution) at the cost of .0.2–0.3ms per variable updated (numerical ranges are due to variations in the number of commitments and values proven per commitment).

Summary of Contributions To summarize, our contributions are as follows:

- We formalize aggregatable vector commitments in the cross-commitment setting. We also formalize the hiding property while also supporting aggregation.
- We construct Pointproofs based on bilinear groups and prove their security (in the algebraic group and random oracle models).
- We show how to apply Pointproofs to smart-contract-based transactions on blockchains and evaluate them compared to alternative solutions.
- We build Pointproofs and evaluate their performance for various parameters.

We envisage that there will be multiple possible applications for cross-commitment aggregation of proofs. Just like signature aggregation can be beneficial to compress multiple signatures produced by different users into a short signature—with applications ranging from sensor networks [AGH10] to internet routing [BGR12] to consensus [DGNW20]—proof aggregation can help whenever multiple committed values produced by different users are revealed. One can imagine many settings in which multiple users or entities independently commit to their databases of records (e.g., public keys, healthcare records, transactions, etc.) and concurrently produce proofs to reveal several committed values. Cross-commitment aggregation can be applied to save on bandwidth in such settings.

#### 1.2 Related Work

The idea of using commitments to trade storage for bandwidth in the context of blockchains has appeared in multiple works in varying levels of detail, including [ST99, Mil12, Whi15, Tod16, But17, Dra17, RMCI17, CPZ18, BBF19]. The improvement of putting multiple proofs together to save bandwidth in this context has also appeared in a number of works, including [RMCI17, LM19, BBF19]. Third-party aggregation in blockchains has been explored more for signatures than for commitments [DGNW20]. Aggregating commitment proofs is a natural counterpart to aggregating signatures.

There is a long line of work on authenticated data structures in general (going back at least to [Mer88]) and vector commitments specifically (first formalized in [LY10, CF13]). Constant-size proofs for a subvector of values were also achieved in [KZG10, Tha19]; unfortunately, the binding notion defined in [KZG10, Section 3.4] is not strong enough to preclude openings to two inconsistent subvectors.

In Table 1, we compare our scheme to other subvector commitment commitments. Because of our focus on constant-size proofs for multiple values, we omit vector commitment schemes that do not have this feature [LY10,

#### CF13, LRY16, CPZ18].<sup>2</sup>

The starting point for our commitments is the scheme of Libert and Yung [LY10]. We simply augment it with aggregation algorithms for proofs within the same commitment and across multiple commitments. Our scheme, like the scheme of [LY10], requires public parameters whose size is linear in the size of the committed vector. Our public parameters are easy to keep short because of cross-commitment aggregation: we can split up a long vector into shorter ones and simply aggregate the proofs (although this approach increases the total size of the commitments, which cannot be aggregated).

Like the scheme of [LY10], our scheme relies on a q-type assumption. In order to prove security of aggregation, we have to work in the algebraic group model and the random oracle model (see Section 2). We can reduce these assumptions by lowering efficiency and/or security requirements (see Appendices A and B).

Following the work of Libert and Yung, there has been a long line of work on pairing-based vector commitments, including [CF13, LRY16, LM19], and a parallel line of work on polynomial commitments (starting with [KZG10]; see [BM<sup>+</sup>19] for an overview). None of these address aggregation. In Appendix C we show that recent (independent of ours) construction of polynomial commitments with batch opening by Boneh et al. [BDFG20] also gives vector commitments with aggregation, but with lower efficiency than our construction.

#### **1.3 Technical Overview of Our Scheme**

Our starting point is a pairing-based vector commitment of Libert and Yung [LY10]; the novelty of our work lies in the aggregation algorithms.

Let  $(\mathbb{G}_1, \mathbb{G}_2, \mathbb{G}_T)$  be a bilinear group of prime order p, along with a pairing  $e : \mathbb{G}_1 \times \mathbb{G}_2 \to \mathbb{G}_T$  and generators  $g_1, g_2, g_T = e(g_1, g_2)$  for  $\mathbb{G}_1, \mathbb{G}_2, \mathbb{G}_T$  respectively. Let  $\alpha \in \mathbb{Z}_p$  be a secret value (known to no one after the initial generation of public parameters). The public parameters are given by 2N - 1 values in  $\mathbb{G}_1, N$  values in  $\mathbb{G}_2$ , and one value in  $\mathbb{G}_T$  (which can be easily computed from the others):

$$g_1^{\alpha}, \dots, g_1^{\alpha^N}, g_1^{\alpha^{N+2}}, \dots, g_1^{\alpha^{2N}}; \ g_2^{\alpha}, \dots, g_2^{\alpha^N}; \ g_T^{\alpha^{N+1}}$$

It is important that  $g_1^{\alpha^{N+1}}$  is missing in the public parameters.

A commitment to a vector  $\mathbf{m} = (m_1, \ldots, m_N) \in \mathbb{Z}_p^N$  is given by raising  $g_1$  to a polynomial with coefficients given by  $\mathbf{m}$  (no constant term), evaluated at  $\alpha$ :

$$C := g_1^{\sum_{i=1}^N m_i \alpha^i}$$

To reveal  $m_i$ , the committer computes a proof, which has a similar polynomial, but without  $m_i$ , and with a shift so that  $m_i$ , if it were present, would be the coefficient of  $\alpha^{N+1}$  instead of  $\alpha^i$ :

$$\pi_i := g_1^{\sum_{j \neq i} m_j \alpha^{N+1-i+j}} = \left( C/g_1^{m_i \alpha^i} \right)^{\alpha^{N+1-i+j}}$$

The proof can be verified using

$$e(C, g_2^{\alpha^{N+1-i}}) \stackrel{?}{=} e(\pi_i, g_2) \cdot g_T^{\alpha^{N+1}m_i}$$

Indeed, Libert and Yung [LY10] show that under a q-type assumption, the scheme is binding: namely, it is hard to find a commitment C along with proofs  $(m_i^0, \pi^0), (m_i^1, \pi^1)$  with  $m_i^0 \neq m_i^1$  satisfying the above verification equation. The intuition for binding is quite simple. Note that the adversary does not get  $g_1^{\alpha^{N+1}}$ , and therefore the coefficient of  $\alpha^{N+1}$  in the exponent of  $\pi$ , even if adversarially produced, should be 0. Comparing coefficients of  $g_T^{\alpha^{N+1}}$  on both sides of the verification equation, we have that  $m_i$  on the right-hand-side of the verification equation must match  $m_i$  in C.

 $<sup>^{2}</sup>$ We note that Libert et al. [LRY16] describe an accumulator with a constant-size membership witnesses for multiple values; in order to convert such an accumulator to a subvector commitment (using the conversion of [BBF19]), it would need to also support constant-size nonmembership witnesses for multiple values.

Aggregation: first idea. Now, suppose the committer would like to reveal multiple values  $\{m_i : i \in S\}$  (where  $S \subseteq [N]$ ) for a single commitment C via a very short proof  $\pi_S$ . A natural idea is to take

$$\pi_S = \prod_{i \in S} \pi_i$$

which can in turn be verified using

$$e\left(C,\prod_{i\in S}g_2^{\alpha^{N+1-i}}\right)\stackrel{?}{=} e(\pi_S,g_2)\cdot g_T^{\alpha^{N+1}\sum_{i\in S}m_i}$$

It is easy to see that this scheme is insecure, in that an adversary can commit to  $(m_1, m_2) = (1, 3)$  and then provide a valid proof revealing  $(m_1, m_2) = (2, 2)$  for  $S = \{1, 2\}$ . Indeed, if we compare the coefficients of  $g_T^{\alpha^{N+1}}$  on both sides of the verification equation, it is easy to see that the adversary is only bound to  $\sum_{i \in S} m_i$  and not all of the values  $\{m_i : i \in S\}$ . More generally, we need to prevent attacks against inconsistent reveals for possibly two different sets, so that an adversary cannot, for example, "prove" that  $(m_1, m_2)$  is (1, 3) and  $(m_2, m_3)$  is (2, 1).

**Handling same-commitment aggregation.** To get around these attacks, we introduce additional "random" scalars  $t_i$  into the verification equation:

$$e\left(C,\prod_{i\in S}g_2^{\alpha^{N+1-i}t_i}\right)\stackrel{?}{=}e(\pi_S,g_2)\cdot g_T^{\alpha^{N+1}\sum_{i\in S}m_it}$$

and compute the aggregate proof  $\pi_S$  by  $\pi_S = \prod_{i \in S} \pi_i^{t_i}$ . The scalars  $t_i$  are computed by applying a hash function H on some carefully chosen inputs depending on  $C, S, \{m_i : i \in S\}$ . We note that a similar idea appears in the context of aggregating signatures [BDN18].

To get an intuition for how introducing random scalars yields binding, let us once again look at the coefficient of  $g_T^{\alpha^{N+1}}$  on both sides of the verification equation. Now, the adversary is bound to  $\sum_{i \in S} m_i t_i$ . A standard argument tells us that for any  $\{m_i : i \in S\} \neq \{m'_i : i \in S\}$ , we have

$$\Pr\left[\sum_{i\in S} m_i t_i = \sum_{i\in S} m'_i t'_i\right] = 1/p$$

where the probability is over random  $t_i \leftarrow \mathbb{Z}_p$ . Therefore, if the  $t_i$ 's are indeed random, then the adversary is also bound to  $\{m_i : i \in S\}$ .

To formalize this intuition, we first need to model the hash function H as a random oracle [BR93] so that we can carry out the above probabilistic argument. Furthermore, we need to restrict the adversary to the so-called algebraic group model [FKL18] (see Section 2 for details), so that we can express adversarially generated commitments C in terms of public parameters.

**Handling cross-commitment aggregation.** Now, suppose we have a collection of commitments  $C_j$  to  $\mathbf{m}_j = (m_{j,1}, \ldots, m_{j,N})$ , along with proofs  $\hat{\pi}_j$  for  $\mathbf{m}_j[S_j]$ , each satisfying

$$e\left(C_j, g_2^{\sum_{i \in S_j} \alpha^{N+1-i} t_{j,i}}\right) = e(\hat{\pi}_j, g_2) \cdot g_T^{\alpha^{N+1} \sum_{i \in S_j} m_{j,i} t_{j,i}}$$

We can again multiply these equations together to obtain:

$$\prod_{j} e\left(C_{j}, g_{2}^{\sum_{i \in S_{j}} \alpha^{N+1-i} t_{j,i}}\right) = e\left(\prod_{j} \hat{\pi}_{j}, g_{2}\right) \cdot g_{T}^{\alpha^{N+1} \sum_{j} \sum_{i \in S_{j}} m_{j,i} t_{j,i}}$$

For the same reason as before, this approach alone does not yield a secure scheme. Instead, we will need to introduce additional random scalars  $t'_i$  and use the following aggregate verification equation:

$$\prod_{j} e\left(C_{j}, g_{2}^{\sum_{i \in S_{j}} \alpha^{N+1-i}t_{j,i}}\right)^{t'_{j}} \stackrel{?}{=} e(\pi, g_{2}) \cdot g_{T}^{\alpha^{N+1}\sum_{j}\sum_{i \in S_{j}} m_{j,i}t_{j,i}t'_{j}}$$

where the aggregated proof  $\pi := \prod_j \hat{\pi}_j^{t'_j}$ .

### 2 Preliminaries

Notation Given a (column) vector  $\mathbf{v} = (v_1, \ldots, v_N)$ , we use  $\mathbf{v}[S] := (v_i, i \in S)$  to denote the subvector indexed by S, and  $\mathbf{v}[-i]$  to denote  $\mathbf{v}[[N] \setminus \{i\}]$ , i.e.,  $\mathbf{v}$  with  $v_i$  deleted. For a positive integer N, we denote the set  $\{1, \ldots, N\}$  by [N].

The Algebraic Group Model (AGM) The algebraic group model [FKL18] lies between the standard model and the widely-used generic group model. In AGM, we consider only so-called *algebraic adversaries*. Such adversaries have direct access to group elements and, in particular, can use their bit representation, like in the standard model. However, these adversaries are assumed to output new group elements only by applying the group operation to received group elements (like in the generic group model). This requirement is formalized as follows. Suppose an adversary is given group elements  $X_1, \ldots, X_N \in \mathbb{G}_1$ . Then, for every group element  $Z \in \mathbb{G}_1$  that the adversary outputs, it must also output  $z_1, \ldots, z_N \in \mathbb{Z}_p$  such that  $Z = \prod_{i=1}^N X_i^{z_i}$ .

**Security Assumption** Let  $\mathbb{G}_1, \mathbb{G}_2, \mathbb{G}_T$  be multiplicative groups of prime order p with a nondegenerate bilinear pairing  $e : \mathbb{G}_1 \times \mathbb{G}_2 \to \mathbb{G}_T$ . Fix generators  $g_1, g_2$ , and  $g_T := e(g_1, g_2)$  for the three groups.

We assume that the following variant of *weak bilinear Diffie-Hellman exponent problem*  $\ell$ -wBDHE<sup>\*</sup> (see [BBG05, BGW05, CKS09] for similar problems for symmetric pairings) is hard:

Input: 
$$g_1^{\alpha}$$
,  $g_1^{(\alpha^2)}$ , ...,  $g_1^{(\alpha^{\ell})}$   
 $g_1^{(\alpha^{\ell+2})}$ , ...,  $g_1^{(\alpha^{3\ell})}$ ,  
 $g_2^{\alpha}$ ,  $g_2^{(\alpha^2)}$ , ...,  $g_2^{(\alpha^{\ell})}$   
for  $\alpha \stackrel{\$}{\leftarrow} \mathbb{Z}_p$   
Compute:  $g_1^{(\alpha^{\ell+1})}$ 

The advantage  $\mathbf{Adv}_{\mathbb{G}_1 \times \mathbb{G}_2}^{\ell \cdot \mathbf{wBDHE}^*}(\mathcal{A})$  of an adversary  $\mathcal{A}$  is defined as its probability in solving this problem. As shown in [BBG05] (for a slight variant), the assumption holds in the generic bilinear group model. Concretely, for the BLS12-381 pairing-friendly curve with  $\ell = 32$ , the best attack has complexity  $2^{112}$  [Che06] (more generally, roughly  $\sqrt{p/\ell}$ ).

**The Random Oracle Model (ROM)** Our security proofs are in the random oracle model, formalized in [BR93]: we model a cryptographic hash function as a truly random function, accessible to all parties only via oracle queries. We will use two random oracles H and H', both with output space  $\mathbb{Z}_p$ .

## 3 Defining Vector Commitments with Aggregation

We define aggregatable vector commitments in two steps: first, we consider aggregation of proofs for a single commitment; then, we discuss aggregating such same-commitment aggregated proofs into a single cross-commitment proof.

#### 3.1 Same-Commitment Aggregation

A vector commitment with same-commitment aggregation for message space  $\mathcal{M}$  consists of the following polynomial-time algorithms Setup, Commit, UpdateCommit, Aggregate, Verify:

• pp  $\leftarrow$  Setup $(1^{\lambda}, 1^{N})$ . Outputs public parameters that will be used by all parties to commit to message vectors of length N (inputs are given in unary to allow for running time polynomial in  $\lambda$  and N). The public parameters will be provided as an implicit input to the remaining algorithms, including the adversary in the security definition. Even though the parameters are public, their generation may involve secret randomness that should not be known to the adversary. How to generate parameters in a trustworthy manner (which is crucial for security) depends on the application.

- $C \leftarrow \mathsf{Commit}(\mathbf{m}; r)$ . Takes as input a vector  $\mathbf{m} \in \mathcal{M}^N$  along with randomness r and outputs a commitment C.
- $C' \leftarrow \mathsf{UpdateCommit}(C, S, \mathbf{m}[S], \mathbf{m}'[S])$ . Takes as input a commitment C and updates the positions in S from  $\mathbf{m}[S]$  to  $\mathbf{m}'[S]$  to produce a new commitment C'.
- $\pi_i \leftarrow \mathsf{Prove}(i, \mathbf{m}, r)$ . Takes as input an index  $i \in [N]$  and  $(\mathbf{m}, r)$  used in Commit and outputs a proof  $\pi_i$ .
- $\hat{\pi} \leftarrow \text{Aggregate}(C, S, \mathbf{m}[S], \{\pi_i : i \in S\})$ . Takes as input a commitment C, a set of indices  $S \subseteq [N]$  with the corresponding proofs  $\{\pi_i : i \in S\}$  (computed using Prove) and outputs an aggregated proof  $\hat{\pi}$ .
- $b \leftarrow \text{Verify}(C, S, \mathbf{m}[S], \hat{\pi})$ . Takes as input a commitment C, a set of indices  $S \subseteq [N]$  along with an aggregated proof  $\hat{\pi}$ , and outputs whether C is a commitment to a message vector consistent with  $\mathbf{m}[S]$ .

For simplicity of presentation and without loss of generality, we assume that Verify always operates on aggregated proofs, even for a set of size 1. It should be noted that there may be more efficient ways to compute an aggregated proof than via several invocations of Prove followed by a single Aggregate; these efficiency improvements, while helpful for performance, do not affect our definitions. Similarly, there may be more efficient ways than invoking Prove to update existing proofs when commitments are updated; we do not formally define proof updates as a separate algorithm here.

**Correctness of opening.** For all  $\lambda, N, \mathbf{m} \in \mathcal{M}^N, S \subseteq [N]$ , we have

$$\Pr\left[\begin{array}{l} \mathsf{pp} \leftarrow \mathsf{Setup}(1^{\lambda}, 1^{N})\\ C \leftarrow \mathsf{Commit}(\mathbf{m}; r)\\ \pi_{i} \leftarrow \mathsf{Prove}(i, \mathbf{m}, r), \forall i \in S\\ \pi \leftarrow \mathsf{Aggregate}(C, S, \mathbf{m}[S], \{\pi_{i} : i \in S\})\\ \mathsf{Verify}(C, S, \mathbf{m}[S], \hat{\pi}) = 1 \end{array}\right] = 1$$

**Correctness of updates.** For all  $\lambda, N, \mathbf{m}, \mathbf{m}' \in \mathcal{M}^N, S \subseteq [N], r$  such that  $\mathbf{m}[[N] \setminus S] = \mathbf{m}'[[N] \setminus S']$ , we have:

UpdateCommit(Commit(
$$\mathbf{m}; r$$
),  $S, \mathbf{m}[S], \mathbf{m}'[S]$ ) = Commit( $\mathbf{m}'[S]; r$ )

Note that in our definition, updates are deterministic. Other definitions are also possible. See Section 3.3 for further discussion.

**Binding.** For every N and every adversary running in time polynomial in  $\lambda$ , the probability of finding

$$C, (\hat{\pi}^0, S^0, \mathbf{m}^0[S^0]), (\hat{\pi}^1, S^1, \mathbf{m}^1[S^1])$$

such that

- Verify  $(C, S^b, \mathbf{m}^b[S^b], \hat{\pi}^b) = 1$  for both b = 0 and b = 1;
- $\mathbf{m}^0[S^0 \cap S^1] \neq \mathbf{m}^1[S^0 \cap S^1]$

(assuming parameters generated by  $\mathsf{Setup}(1^{\lambda}, 1^{N})$ ) is negligible in  $\lambda$ .

One can also consider a weaker notion of binding, in which the commitment C must be honestly computed by Commit (on some, possibly adversarially chosen, messages) rather than chosen arbitrarily by the adversary. This notion often suffices in blockchain applications. See Appendix B for details.

#### 3.2 Cross-commitment aggregation

Cross-commitment aggregation adds two algorithms to aggregate proofs across  $\ell$  commitments :

- $\pi \leftarrow \text{AggregateAcross}(\{C_j, S_j, \mathbf{m}_j[S_j], \hat{\pi}_j\}_{j \in [\ell]})$  Takes as input a collection  $(\{C_j, S_j, \mathbf{m}_j[S_j]\}_{j \in [\ell]})$  along with the corresponding same-commitment-aggregated proofs  $\{\hat{\pi}_j\}_{j \in [\ell]}$  (computed using Aggregate) and outputs an aggregated proof  $\pi$ .
- $b \leftarrow \text{VerifyAcross}(\{C_j, S_j, \mathbf{m}_j[S_j]\}_{j \in [\ell]}, \pi)$ . Takes as input a collection  $(\{C_j, S_j, \mathbf{m}_j[S_j]\}_{j \in [\ell]})$  along with a cross-commitment-aggregated proof  $\pi$ , and checks that  $C_j$  is a commitment to a message vector consistent with  $\mathbf{m}_j[S_j]$  for all  $j \in [\ell]$ .

We require the same correctness of opening as before, extended to cross-commitment aggregation in a natural way.

The binding property also extends naturally: to win, the adversary now needs to find

$$\{C_{j}^{b}\}_{j \in [\ell^{b}]} \text{ and } (\pi^{b}, \{S_{j}^{b}, \mathbf{m}^{b}[S_{j}^{b}]\}_{j \in [\ell^{b}]}) \text{ for } b = 0 \text{ and } b = 1$$

such that

- 1. VerifyAcross({  $C_j^b, S_j^b, \mathbf{m}_j^b[S_j^b]$  }<sub> $j \in [\ell^b]$ </sub>,  $\pi^b$ ) = 1 for both b = 0 and b = 1
- 2.  $\exists j^0 \in [\ell^0], j^1 \in [\ell^1] : \mathbf{m}_{j^0}^0 [S_{j^0}^0 \cap S_{j^1}^1] \neq \mathbf{m}_{j^1}^1 [S_{j^0}^0 \cap S_{j^1}^1] \text{ and } C_{j^0}^0 = C_{j^1}^1.$

As a sanity check, observe that for the special case  $\ell^0 = \ell^1 = 1$ , the winning condition stipulates that  $j^0 = j^1 = 1$ and  $C_1^0 = C_1^1$ , matching same-commitment binding, except with VerifyAcross in place of Verify.

As part of binding, we also need to make sure that a cross-commitment aggregated proof cannot be used to prove an opening that is inconsistent with a same-commitment opening. Formally, this means this that for the case of  $\ell^0 = 1$ , we allow the adversary to win also if  $\operatorname{Verify}(C_1^0, S_1^0, \mathbf{m}_1^0[S_1^0], \pi^0) = 1$ , even if  $\operatorname{Verify}(\operatorname{C}_1^0, S_1^0, \mathbf{m}_1^0[S_1^0], \pi^0) = 0$ .

#### 3.3 Hiding

Vector commitments optionally include the hiding property, which we now define. For this paper, we consider simulation-based statistical security, which stipulates that there exists an efficient randomized simulator (Setup<sup>\*</sup>, Commit<sup>\*</sup>, Prove<sup>\*</sup>) with the following properties. Setup<sup>\*</sup> outputs pp and a trapdoor td. Commit<sup>\*</sup>(;r) takes no inputs except randomness, and outputs a random fake commitment C. Prove<sup>\*</sup>, given the trapdoor, an r used to produce a fake C, an index i, and a value  $m_i$ , produces a fake proof  $\pi$ . The main idea of the definition is that fake proofs are statistically indistinguishable from real ones, even given the commitments, and even if the committed messages are chosen adversarially. This indistinguishability is defined below. The intuition is that since fake committing and fake proving doesn't involve any messages but the one being proven, it follows that fake commitments and proofs leak no information about other messages, and therefore, by indistinguishability, neither do real ones. This intuition is formalized below via a standard oracle-based definition.

Let a stateful real oracle  $\mathcal{O}$ -real(pp) take two types of queries: a query  $\mathbf{m}_j$  chooses a random  $r_j$  and returns Commit $(\mathbf{m}_i, r_j)$ , while a query (j, i) returns Prove $(i, m_j, r_j)$ . Let a stateful simulated oracle  $\mathcal{O}$ -sim(pp, td) respond to the same types of queries as follows: a query  $\mathbf{m}_j$  chooses a random  $r_j$  and runs Commit $^*(; r_j)$  to get a random fake commitment, while a query (j, i) returns Prove $^*(td, r_j, i, (\mathbf{m}_j)_i)$ . Crucially for the hiding property, Commit $^*$  gets no information about  $\mathbf{m}_j$ , while Prove $^*$  gets  $(\mathbf{m}_j)_i$  but no information about  $\mathbf{m}_j[-i]$ .

The hiding property states that for every stateful computationally unbounded adversary A, the following two distributions are at most negligibly far:

$$\begin{bmatrix} \mathsf{pp} \leftarrow \mathsf{Setup}(1^{\lambda}, 1^{N}); \\ \mathsf{output} \ \mathcal{A}^{\mathcal{O}\text{-}\mathsf{real}(\mathsf{pp})} \end{bmatrix}, \begin{bmatrix} (\mathsf{pp}, \mathsf{td}) \leftarrow \mathsf{Setup}^*(1^{\lambda}, 1^{N}); \\ \mathsf{output} \ \mathcal{A}^{\mathcal{O}\text{-}\mathsf{sim}(\mathsf{pp}, \mathsf{td})} \end{bmatrix}$$

Note that even though the hiding definition does not explicitly refer to aggregated proofs as computed using Aggregate, it does imply a meaningful security guarantee for aggregated proofs, because they are results of public computations on existing proofs. For example, an adversary who sees  $m_2$ ,  $m_3$  along with an aggregated proof  $\pi_{\{2,3\}}$  learns nothing about  $m_1$ ,  $m_4$ , because the inputs to the aggregation algorithm include only  $\pi_2$ ,  $\pi_3$ ,  $m_2$ , and  $m_3$ .

Our updates are defined to be deterministic, and thus reveal the relationship between the pre- and postupdate committed vectors. One can additionally define a rerandomization algorithm that, when performed after a deterministic update, will hide this relationship. Our scheme supports such rerandomization (see Section 4.5). We do not formally address this hiding property.

### **4** Our Construction

Understanding intuition behind our scheme, described in Section 1.3, will help make sense of the formal details presented in this section. We use the notation (including pairing groups of order p and random oracles H, H') from Section 2.

Our message space  $\mathcal{M}$  is  $\mathbb{Z}_p$ ; we can handle general message spaces  $\{0,1\}^*$  by hashing to  $\mathbb{Z}_p$  using a collision-resistant hash function.

#### 4.1 Same-Commitment Aggregation

Here, both Commit and Prove are deterministic.

• Setup $(1^{\lambda}, 1^{N})$ . Samples  $\alpha \leftarrow \mathbb{Z}_{p}$  and outputs

$$g_1^{\mathbf{a}} = (g_1^{\alpha}, \dots, g_1^{\alpha^N}),$$
  

$$g_1^{\alpha^N \mathbf{a}[-1]} = (g_1^{\alpha^{N+2}}, \dots, g_1^{\alpha^{2N}}),$$
  

$$g_2^{\mathbf{a}} = (g_2^{\alpha}, \dots, g_2^{\alpha^N})$$

where

$$\mathbf{a} := (\alpha, \alpha^2, \dots, \alpha^N)$$

In fact, it is sufficient for the prover to hold  $g_1^{\mathbf{a}}, g_1^{\alpha^N \mathbf{a}[-1]}$  and for the verifier to hold  $g_2^{\mathbf{a}}, g_T^{\alpha^{N+1}} = e(g_1^{\alpha}, g_2^{\alpha^N})$ . Note that  $\alpha$  must never be known to the adversary.

• Commit(m), for  $\mathbf{m} \in \mathbb{Z}_p^N$ . Outputs

$$C := g_1^{\mathbf{m}^{\top}\mathbf{a}} = g_1^{\sum_{i \in [N]} m_i \alpha}$$

• UpdateCommit $(C, S, \mathbf{m}[S], \mathbf{m}'[S])$ . Outputs

$$C' := C \cdot g_1^{(\mathbf{m}'[S] - \mathbf{m}[S])^\top \mathbf{a}[S]} = C \cdot g_1^{\sum_{i \in S} (m'_i - m_i)\alpha^\top}$$

•  $Prove(i, \mathbf{m})$ . Outputs

$$\pi_i := g_1^{\alpha^{N+1-i}\mathbf{m}[-i]^{\top}\mathbf{a}[-i]} = g_1^{\sum_{j \in [N] - \{i\}} m_j \alpha^{N+1-i+j}}$$

Note that anyone can compute  $g_1^{\alpha^{N+1-i}\mathbf{a}[-i]}$  for all  $i \in [N]$  given pp. If a value  $m_j$  at index  $j \neq i$  changes  $m'_j$ , a proof can be updated in a way similar to a commitment:  $\pi' := \pi \cdot g_1^{(m'_j - m_j)\alpha^{N+1-i+j}}$ . If  $m_i$  changes, then the proof  $\pi$  need not be updated (but the commitment C does).

• Aggregate $(C, S, \mathbf{m}[S], \{\pi_i : i \in S\})$ . Outputs

$$\hat{\pi} := \prod_{i \in S} \pi_i^{t_i}$$

where

$$t_i = H(i, C, S, \mathbf{m}[S])$$

• Verify $(C, S, \mathbf{m}[S], \hat{\pi})$ . Checks that

$$e\left(C, g_2^{\sum_{i \in S} \alpha^{N+1-i}t_i}\right) \stackrel{?}{=} e(\hat{\pi}, g_2) \cdot g_T^{\alpha^{N+1}\sum_{i \in S} m_i t_i}$$

where  $t_i$  is the same as in Aggregate.

**Implementation and Efficiency.** We now discuss a few implementation details. We summarize the performance of our scheme (including algorithms from Section 4.2) in Table 2.

- Setup can be performed securely and efficiently via a large-scale distributed computation, similar to [BGM17], but simpler because of the structure of the parameters [Suh19]. The first party chooses α<sub>1</sub> and generates its own public parameters according to Setup; each subsequent party *i*, in sequence, chooses a secret α<sub>i</sub> and raises each element of the public parameters generated so far to the appropriate power of α<sub>i</sub>; at the end, we will use α<sub>s</sub> generated by a public random beacon for one final rerandomization, so that the final α = α<sub>s</sub> · ∏<sub>i</sub> α<sub>i</sub>. Furthermore, each party will prove knowledge of α<sub>i</sub>, and each party will use the output of the previous party only if this proof of knowledge is consistent with first elements of the g<sub>1</sub><sup>a</sup> and g<sub>2</sub><sup>a</sup> vectors, and if the appropriate pairing relationships between the various group elements hold (to ensure the remaining elements of the parameters are computed correctly).
- Prove takes nz(m) G<sub>1</sub>-exponentiations and commit takes one fewer, where nz(m) is the number of non-zero entries in the vector m. We note that products of exponentiations are considerably more efficient than separate exponentiations—via, for example, Pippenger's algorithm [Pip80].
- When producing a proof  $\hat{\pi}$  for  $\mathbf{m}[S]$  where S is known in advance, it is faster to compute the proof directly using the formula

$$\hat{\pi} = \prod_{k=2}^{N} g_1^{c_k \alpha^k} \cdot \prod_{k=1}^{N-1} g_1^{c_{N+1+k} \alpha^{N+1+k}}$$

where

$$c_k = \sum_{i \in S, i \ge N-k+2} m_{k+i-N-1} t_i$$
$$c_{N+1+k} = \sum_{i \in S, i \le N-k} m_{k+i} t_i$$

instead of running Prove multiple times followed by Aggregate. The cost of this computation is a product of  $\min(2N-2, |S| \cdot nz(\mathbf{m})) \ \mathbb{G}_1$ -exponentiations (to be more precise, the 2N-2 term can be replaced with  $N + \max(S) - \min(S) - 1$ , because  $c_2, \ldots, c_{N+1-\max(S)}, c_{N+1}$ , and  $c_{2N-\min(S)+2}, \ldots, c_{2N}$  are 0).

For Verify, because operations in 𝔅<sub>1</sub> are typically faster than in 𝔅<sub>2</sub>, which are faster than in 𝔅<sub>T</sub>, it is more efficient to compute r = (∑<sub>i∈S</sub> m<sub>i</sub>t<sub>i</sub>)<sup>-1</sup> mod p (the special case when the inverse does not exist needs to be handled separately) and check

$$e\left(C, g_2^{r\sum_{i\in S}\alpha^{N+1-i}t_i}\right) \cdot e\left(\hat{\pi}^{-r}, g_2\right) \stackrel{?}{=} g_T^{\alpha^{N+1}}$$

Note that a product of two pairings can be computed considerably faster than two separate pairings (because the time-consuming final exponentiation needs to be performed only once). Note also that if |S| = 1 and we set  $t_i = 1$  for the sole  $i \in S$ , as discussed above, then it is more efficient to move exponentiation to  $\mathbb{G}_1$  and compute the first term as  $(C^r, g_2^{\alpha^{N+1-i}})$ .

• For |S| = 1, we could set  $t_i = 1$  for the single  $i \in S$  (this letting  $\hat{\pi} = \pi_i$  and eliminating the need for Aggregate) to save work. All the proofs go through unchanged, because all  $t_i$  values (except 0, which occurs with probability 1/p) are functionally equivalent to  $t_i = 1$ , via raising the verification equation to  $1/t_i$ . It may be worthwhile to create a special case for |S| = 1 in an implementation to save on Aggregate, depending on how frequent this case is.

Operation	Time
Commit	nz(m)-exp <sub>1</sub>
Prove	$nz(\mathbf{m})$ - $\exp_1$
Aggregate	S -exp <sub>1</sub>
Same-Aggr. Prove	$\min(2N-2,  S  \cdot nz(\mathbf{m}))$ -exp <sub>1</sub>
Verify	$1-\exp_1+ S -\exp_2+2-pp$
AggregateAcross	$\ell$ -exp <sub>1</sub>
VerifyAcross	$1 - \exp_1 + \sum_j ( S_j  - \exp_2) + (\ell + 1) - \exp_2$

Table 2: Concrete efficiency of our scheme, ignoring costs of hashing and  $\mathbb{Z}_p$  operations. Here, nz(m) denotes the number of non-zero entries in the vector  $\mathbf{m}$ , k-exp<sub>i</sub> denotes a product of k exponentiations in  $\mathbb{G}_i$ , and k-pp denotes a product of k parings.

**Proof of correctness.** First, we show that for all  $i \in [N]$ ,  $\pi_i = \mathsf{Prove}(i, \mathbf{m}) = g_1^{\alpha^{N+1-i}\mathbf{m}[-i]^\top \mathbf{a}[-i]}$  satisfies:

$$e(C, g_2^{\alpha^{N+1-i}}) = e(\pi_i, g_2) \cdot g_T^{\alpha^{N+1}m_i}$$
(1)

Observe that

$$\mathbf{m}^{\top}\mathbf{a} = \mathbf{m}[-i]^{\top}\mathbf{a}[-i] + \alpha^{i}m_{i}$$

Multiplying both sides by  $\alpha^{N+1-i}$  yields

$$(\mathbf{m}^{\mathsf{T}}\mathbf{a})\alpha^{N+1-i} = \alpha^{N+1-i}\mathbf{m}[-i]^{\mathsf{T}}\mathbf{a}[-i] + \alpha^{N+1}m_i$$

and thus

$$e(g_1^{\mathbf{m}^{\top}\mathbf{a}}, g_2^{\alpha^{N+1-i}}) = e(g_1^{\alpha^{N+1-i}\mathbf{m}[-i]^{\top}\mathbf{a}[-i]}, g_2) \cdot g_T^{\alpha^{N+1}m_i}$$

and (1) holds. To show correctness of aggregated proofs, we first raise both sides of (1) to the power  $t_i$ , to get

$$e(C, g_2^{\alpha^{N+1-i}t_i}) = e(\pi_i^{t_i}, g_2) \cdot g_T^{\alpha^{N+1}m_it_i}$$

Multiplying these equations for all  $i \in S$  yields

$$e\left(C, g_2^{\sum_{i \in S} \alpha^{N+1-i}t_i}\right) = e\left(\prod_{i \in S} \pi_i^{t_i}, g_2\right) \cdot g_T^{\alpha^{N+1}\sum_{i \in S} m_i t_i}$$

Correctness for updates follows readily from the equality:

$$\mathbf{m'}^{\top}\mathbf{a} = (\mathbf{m'}[S] - \mathbf{m}[S])^{\top}\mathbf{a}[S] + \mathbf{m}^{\top}\mathbf{a}$$

#### 4.2 Cross-Commitment Aggregation

We describe the following additional algorithms:

AggregateAcross( $\{C_j, S_j, \mathbf{m}_j[S_j], \hat{\pi}_j\}_{j \in [\ell]}$ ). Outputs

$$\pi := \prod_{j=1}^{\ell} \hat{\pi}_j^{t'_j}$$

where

$$t'_j = H'(j, \{C_j, S_j, \mathbf{m}_j[S_j]\}_{j \in [\ell]})$$

VerifyAcross( $\{C_j, S_j, \mathbf{m}_j[S_j]\}_{j \in [\ell]}, \pi$ ). Checks that

$$\prod_{j=1}^{\ell} e\left(C_{j}, g_{2}^{\sum_{i \in S_{j}} \alpha^{N+1-i} t_{j,i}}\right)^{t'_{j}} \stackrel{?}{=} e(\pi, g_{2}) \cdot g_{T}^{\alpha^{N+1} \sum_{j \in [\ell], i \in S_{j}} m_{j,i} t_{j,i} t'_{j}}$$

where

$$t_{j,i} = H(i, C_j, S_j, \mathbf{m}_j[S_j]), t'_j = H'(j, \{C_j, S_j, \mathbf{m}_j[S_j]\}_{j \in [\ell]}), \mathbf{m}_j = (m_{j,1}, \dots, m_{j,N})$$

Implementation and Efficiency. Recall that a summary of computational costs is given in Table 2.

• Similarly to Verify, it is faster to implement VerifyAcross by computing  $r = (\sum_{j \in [\ell], i \in S_j} m_{j,i} t_{j,i} t'_j)^{-1} \mod p$ (with a special case for the inverse does not exist) and checking that

$$e(\pi^{-r},g_2) \cdot \prod_{j=1}^{\ell} e\left(C_j, g_2^{rt'_j \sum_{i \in S_j} \alpha^{N+1-i} t_{j,i}}\right) \stackrel{?}{=} g_T^{\alpha^{N+1}}$$

• We note that when  $\ell = 1$ , we could set  $t_1 = 1$ , thus eliminating the need for AggregateAcross and setting VerifyAcross = Verify. This modification is similar to the case of |S| = 1 for same-commitment aggregation and works for the same reason.

**Proof of correctness.** By correctness of  $\hat{\pi}_j$ , each  $\hat{\pi}_j$  satisfies its verification equation. Raising *j*th verification equation to  $t'_j$  and multiplying over all  $j \in [\ell]$  yields the desired equality.

#### 4.3 **Proof of binding for same-commitment aggregation**

Our scheme satisfies binding for same-commitment aggregation in the AGM+ROM model under the  $\ell$ -wBDHE<sup>\*</sup> assumption (as described in Section 2).

**Proof intuition.** Suppose the adversary computes  $C = g_1^{\mathbf{z}^{\top}\mathbf{a}}$  and provides an accepting proof  $\hat{\pi}$  for  $(S, \mathbf{m}[S])$  where  $\mathbf{m}[S] \neq \mathbf{z}[S]$ . Note that the adversary does not get  $g_1^{\alpha^{N+1}}$ , and so the coefficient of  $\alpha^{N+1}$  in  $\log_{g_1} \hat{\pi}$  should be 0. Comparing coefficients of  $g_T^{\alpha^{N+1}}$  on both sides of the verification equation, we therefore have

$$\mathbf{z}[S]^{\top}\mathbf{t} \equiv_p \mathbf{m}[S]^{\top}\mathbf{t}$$

where  $\mathbf{t} = (H(i, C, S, \mathbf{m}[S]), i \in S)$ . Now, suppose  $\mathbf{t} \leftarrow \mathbb{Z}_p^{|S|}$  is chosen uniformly at random after  $(S, \mathbf{z}[S], \mathbf{m}[S])$  are fixed, in which case

$$\Pr_{\mathbf{t}} \left[ \mathbf{z}[S] \neq_{p} \mathbf{m}[S] \text{ and } \mathbf{z}[S]^{\top} \mathbf{t} \equiv_{p} \mathbf{m}[S]^{\top} \mathbf{t} \right] = 1/p$$

To ensure the uniform choice of t for any fixed  $(S, \mathbf{z}[S], \mathbf{m}[S])$ , we note that C determines  $\mathbf{z}$  in AGM and that C, S, and  $\mathbf{m}[S]$  are input to the random oracle  $H(i, \cdot, \cdot, \cdot)$ , which produces  $t_i$ .

#### On the necessity of inputting S and m[S] to H. The attacker wins if

$$\sum_{i \in S} z_i t_i \equiv_p \sum_{i \in S} m_i t_i \tag{2}$$

(as long as  $z_i \neq m_i$  for some  $i \in S$ ). Thus, if  $t_i$  can be determined without  $m_i$ , the attacker could simply fix all values but a single  $m_i$  and solve for the remaining  $m_i$ . Moreover, even if we hash the actual messages first (and thus  $m_i$  values are random, rather than under the attacker's control), Wagner's attack [Wag02] yields a  $2^{\sqrt{\log p}}$  algorithm that given  $\{z_i t_i, m_i t_i\}_{i \in [N]}$ , computes a set S of size  $2^{\sqrt{\log p}}$  such that (2) holds. This yields a  $2^{\sqrt{\log p}}$  attack if we set  $t_i = H(i, C)$  instead of  $H(i, C, S, \mathbf{m}[S])$ . At 128-bit security level for the curve (i.e.,  $\log p \approx 256$ ), we have  $2^{\sqrt{\log p}} \approx 2^{16}$ , which makes for a very practical attack! It seems plausible that the attack also extends to the setting of  $t_i = H(i, C, S)$ : it would suffice to extend Wagner's algorithm to finding values that sum to a given constant, because the values of the elements of S are not committed, and thus, although  $\sum_{i \in S} z_i t_i$  is fixed, the attacker can choose from a list of random  $m_i$  for each  $i \in S$ .

Analysis. The formal analysis proceeds in two steps.

Step 1: bounding "lucky" queries. Consider any query  $(\star, C, S, \mathbf{m}[S])$  that an algebraic adversary (on input pp) makes to H. Since the adversary is algebraic, it must output  $\mathbf{z} \in \mathbb{Z}_p^N, \mathbf{y} \in \mathbb{Z}_p^{N-1}$  such that

$$C = g_1^{\mathbf{z}^\top \mathbf{a} + \alpha^N \mathbf{y}^\top \mathbf{a}[-1]} = g_1^{\sum_{i \in [N]} z_i \alpha^i + \sum_{j \in [N-1]} y_j \alpha^{N+1+j}}$$

We say that the query is "*H*-lucky" if

$$\mathbf{m}[S] \not\equiv_p \mathbf{z}[S] \text{ and } (\mathbf{m}[S] - \mathbf{z}[S])^\top \mathbf{t}) \equiv_p 0,$$

where  $\mathbf{t} = (H(i, C, S, \mathbf{m}[S]) : i \in S)$ . Note that a query is *H*-lucky with probability at most 1/p. Here we use the fact that the query to *H* fixes  $(S, \mathbf{m}[S], \mathbf{z}[S])$ .

By the union bound, the probability that an adversary makes an *H*-lucky query is at most  $q_H/p$ , where  $q_H$  is the number of queries to *H*. Below, we assume this never happens.

Step 2: extracting  $g_1^{\alpha^{N+1}}$ . Now, we will show that a winning algebraic adversary can be used to compute  $g_1^{\alpha^{N+1}}$ , contradicting  $\ell$ -wBDHE<sup>\*</sup>.

Consider the output of a winning algebraic adversary

$$C, \{S^b, \mathbf{m}^b[S^b], \hat{\pi}^b\}_{b=0,1}$$

together with  $\mathbf{z}, \mathbf{y}$  such that  $C = g_1^{\mathbf{z}^\top \mathbf{a} + \alpha^N \mathbf{y}^\top \mathbf{a}[-1]}$ . Since  $\mathbf{m}^0[S^0 \cap S^1] \neq \mathbf{m}^1[S^0 \cap S^1]$ , it must be the case that either  $\mathbf{m}^0[S_0] \neq \mathbf{z}[S_0]$  or  $\mathbf{m}^1[S_1] \neq \mathbf{z}[S_1]$ . Let  $(S^*, \mathbf{m}^*, \hat{\pi}^*)$  be such that

$$\mathbf{m}^*[S^*] \neq \mathbf{z}[S^*]$$
 and  $\mathsf{Verify}(C, S^*, \mathbf{m}^*[S^*], \hat{\pi}^*) = 1$ 

Since  $\hat{\pi}^*$  is an accepting proof, we have:

$$e(C, g_2^{\sum_{i \in S^*} \alpha^{N+1-i} t_i}) = e(\hat{\pi}^*, g_2) \cdot g_T^{\alpha^{N+1} \mathbf{m}^* [S^*]^\top \mathbf{t}}$$

where  $t_i = H(i, C, S^*, \mathbf{m}^*[S^*])$ . This implies

$$C^{\sum_{i\in S^*}\alpha^{N+1-i}t_i} = \hat{\pi}^* \cdot g_1^{\alpha^{N+1}\mathbf{m}^*[S^*]^\top \mathbf{t}}$$

We can write the LHS as a product of terms that involve  $g_1^{\alpha^{N+1}}$  and terms that do not, namely

$$(g_1^{\alpha^{N+1}\mathbf{z}[S^*]^{\top}\mathbf{t}}) \cdot (\underbrace{g_1^{\sum_{i \in S^*} \alpha^{N+1-i}\mathbf{z}[-i]^{\top}\mathbf{a}[-i]t_i}}_{\text{depends on } g_1^{\alpha^2}, \dots, g_1^{\alpha^N}, g_1^{\alpha^{N+2}}, \dots, g_1^{\alpha^{2N}}}) \cdot (\underbrace{g_1^{\alpha^N \mathbf{y}^{\top}\mathbf{a}[-1]\sum_{i \in S^*} \alpha^{N+1-i}t_i}}_{\text{depends on } g_1^{\alpha^{N+3}}, \dots, g_1^{\alpha^{3N}}})$$

Moving the terms involving  $g_1^{\alpha^{N+1}}$  to the RHS, we obtain

$$\left(g_{1}^{\sum_{i\in S^{*}}\alpha^{N+1-i}\mathbf{z}[-i]^{\top}\mathbf{a}[-i]t_{i}}\right)\cdot\left(g_{1}^{\alpha^{N}\mathbf{y}^{\top}\mathbf{a}[-1]\sum_{i\in S^{*}}\alpha^{N+1-i}t_{i}}\right)\cdot(\hat{\pi}^{*})^{-1}=g_{1}^{\alpha^{N+1}(\mathbf{m}^{*}[S^{*}]-\mathbf{z}[S^{*}])^{\top}\mathbf{t}}$$

Now, recall that  $\mathbf{m}^*[S^*] \neq \mathbf{z}[S^*]$  and that there are no *H*-lucky queries, so we must have  $(\mathbf{m}^*[S^*] - \mathbf{z}[S^*])^\top \mathbf{t} \neq_p 0$ , and therefore we can compute its inverse *r* modulo *p* and raise both sides of the preceding equation to the power *r* to get  $g_1^{\alpha^{N+1}}$  on the RHS. Since the LHS is something we can compute given the output of the adversary and  $g_1^{\mathbf{a}}, g_1^{\alpha^{N} \mathbf{a}[-1]}, g_1^{\alpha^{2N} \mathbf{a}}$ , we can compute  $g_1^{\alpha^{N+1}}$ .

#### 4.4 **Proof of binding for cross-commitment aggregation**

Our scheme satisfies binding for cross-commitment aggregation under the same models and assumptions as for same-commitment aggregation.

Step 1: bounding "H-lucky" queries This step is the same as for same-commitment aggregation.

Step 2: bounding "H'-lucky" queries Consider any query  $(\star, \{C_j, S_j, \mathbf{m}_j[S_j]\}_{j \in [\ell]})$  that an algebraic adversary makes to H'. Since the adversary is algebraic, it must output  $\{\mathbf{z}_j, \mathbf{y}_j\}_{j \in [\ell]}$  such that

$$C_j = g_1^{\mathbf{z}_j^\top \mathbf{a} + \alpha^N \mathbf{y}_j^\top \mathbf{a}[-1]}$$

We say that the query is "H'-lucky" if

$$\exists j : \left(\mathbf{m}_{j}[S_{j}] - \mathbf{z}_{j}[S_{j}]\right)^{\top} \mathbf{t}_{j} \neq_{p} 0 \quad \text{and} \quad \sum_{j=1}^{\ell} \left(\mathbf{m}_{j}[S_{j}] - \mathbf{z}_{j}[S_{j}]\right)^{\top} \mathbf{t}_{j} t_{j}' \equiv_{p} 0$$

where  $\mathbf{t}_j = (H(i, C_j, S_j, \mathbf{m}[S_j]), i \in S_j)$ . A query is H'-lucky with probability at most 1/p. Here we use the fact that the query to H' fixes  $\{(S_j, \mathbf{m}_j[S_j], \mathbf{z}_j[S_j])\}_{j \in [\ell]}$ .

Step 3: extracting  $g_1^{\alpha^{N+1}}$ . Consider the output of a winning adversary. It contains  $\{C_j^b\}_{j \in [\ell^b]}$  for b = 0, 1; because the adversary is algebraic, it also contains  $\mathbf{z}_j^b, \mathbf{y}_j^b$  such that  $C_j^b = g_1^{\mathbf{z}_j^{b^\top} \mathbf{a} + \alpha^N \mathbf{y}_j^{b^\top} \mathbf{a} [-1]}$ . The winning conditions specifies  $j^0$  and  $j^1$  such that  $C_{j^0}^0 = C_{j^1}^1$ ; regardless of what the adversary outputs, we will set  $\mathbf{z}_{j^1}^1 = \mathbf{z}_{j^0}^0$  and  $\mathbf{y}_{j^1}^1 = \mathbf{y}_{j^0}^0$ . This allows to us to apply the same reasoning as in the same-commitment case to argue that either  $\mathbf{m}_{j^0}^0$  or  $\mathbf{m}_{j^1}^1$  is distinct from  $\mathbf{z}_{j^0}^0 = \mathbf{z}_{j^1}^1$ . That is, for \* equal to either 0 or 1,

$$\begin{split} \mathbf{m}_{j^*}^*[S_{j^*}^*] \neq \mathbf{z}_{j^*}^*[S_{j^*}^*] \text{ and } \\ (\text{VerifyAcross}(\{C_j^*, S_j^*, \mathbf{m}_j^*[S_j^*]\}_{j \in [\ell^*]}, \pi^*) = 1 \\ \text{or } (\ell^* = 1 \text{ and Verify}(C_1^*, S_1^*, \mathbf{m}_1^*[S_1^*], \pi^*) = 1)) \,. \end{split}$$

If  $Verify(\dots) = 1$ , we use the argument for same-commitment aggregation. If  $VerifyAcross(\dots) = 1$ , then via a similar calculation we deduce that

$$g_{1}^{\sum_{j=1}^{\ell^{*}}\sum_{i\in S_{j}^{*}}\alpha^{N+1-i}\mathbf{z}_{j}^{*}[-i]^{\top}\mathbf{a}[-i]t_{j,i}t_{j}^{'}} \cdot \left(g_{1}^{\sum_{j=1}^{\ell^{*}}\alpha^{N}\mathbf{y}_{j}^{\top}\mathbf{a}[-1]\sum_{i\in S^{*}}\alpha^{N+1-i}t_{j,i}t_{j}^{'}}\right) \cdot (\pi^{*})^{-1} = g_{1}^{\alpha^{N+1}\sum_{j=1}^{\ell^{*}}(\mathbf{m}_{j}^{*}[S_{j}^{*}])^{\top}\mathbf{t}_{j}t_{j}^{'}}$$

The LHS of the equation above does not depend on  $g_1^{\alpha^{N+1}}$ . Since there are no H-lucky queries, it must be the case that

$$(\mathbf{m}_{j^*}^*[S_{j^*}^*] - \mathbf{z}_{j^*}^*[S_{j^*}^*])^\top \mathbf{t}_{j^*} \not\equiv_p 0.$$

Moreover, since there are no H'-lucky queries, we have

$$\sum_{j=1}^{\ell} (\mathbf{m}_{j}^{*}[S_{j}^{*}] - \mathbf{z}_{j}^{*}[S_{j}^{*}])^{\top} \mathbf{t}_{j} t_{j}^{\prime} \neq_{p} 0.$$

We can then compute  $g_1^{\alpha^{N+1}}$  the same way as for the same-commitment case.

#### 4.5 Adding hiding

The technique is identical to the one of Libert and Yung [LY10]. We will introduce a random coordinate into the exponent vector used by Commit, so that the commitment itself becomes uniformly distributed and thus leaks no information about  $\mathbf{m}$ . This small change suffices to get hiding, because proofs do not leak additional information. Specifically, an accepting proof  $\pi_i$  for  $m_i$  is uniquely determined given  $C, m_i$ , and therefore leaks no additional information about  $\mathbf{m}$  apart from  $C, m_i$ , thus providing information-theoretic privacy for  $\mathbf{m}[-i]$ . The same holds for aggregated proofs, which use no information except for the commitments, the proofs, and the messages being opened.

**Scheme.** Let  $(\mathsf{Setup}_0, \mathsf{Commit}_0, \mathsf{Prove}_0, \mathsf{Aggregate}_0, \mathsf{Verify}_0)$  denote our non-private scheme. Our private scheme is as follows:

Setup $(1^{\lambda}, 1^{N-1})$ . Run Setup $_0(1^{\lambda}, 1^N)$ .

 $\text{Commit}(\mathbf{m}; r \leftarrow \mathbb{Z}_p)$ . Run  $\text{Commit}_0(\mathbf{m} || r)$ .

 $\mathsf{Prove}(i, \mathbf{m}, r)$ . Run  $\mathsf{Prove}_0(i, \mathbf{m} || r)$ .

The remaining algorithms Verify and Aggregate are exactly as before.

Proof of binding. Follows from binding of the underlying scheme.

Proof of privacy. We construct the simulator as follows:

Setup<sup>\*</sup> $(1^{\lambda}, 1^{N-1})$ . Same as Setup with td =  $(\alpha)$ .

Commit<sup>\*</sup>(;  $r \leftarrow \mathbb{Z}_p$ ). Outputs  $C \leftarrow g_1^r$ .

 $\mathsf{Prove}^*(\alpha, r, i, m_i)$ . Computes  $C \leftarrow g_1^r$  and outputs

$$\pi_i = C^{\alpha^{N+1-i}} \cdot g_1^{-\alpha^{N+1}m_i}$$

This simulator is perfect, in the sense that the real and the simulated distributions are identical, rather than merely close, as can be seen by the following simple hybrid argument:

- First, we replace (Setup, Prove) with (Setup<sup>\*</sup>, Prove<sup>\*</sup>). Here, we think of Prove<sup>\*</sup> as taking input the commitment *C* (as output by Commit) instead of *r*. This follows from the fact that Setup, Setup<sup>\*</sup> are identically distributed, and the output of Prove<sup>\*</sup> is identical to the output of Prove for every given pp, *C*, *i*, *m<sub>i</sub>* (because it is the only input that will pass verification).
- Next, we replace Commit with Commit<sup>\*</sup>. This follows from the fact that the output of each Commit is a uniformly random group element in  $\mathbb{G}_1$ ; this extends readily to the setting with multiple commitments.

**Rerandomization** Note that a commitment can be rerandomized via multiplication by  $(g_1^{\alpha^N})^{r'}$ . This procedure will allow us, in particular, to hide the fact that a pre- and a post-update commitment are related.

### 5 Pointproofs in Blockchains

In this section, we describe how Pointproofs vector commitments can result in significant efficiency savings in blockchain applications.

**Background on Blockchains** For our purposes, a blockchain is an append-only public ledger that consists of blocks, with every block containing some number of transactions. The fundamental problem in blockchains is to agree on a new block. Regardless of how this problem is solved, this agreement involves someone proposing a block, and then multiple parties, whom we will call validators, verifying that the transactions included in the proposed block are valid. Who these validators are is not essential for our purposes: they may be chosen by some selection method (as is often the case in the proof-of-stake blockchains) or self-selected (as in the proof-of-work blockchains). Similarly,

the underlying consensus protocol may be randomized and select a committee to certify each block of transactions (as in Algorand [GHM<sup>+</sup>17], Ouroboros [DGKR18], Snow White [DPS19], Thunderella [PS18]) or mine based on the heuristic longest-chain rule (as in Bitcoin [Nak09] and Ethereum [But14]).

Simple transactions send money from one account to another. More complex transactions may be verified by a complicated piece of code, known as a smart contract. Execution of the smart contract may also depend on its memory. For example, a smart contract may implement the rules of a securities exchange, and its memory may be used to store the holdings of various entities in the exchange. A transaction may be valid or invalid depending on the values stored in some of the memory locations; it may also modify memory locations upon successful execution.

Thus, to validate transactions, validators need to know the current state of the account balances, or, more generally, of the values stored in the memory of various smart contracts. Some participants (so-called "archival nodes") may choose to maintain the entire state and perhaps even provide access to it as a service. However, maintaining this state increases the memory requirements on transaction validators, making participation in the consensus protocol more costly. We would like to permit participation by validators without requiring them to incur the high storage cost.

To reduce the amount of storage required of validators, many authors (including [ST99, Mil12, Whi15, Tod16, But17, Dra17, RMCI17, CPZ18, BBF19]) proposed that validators instead store commitments to vectors of relevant values instead of the values themselves. (These proposals are sometimes referred to as "stateless clients" or "stateless blockchains.") Transactions would then include the value on which they depend, together with the proofs of correctness of these values with respect to the commitments stored by the validators (which the validators would update for successful transactions). This model suggests a natural tradeoff between storage, bandwidth, and computation. Ideal commitments for this application would have small commitments and proof sizes and add little computation and bandwidth overheads to the validators.

#### 5.1 Accounts with large memory for smart contracts

We now focus on smart-contract-based transactions. We abstract the model of computation and describe how to apply Pointproofs to save on storage and bandwidth requirements. Subsequently, in Section 5.2, we compare our application of Pointproofs in smart contracts with alternative designs.

Scheme	$10^6$ accounts	$10^7 \text{ accounts}$	$10^8$ accounts	savings
Plaintext	29.8	298.0	2980.2	-
LM-CDH	0.04	0.45	4.5	99.8%
LM-class group	0.2	2.4	24.2	99.2%
BBF	1.0	9.7	96.9	96.7%
Pointproofs	0.04	0.45	4.5	99.8%

Figure 1: Storage requirements (in GB) for storing plaintext data vs. commitments for various number of accounts in the system. Each account memory has N = 1000 locations storing 32-bytes values.

Scheme	Opening size	Commitment size	Public parameters
Merkle Tree	320	32	-
LM-CDH	48	48	$1000^2(48 + 96)$ B = 144 MB
LM-class group	260	260	$1000 \cdot 260 \text{ B} = 260 \text{ KB}$
BBF	1040	260	$2 \cdot 260 \text{ B}$
this work	48	48	1000(48 + 96) B = 144 KB

Figure 2: Concrete parameters (in bytes) at 128-bit security for N = 1000 and 256-bit messages. For class groups, we use a 2048-bit group. For pairing groups, we use BLS12-381. For Merkle Trees we assume path of length 10.

Modeling smart contracts We abstract smart contracts using the following model.

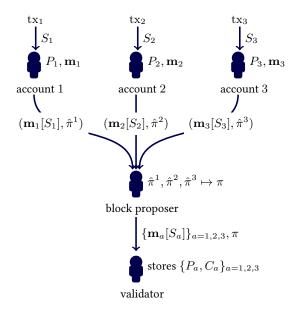


Figure 3: Using Pointproofs for smart-contract-based transactions  $tx_a$ .

- The system has multiple accounts. Each account a is associated with a smart contract  $P_a$  (a predicate) and account memory  $\mathbf{m}_a = (m_1, \ldots, m_N)$  (a sequence of values).
- A transaction T involves one or more accounts. If it involves account a, it may need to read and perhaps modify  $\mathbf{m}_a$  at some locations  $S \subseteq [N]$ , changing those entries from  $\mathbf{m}_a[S]$  to  $\mathbf{m}'_a[S]$ . The transaction T is valid only if  $P_a(T, \mathbf{m}_a[S]) = 1$ ; if so, the transaction computes possibly new values for  $\mathbf{m}'_a[S]$ .

In a basic implementation without commitments, each validator would store account memory  $\mathbf{m}_a$  for every account a in order to be able to validate the transactions; the validators would update this memory whenever a transaction modifies it.

#### Using Pointproofs in smart contracts.

We can use Pointproofs to reduce validator storage as follows:

- The public parameters are generated as discussed in Section 4.1 and either hard-coded into the software or posted on the blockchain.
- The owner of account a holds  $(P_a, \mathbf{m}_a)$  and generates a commitment  $C_a$  to  $\mathbf{m}_a$  using Commit.
- Each validator stores (P<sub>a</sub>, C<sub>a</sub>) instead of (P<sub>a</sub>, m<sub>a</sub>). Here we assume the predicate P<sub>a</sub> is small and can be stored on-chain or hardcoded in the blockchain platform and called by "reference". (Alternatively, it may be included in the memory of the account m<sub>a</sub>.)
- A transaction T that reads and/or modifies  $\mathbf{m}_a$  at locations S must be submitted with  $(S, \mathbf{m}_a[S])$  and the proof  $\hat{\pi}$  for these values (computed using Prove and Aggregate). This proof can be generated by the owner of a (or delegated to someone else).
- A block proposer generates a block B of  $\ell$  transactions, and cross-commitment aggregates all  $\ell$  proofs into a single proof  $\pi$  by running AggregateAcross algorithm. (If transactions need to be verified before being included in a block—for example, to protect against denial of service attacks—this is done by using Verify to check the correctness of  $\mathbf{m}_a[S]$ , and then running P.) The block B includes  $\{T, S, \mathbf{m}_a[S]\}$ , and a single  $\pi$ , but individual proofs  $\hat{\pi}$  are omitted. The block is then passed to the validators specified by the consensus.

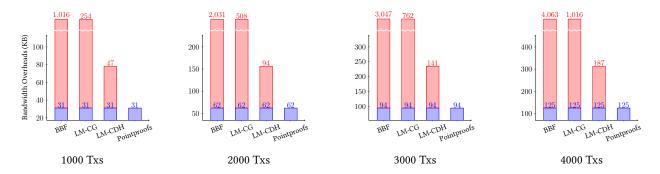


Figure 4: Per block bandwidth overhead between a block proposer and validators in stateless blockchain for retrieving  $\mathbf{m}_a[S_a]$  and corresponding proofs, assuming N = 1000,  $|S_a| = 1$ . The four plots represent a different number of transactions in a block  $\ell$ . The bottom part of each graph (blue) corresponds to cleartext overheads for transmitting  $\mathbf{m}_a[S_a]$ , and the top part (red) corresponds to overheads for proofs. Pointproofs add only 48 bytes to the cleartext data that must be transmitted (and hence invisible on the plots).

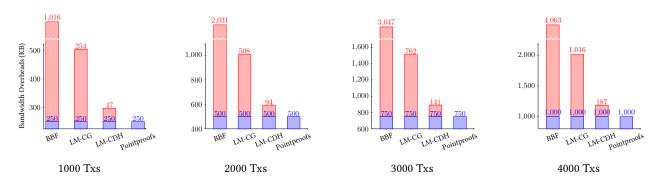


Figure 5: Per block bandwidth overheads between a block proposer and validators for retrieving  $\mathbf{m}_a[S_a]$  and corresponding proofs, assuming N = 1000,  $|S_a| = 8$ . The relative savings of Pointproofs compared to other schemes decrease as the amount of transmitted cleartext data increases.

- Each validator checks the validity of the aggregate proof  $\pi$  using VerifyAcross and then verifies all individual transactions T in the block using the relevant smart contracts  $P_a$  and the already verified values  $\mathbf{m}_a[S]$ .
- Once the block is agreed upon (whatever consensus mechanism is used), validators update the commitments for all accounts affected by the transactions in the block, by running the transactions to figure out the new memory values  $\mathbf{m}'_a[S]$  and using UpdateCommit.

#### 5.2 Alternative Approaches

We briefly summarize how our design compares with two alternative designs for smart contracts.

- Naive Approach: all validators store cleartext data  $\mathbf{m}_a$  for all accounts. The main advantage of this approach is that there are zero bandwidth and computation overheads. In this design, the validators' storage grows significantly with the total number of accounts. In Table 1, we show that total storage for  $10^8$  accounts is almost 3 TB.
- One global commitment: in this design, all validators store a single commitment for all accounts memories:  $C = \text{Commit}(\{\mathbf{m}_a\})$ . Also, there is a single entity (or a collection of entities) that is responsible for generating proofs for individual transactions. That is, when a user of an account *a* wants to submit a transaction *T* that depends on  $\mathbf{m}_a[S]$ , he requests a proof  $\pi$  from the central entity and submits the tuple  $(T, \mathbf{m}_a[S], S, \pi)$  to the

network. The validators check the transaction and update the global commitment. One could use a variety of commitment schemes, such as Merkle Trees or [BBF19] commitments. The advantage of this design is the minimal storage requirements from the validators: they store one short commitment to the entire state of the system. However, a major drawback of this design is that it relies on a central entity to generate proofs for all users. In the solution we described above, where validators store one commitment per account, we already reduce the validators' storage to a few gigabytes (4.5 GB using Pointproofs for  $10^8$  accounts), which is small enough for most modern computers. We believe it is not justified to reduce this storage even further at the expense of introducing a central entity to the system that must be trusted for data availability.

#### 5.3 Evaluation

We implemented Pointproofs in Rust and evaluated its performance. Our timing benchmarks were on Ubuntu running on with Intel(R) Xeon(R) CPU E5-2686 v4 at 2.30 GHz. Our implementation is single-threaded, but all functions can easily be parallelized over multiple threads.

**Storage.** We start by evaluating the storage savings introduced, applying Pointproofs to commit to accounts memory as described in Section 5.1. In all experiments, we assume every account memory holds N = 1000 variables. Each variable stores a 32 bytes value. We compare the schemes of Lai and Malavolta [LM19] over pairing and class-groups (LM-CDH, LM-CG), scheme of Boneh, Bünz, and Fisch [BBF19] based on RSA (BBF), and Pointproofs. For LM-CDH and Pointproofs, we place the commitment and proofs in  $\mathbb{G}_1$ , since that results in smaller commitments and proof sizes. Figure 2 shows the sizes of commitments, openings, and public parameters of various schemes. For class groups, we use a 2048-bit group. For pairing groups, we use BLS12-381.

Figure 1 shows the storage requirements for storing all data in the clear vs. replacing it with a commitment per account. In a naive solution, users store

# accounts 
$$\cdot 1000$$
 variables  $\cdot 32$  bytes.

With commitments, the storage requirements are reduced to

$$\#$$
 accounts  $\cdot |C|$ ,

where |C| is the size of a commitment. All commitment schemes reduce storage requirements significantly (over 96%).

**Bandwidth.** Figure 4 measures block bandwidth overheads for stateless blockchain model for subvector openings of size one with respect to each commitment ( $|S_a| = 1$ ). Assuming there are  $\ell$  transactions in a block, applying a commitment scheme that supports subvector openings (such as LM-CDH, LM-CG, BBF or Pointproofs) results in overheads of

$$\ell \cdot |\pi| + \ell \cdot |\mathbf{m}_a[S_a]| \cdot |S_a|.$$

Pointproofs commitments result in the smallest overheads since  $\ell$  proofs across transactions can be aggregated into a single proof. Figure 5 shows similar measurements for  $|S_a| = 8$ .

Computation. We now turn our attention to running times.

- The initial Commit to 1000 values representing the memory **m** of a single account (done by the account owner) runs in 54 ms.
- The combined cost of Prove and Aggregate to produce  $\hat{\pi}$  for  $\mathbf{m}_a[S]$  for |S| = 1 (done during submission of the transaction) is 54 ms. For |S| = 8, it goes up to 280 ms, which means the per-value cost goes down to 35 ms. The cost of computing a same-commitment aggregated proof all at once (instead of separate invocations of Prove followed by Aggregate) is only 83ms for |S|=8. See Figure 6 for more details.
- If verification of  $\hat{\pi}$  is desired before aggregation, it can be done in 4.7ms for |S| = 1 and 9.9 ms (1.2 ms per value proven) for |S| = 8 (Figure 6).

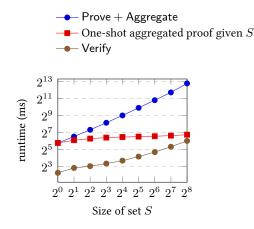


Figure 6: Total runtime for proving (separately followed by aggregation, or all at once) and verification algorithms with respect to a single commitment for various sizes of S. The runtime of the first and last algorithms grows roughly linearly with |S|, while for all-at-once proving it does not grow much.

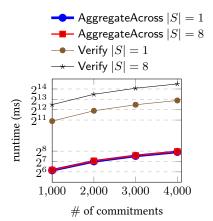


Figure 7: Total runtime of cross-commitment aggregation AggregateAcross and Verify algorithms for the various number of commitments  $\ell$  (= # transactions in a block). The plots for AggregateAcross function for |S| = 1 and |S| = 8 overlap since its runtime is independent of the size of S.

- The block proposer can aggregate proofs across multiple commitment  $\hat{\pi}^1, \ldots, \hat{\pi}^\ell$  into a single proof  $\pi$  using AggregateAcross at the cost 0.06–0.07 ms per proof, with the per-proof cost decreasing as the number of proofs in a block grows. Aggregation is highly parallelizable because the main cost is one exponentiation per the commitment. See Figure 7 for more details.
- A validator can verify the aggregated proof  $\pi$  using VerifyAcross at the cost of 1.9ms per commitment for |S| = 1 and 5.7ms per commitment (0.72ms per value proven) for |S| = 8. The per commitment cost does not change noticeably as the number of commitments grows from 1000 to 5000. Verification is highly parallelizable because the main cost is the per-commitment Miller loop of one pairing operation. See Figure 7 for more details.

Applied in the Algorand blockchain, for instance, a 64-thread parallelized version of the Verify algorithm would add 0.45 seconds (or 70%) to the block verification time for 5000 transactions (Figure 5, plot "5000 txs; Pixel" [DGNW20]).

• Anyone storing the commitments can update them with the new values in  $\mathbf{m}'_a[S]$  at the cost of 0.3 ms per proof for |S| = 1 and 0.2 ms per proof for |S| = 8. One-time precomputation on the public parameters (storing 256 values per parameter) can speed this up by a factor of 3–5.

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# A Same-Commitment Aggregation from CDH-like Assumption

We sketch a same-commitment aggregation scheme with  $O(N^2)$ -size public parameters from the following CDH-like static assumption: given  $g_1^u, g_2^v, g_2^v$ , it is hard to compute  $g_T^{uv^2}$ . The efficiency and underlying hardness assumption of this scheme matches that of LM-CDH, while additionally supporting aggregation.

The scheme. Here, both Commit and Prove are deterministic.

• Setup $(1^{\lambda}, 1^{N})$ . Samples  $u_i, v_i \leftarrow \mathbb{Z}_p$  for  $i = 1, \dots, N$  and outputs

$$\{g_1^{u_i}, g_2^{v_i}\}_{i \in [N]}, \{g_1^{u_j v_i}\}_{i \neq j}$$

• Commit(m). Outputs

$$C := g_1^{\sum_{i \in [N]} m_i u_i}$$

• UpdateCommit $(C, S, \mathbf{m}[S], \mathbf{m}'[S])$ . Outputs

$$C' := C \cdot g_1^{\sum_{i \in S} (m'_i - m_i)u_i}$$

•  $Prove(i, \mathbf{m})$ . Outputs

$$\pi_i := g_1^{\sum_{j \neq i} m_j u_j v_i}$$

• Aggregate $(C, S, \mathbf{m}[S], \{\pi_i : i \in S\})$ . Outputs

$$\hat{\pi} := \prod_{i \in S} \pi_i$$

• Verify $(C, S, \mathbf{m}[S], \hat{\pi})$ . Checks that

$$e(C, g_2^{\sum_{i \in S} v_i}) \stackrel{?}{=} e(\hat{\pi}, g_2) \cdot g_T^{\sum_{i \in S} m_i u_i v_i}$$

**Comparison with LM-CDH** We do not know how to support aggregation in LM-CDH (which corresponds to the special case  $u_i = v_i$ ) [LM19, Fig 2]. In particular, the verification equation there is given by:

$$e(C, g_2^{\sum_{i \in S} v_i}) \stackrel{?}{=} e(\hat{\pi}, g_2) \cdot g_T^{(\sum_{i \in S} m_i u_i)(\sum_{i \in S} v_i)}$$

An earlier version of [LM19] claims security from CDH, but we found a mistake in the proof of binding, where they incorrectly assume that  $e(C, g_2^{\sum_{i \in S^0} v_i}) = e(C, g_2^{\sum_{i \in S^1} v_i})$ . The mistake has since been fixed.

Proof of correctness. Follows readily from

$$(\sum_{j\in[N]}m_ju_j)\cdot v_i=m_iu_iv_i+\sum_{j\neq i}m_ju_jv_i$$

and then summing over  $i \in S$ .

Proof of binding. Now, consider the output of a winning adversary

$$C, \{S^b, \mathbf{m}^b[S^b], \hat{\pi}^b\}_{b=0,1}$$

along with  $i^*$  such that  $m_{i^*}^0 \neq m_{i^*}^1$ . The reduction proceeds as follows: embeds u, v from the computational problem into  $u_{i^*}, v_{i^*}$ , and samples  $u_i, v_i \leftarrow \mathbb{Z}_p, i \neq i^*$  (formally, the reduction picks a random  $i^* \leftarrow \mathbb{Z}_N$  and aborts if  $m_{i^*}^0 = m_{i^*}^1$ ). Simulating pp is straight-forward since we know  $g_1^{u_i^*}, g_1^{v_i^*}$ .

Next, let us write  $v_S := \sum_{i \in S} v_i$ . Raising the verification equations to  $v_{S^0}, v_{S^1}$  respectively and using  $e(C, g_2^{v_{S^0}})^{v_{S^1}} = e(C, g_2^{v_{S^1}})^{v_{S^0}}$ , we have:

$$e(\hat{\pi}^{0}, g_{2}^{v_{S^{1}}}) \cdot g_{T}^{v_{S^{1}}\sum_{i \in S^{0}} m_{i}^{0}u_{i}v_{i}} = e(\hat{\pi}^{1}, g_{2}^{v_{S^{0}}}) \cdot g_{T}^{v_{S^{0}}\sum_{i \in S^{1}} m_{i}^{1}u_{i}v_{i}}$$

Rearranging the terms so that  $g_T^{u_{i^*}v_{i^*}^2}$  appears on the RHS,

$$\begin{split} & e(\hat{\pi}^{0}, g_{2}^{v_{S^{1}}}) \cdot e(\hat{\pi}^{1}, g_{2}^{v_{S^{0}}})^{-1} \\ & \cdot g_{T}^{v_{i^{*}} \sum_{i \in S^{0} \setminus \{i^{*}\}} m_{i}^{0} u_{i} v_{i}} \cdot g_{T}^{-v_{i^{*}} \sum_{i \in S^{1} \setminus \{i^{*}\}} m_{i}^{1} u_{i} v_{i}} \\ & \cdot g_{T}^{(v_{S^{1}} - v_{i^{*}}) \sum_{i \in S^{0}} m_{i}^{0} u_{i} v_{i}} \cdot g_{T}^{-(v_{S^{0}} - v_{i^{*}}) \sum_{i \in S^{1}} m_{i}^{1} u_{i} v_{i}} \\ & = g_{T}^{(m_{i^{*}}^{1} - m_{i^{*}}^{0}) u_{i^{*}} v_{i^{*}}^{2}} \end{split}$$

We can now extract  $g_T^{u_i*v_i^{2*}}$  using the fact that  $m_{i^*}^1 - m_{i^*}^0 \neq 0$ , since we can compute the terms on the LHS given  $\hat{\pi}^0, \hat{\pi}^1, g_1^{u_{i^*}}, g_2^{v_{i^*}}$  and  $\{u_i, v_i\}_{i \neq i^*}$ .

# **B** Weak binding

In this section, we describe a weaker notion of binding, in which the commitment C must be honestly computed by Commit (on some, possibly adversarially chosen, messages) rather than chosen arbitrarily by the adversary. This notion often suffices in blockchain applications. We then sketch how our scheme achieves this notion without the restriction to algebraic adversaries.

Weak binding. For every N and every adversary running in time polynomial in  $\lambda$ , the probability of finding

$$C, \mathbf{m}, r, (\hat{\pi}, S, \mathbf{m}^*[S])$$

such that

- $C = \operatorname{Commit}(\mathbf{m}; r);$
- Verify $(C, S, \mathbf{m}^*[S], \hat{\pi}) = 1;$

•  $\mathbf{m}[S] \neq \mathbf{m}^*[S]$ 

(assuming parameters generated by  $\mathsf{Setup}(1^{\lambda}, 1^{N})$ ) is negligible in  $\lambda$ .

**Realizing weak binding.** To establish weak binding, it suffices to show that any efficient adversary wins the following game with negligible probability:

- 1. challenger sends  $g^{\alpha},\ldots,g^{\alpha^N}\in\mathbb{G}$
- 2. adversary sends  $C \in \mathbb{G}, S, \{m_i^*\}_{i \in S}$
- 3. challenger sends  $t_1, \ldots, t_N \leftarrow \mathbb{Z}_p$
- 4. adversary sends  $m_1, \ldots, m_N$

Adversary wins if

$$C = g^{\sum \alpha^{i} m_{i}} \bigwedge \sum_{i \in S} (m_{i} - m_{i}^{*})t_{i} = 0 \bigwedge \exists i^{*} \in S, m_{i^{*}} \neq m_{i^{*}}^{*}$$

If adversary wins game with probability  $\epsilon$ , then it breaks strong DH with probability  $\epsilon^2/4 - 1/p$ .

**Proof sketch.** By an averaging argument, w.p.  $\epsilon/2$  over steps 1,2, the adversary wins with probability  $\epsilon/2$  over steps 3,4 (conditioned on steps 1,2). We run the adversary on steps 1,2,3,4 to get some winning  $t_i, m_i$  and then rewind steps 3,4 to get another winning  $t'_i, m'_i$ . We proceed via a case analysis:

• if  $(m'_1, \ldots, m'_N) = (m_1, \ldots, m_N)$ , then we have as before

$$\Pr_{t_1',\dots,t_N'}\left[\sum m_i t_i' = 0\right] \le 1/p$$

this step uses the fact that  $t'_1, \ldots, t'_N$  are independent of  $m_1, \ldots, m_N$ .

• if  $(m'_1, ..., m'_N) \neq (m_1, ..., m_N)$ , then we have

$$g^{\sum \alpha^i (m'_i - m_i)} = 1$$

This yields a non-trivial univariate polynomial equation in  $\alpha$ , which we can use to solve for  $\alpha$ .

### C Cross-Commitment Aggregation from Polynomial Commitments

We sketch how the recent and independent work of Boneh, Drake, Fisch, and Gabizon [BDFG20] on polynomial commitments (building upon [KZG10, MBKM19]) also yields a vector commitment that supports cross-commitment aggregation. The scheme we present below is the same as the scheme in [BDFG20, Section 3] with the Fiat-Shamir transform and the following additional changes applied. First, a commitment to a polynomial P of degree N - 1 can be thought of as commitment to the vector  $(P(1), \ldots, P(N))$ . Second, we explicitly show both a same-commitment and a cross-commitment aggregation mechanism; that is, we show how to decompose the batch opening algorithm of [BDFG20] into single openings followed by aggregation. Third, we modify the verification equation in the cross-commitment case to use independent random  $t_j$  values rather than powers of a single random value  $\gamma$ , for consistency with our scheme (note that we could, instead, have used powers of a single random value in our scheme, too, with slight changes to the proof and exact security bounds; see [GWC19, footnote 5]).

Although polynomial commitments were not initially designed for efficient updates, as pointed out to us by Gabizon [Gab20], updates can made as efficient as in our scheme with a bit of precomputation (see the Setup and UpdateCommit algorithms below). Other efficiency parameters are comparable (up to constant factors), except VerifyAcross, which can take up to  $\Theta(\ell N)$  more exponentiations depending on the exact subsets being aggregated.

Unfortunately, the more efficient scheme presented in [BDFG20, Section 4] does not seem to support crosscommitment aggregation, because the second element of the proof (denoted W' in [BDFG20]) depends on a random value that itself depends on the first element of the aggregated proof (denote  $\pi$  the description of AggregateAcross below and W in [BDFG20]).

**Overview.** Given  $\mathbf{m} = (m_1, \ldots, m_N)$  and a subset  $S \subseteq [N]$ , let  $\phi_S$  is the unique polynomial of degree at most |S| - 1 such that  $\phi_S(i) = m_i$  for all  $i \in [S]$ . Let  $\phi = \phi_{[N]}$ . Observe that the polynomial

$$\phi(X) - \phi_S(X)$$

evaluates to 0 at all  $i \in [S]$ , and is therefore divisible by  $z_S(X) := \prod_{j \in S} (X - j)$ . We will let  $\phi(\alpha)$  (in the exponent) be the commitment and the fraction

$$rac{\phi(lpha) - \phi_S(lpha)}{z_S(lpha)}$$

(again, in the exponent) be the proof for S.

#### Same-commitment aggregation.

• Setup $(1^{\lambda}, 1^{N})$ . Samples  $\alpha \leftarrow \{N+1, \dots, p\}$  and outputs

$$(g_1, g_1^{\alpha}, \dots, g_1^{\alpha^{N-1}}), (g_2, g_2^{\alpha}, \dots, g_2^{\alpha^{N-1}})$$

In addition, if desired to enable fast UpdateCommit, precomputes and outputs values  $u_i = g_1^{\phi_i(\alpha)}$  for  $i \in [N]$ , where  $\phi_i$  is the unique degree N-1 polynomial such that  $\phi_i(i) = 1$  and  $\phi(j) = 0$  for all  $j \in [N] - \{i\}$ . This one-time precomputation can be done easily in time  $O(N^2)$ ; it can also be done in time  $O(N \log N)$  using Fast Fourier Transform (FFT). It is faster if  $\alpha$  is available, but can be done with just pp.

• Commit(m). Outputs

$$C := q_1^{\phi(\alpha)}$$

where  $\phi$  is the unique degree N-1 polynomial such that  $\phi(i) = m_i$  for all  $i \in [N]$ .

• UpdateCommit $(C, S, \mathbf{m}[S], \mathbf{m}'[S])$ . Outputs

$$C' := C \cdot \prod_{i \in S} u_i^{m'_i - m}$$

•  $Prove(i, \mathbf{m})$ . Outputs

$$\pi_i := g_1^{\frac{\phi(\alpha) - m_i}{\alpha - i}}$$

where  $\phi$  is the same as for Commit.

• Aggregate $(C, S, \mathbf{m}[S], \{\pi_i : i \in S\})$ . Outputs

$$\hat{\pi} := \prod_{i \in S} \pi_i^{\lambda_i}$$

where

$$\lambda_i := \prod_{j \in S \setminus \{i\}} \frac{1}{i - j}$$

are the Langrangian coefficients (see Proof of Correctness, below, for an explanation).

• Verify $(C, S, \mathbf{m}[S], \hat{\pi})$ . Checks that

$$e\left(C \cdot g_1^{-\phi_S(\alpha)}, g_2\right) \stackrel{?}{=} e\left(\hat{\pi}, g_2^{\prod_{i \in S}(\alpha-i)}\right)$$

Cross-commitment aggregation. We describe the following additional algorithms:

AggregateAcross({ $C_j, S_j, \mathbf{m}_j[S_j], \hat{\pi}_j$ }<sub> $j \in [\ell]$ </sub>). Outputs

$$\pi := \prod_{j=1}^{\ell} \hat{\pi}_j^{t_j}$$

where

$$t_j = H(j, \{C_j, S_j, \mathbf{m}_j[S_j]\}_{j \in [\ell]}).$$

VerifyAcross({  $C_j, S_j, \mathbf{m}_j[S_j]$  }<sub> $j \in [\ell]$ </sub>,  $\pi$ ). Checks that

$$\prod_{j} e\left(C_{j} \cdot g_{1}^{-\phi_{j,S_{j}}(\alpha)}, g_{2}^{t_{j} \cdot z_{T \setminus S_{j}}(\alpha)}\right) \stackrel{?}{=} e\left(\pi, g_{2}^{z_{T}(\alpha)}\right)$$

where  $T = \bigcup_j S_j$  and  $\phi_{j,S}$  is the unique degree |S| - 1 polynomial such that  $\phi_{j,S}(i) = m_{j,i}$  for all  $i \in [S]$ .

**Proof of correctness.** Recall the definition  $z_S(X) = \prod_{j \in S} (X - j)$  and observe that  $\lambda_i = 1/z_{S \setminus \{i\}}(i)$ . We claim that  $\hat{\pi}$  output by Aggregate is equal to

$$g_1^{\frac{\phi(\alpha)-\phi_S(\alpha)}{z_S(\alpha)}}$$

This claim immediately shows the correctness of Verify, because both sides, by bilinearity, are equal to  $g_T^{\phi(\alpha)-\phi_S(\alpha)}$ . The claim follows from the following relation:

$$\sum_{i \in S} \lambda_i \cdot \frac{\phi(X) - m_i}{X - i} = \frac{\phi(X) - \phi_S(X)}{z_S(X)} \,.$$

The relation can be shown by the following two steps. First,

$$\sum_{i \in S} \lambda_i \cdot \frac{1}{X - i} = \frac{1}{z_S(X)} \,,$$

which is true because, when we bring the fractions to a common denominator, we obtain

$$\sum_{i\in S} \lambda_i \cdot \frac{1}{X-i} = \frac{\sum_{i\in S} \lambda_i \cdot z_{S\setminus\{i\}}(X)}{z_S(X)},$$

and the numerator of the right-hand side is a polynomial of degree at most |S| - 1 that evaluates to 1 at all  $i \in S$  and therefore must be the constant 1. Second,

$$\sum_{i \in S} \lambda_i \cdot \frac{m_i}{X - i} = \frac{\phi_S(X)}{z_S(X)} \,,$$

which is true because

$$\sum_{i \in S} \lambda_i \cdot \frac{m_i}{X - i} = \frac{\sum_i \lambda_i \cdot m_i \cdot z_{S \setminus \{i\}}(X)}{z_S(X)}$$

and the numerator of the right-hand side is a polynomial of degree at most |S| - 1 that evaluates to  $m_i$  at all  $i \in S$  and therefore must be the constant  $\phi_S(X)$ .

For the correctness of VerifyAcross, observe that we can obtain the verification equation by (1) raising the  $\mathbb{G}_2$  element on both sides of the *j*th-commitment verification equation to the power  $z_{T \setminus S_j}(\alpha)$ ; (2) raising the  $\mathbb{G}_2$  element on the left-hand side and the  $\mathbb{G}_1$  element on the right-hand side of each resulting equation to the power  $t_j$ ; (3) multiplying all  $\ell$  resulting equations together.

**Proof of binding.** Binding holds under a *q*-type assumption in the AGM+ROM model as shown in [BDFG20, Section 3].